

Mis-selling Reporting for January to March 2025	
Particulars	Inputs to be filled by AMC
1. Name of the Mutual Fund	DSP Mutual Fund
2. ARN of the MFD	NIL
3. Name of the MFD	NIL
4. Name of the employee of the MFD involved	NIL
5. EUIN of the employee of the MFD involved	NIL
6. Source of the complaint (to be selected from drop down menu)	1) AMC's Internal Monitoring & Surveillance mechanism 2) Unitholder 3) Unitholder's relative / representative 4) SEBI 5) AMFI 6) Another ARN holder 7) Social Media 8) Newspaper 9) Others (Please specify)
7. Nature of Mis-Selling observed by the AMC (to be selected from drop down menu)	1) Fraud / Forgery / Cheating 2) Misappropriation of investor's Funds 3) Misrepresentation of Scheme Features 4) Inadequate Risk Disclosure 5) Incorrect Suitability Assessment 6) Unauthorized Switch Transaction 7) Unauthorized Redemption Transaction 8) Unauthorized Change of ARN Code 9) Non-Disclosure of Charges & Lock-In Period 10) False Assurances / Guaranteed Returns Claim 11) Churning (Excessive Switching for Higher Commissions) 12) Using non-approved marketing material/ scheme performance disclosure 13) Others (Please specify)
8. Summary of the incident	NIL
9. Amount of investment Involved in the incident	NIL
10. Corrective Action taken by the AMC prevent recurrence	NIL
11. Code of Conduct Violation (other than mis-selling) if any	NIL
12. Action taken by the AMC against the errant MFD	NIL
13. Action recommended to AMFI by the AMC against the errant ARN Holder / EUIN Holder	