

Fields	Scheme Summary Document	
1	Fund Name	DSP Small Cap Fund
2	Option Name (Regular/Direct)	<u>Regular Plan & Direct Plan</u> <input type="checkbox"/> Growth* <input type="checkbox"/> Income Distribution cum Capital Withdrawal option (IDCW) - Payout of Income Distribution cum Capital Withdrawal (IDCW) - Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) * default option
3	Fund Type	Small Cap Fund - An open ended equity scheme predominantly investing in small cap stocks
4	Riskometer (At the time of Launch)	Very High Risk
5	Riskometer (As on date)	Very High Risk
6	Category as per SEBI Categorization Circular	Small Cap Fund
7	Potential Risk Class (as on date)	Not Applicable
8	Description, Objective of the scheme	<p>The primary investment objective is to seek to generate long term capital appreciation from a portfolio that is substantially constituted of equity and equity related securities of small cap companies. From time to time, the fund manager will also seek participation in other equity and equity related securities to achieve optimal portfolio construction.</p> <p>There is no assurance that the investment objective of the Scheme will be achieved.</p>
9	Stated Asset Allocation	1. (a) Equity & equity related instruments of small cap companies: 65% - 100%, (b) Other equity & equity related instruments which are in the top 250 stocks by market capitalization: 0% - 35% 2. Debt and Money Market Securities: 0% - 35% 3. Units issued by REITs & InvITs: 0% - 10% For detailed asset allocation pattern, please refer to the Scheme Information Document
10	Face Value	Rs. 10/-
11	NFO Open Date	May 04, 2007
12	NFO Close Date	May 25, 2007
13	Allotment Date	June 14, 2007
14	Reopen Date	June 20, 2007
15	Maturity Date (For close-end funds)	Not Applicable
16	Benchmark (Tier 1)	BSE 250 SMALL CAP TRI
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager 1 - Name	Mr. Vinit Sambre
19	Fund Manager 1 - Type (Primary/Comanage/Description)	Primary (Equity portion)
20	Fund Manager 1 - From Date	June 01, 2010
21	Fund Manager 2 - Name	Mr. Resham Jain
22	Fund Manager 2 - Type (Primary/Comanage/Description)	Comanage (Equity and Overseas portion)
23	Fund Manager 2 - From Date	March 01, 2018
24	Annual Expense (Stated Maximum)	Direct Plan - 0.86 % ; Regular Plan - 1.72 %
	Exit Load (if applicable)	Holding period from the date of allotment: < 12 months - 1% >= 12 months - Nil (as a % of Applicable NAV)
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26	Custodian	Citibank N. A.
27	Auditor	S.R. Batliboi & Co LLP
28	Registrar	Computer Age Management Services Limited
29	RTA Code (To be phased out)	788 - DSP Small Cap Fund - Direct Plan - Growth 789 - DSP Small Cap Fund - Direct Plan - IDCW 790 - DSP Small Cap Fund - Direct Plan - IDCW - Reinvest 157 - DSP Small Cap Fund - Regular Plan - Growth 357 - DSP Small Cap Fund - Regular Plan - IDCW 392 - DSP Small Cap Fund - Regular Plan - IDCW - Reinvest
30	Listing Details	Not Applicable
31	ISINs	INF740K01QD1 - DSP Small Cap Fund - Direct Plan - Growth INF740K01QD9 - DSP Small Cap Fund - Direct Plan - IDCW INF740K01QF6 - DSP Small Cap Fund - Direct Plan - IDCW - Reinvest INF740K01797 - DSP Small Cap Fund - Regular Plan - Growth INF740K01805 - DSP Small Cap Fund - Regular Plan - IDCW INF740K01AM6 - DSP Small Cap Fund - Regular Plan - IDCW - Reinvest
32	AMFI Codes (To be phased out)	119212 - DSP Small Cap Fund - Direct Plan - Growth 119213 - DSP Small Cap Fund - Direct Plan - IDCW 119213 - DSP Small Cap Fund - Direct Plan - IDCW - Reinvest 105989 - DSP Small Cap Fund - Regular Plan - Growth 113153 - DSP Small Cap Fund - Regular Plan - IDCW 113153 - DSP Small Cap Fund - Regular Plan - IDCW - Reinvest
33	SEBI Codes	DSPM/O/E/SCF/06/09/0015
Investment Amount Details:		
1	Minimum Application Amount	Rs. 100/-
2	Minimum Application Amount in multiple of Rs.	Re. 1/-
3	Minimum Additional Amount	Rs. 100/-
4	Minimum Additional Amount in multiple of Rs.	Re. 1/-
5	Minimum Redemption Amount in Rs.	0.01
6	Minimum Redemption Amount in Units	0.001
7	Minimum Balance Amount (if applicable)	NA
8	Minimum Balance Amount in Units (if applicable)	NA
9	Max Investment Amount	NA
10	Minimum Switch Amount (if applicable)	100.00
11	Minimum Switch Units	0.001
12	Switch Multiple Amount (if applicable)	0.01
13	Switch Multiple Units (if applicable)	0.001
14	Max Switch Amount	NA
15	Max Switch Units (if applicable)	NA
16	Swing Pricing (if applicable)	NA
17	Side-pocketing (if applicable)	No
SIP SWP & STP Details:		
1	Frequency	SIP – Daily, Monthly, Quarterly, Half-Yearly and Yearly SWP – Weekly*, Monthly, Quarterly, Half-Yearly and Yearly STP – Daily, Weekly*, Monthly, Quarterly, Half-Yearly and Yearly *Weekly – Monday to Friday
2	Minimum Amount	100.00
3	In multiple of	1.00
4	Minimum Instalments	SIP - 12, SWP & STP - 6
5	Dates	Any date
6	Maximum Amount (if any)	NA