Redressal of Complaints received against Mutual Funds (MFs) during 2019-2020 Name of the Mutual Fund : DSP Mutual Fund Total No.of Folios : 57.49.492

| Complaint Code | Type of Complaint# | | Action on (a) and (b) (b) No. of Resolved Non Actionable* Pending as on 31/3/2020 | | | | | | | | | |
|----------------|--|---|--|----------------|------------|-------------|-----------------|----------------|------------|------------|------------|-------------|
| | | | Complaints received during the year to date 2019-2020 | | | | | Non Actionable | | | | |
| | | | | Within 30 days | 30-60 days | 60-180 days | Beyond 180 days | | 0-3 months | 3-6 months | 6-9 months | 9-12 months |
| I A | Non receipt of Dividend on Units | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| IB | Interest on delayed payment of Dividend | 0 | 8 | 8 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| IC | Non receipt of Redemption Proceeds | 0 | 3 | 3 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| ID | Interest on delayed payment of Redemption | 0 | 3 | 3 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| II A | Non receipt of Statement of Account/Unit Certificate | 0 | 1 | 1 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| II B | Discrepancy in Statement of Account | 0 | 33 | 33 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| IIC | Data corrections in Investor details | 0 | 10 | 9 | 0 | 0 | 0 | | 1 | 0 | 0 | 0 |
| IID | Non receipt of Annual Report/Abridged Summary | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| III A | Wrong switch between Schemes | 0 | 1 | 1 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| III B | Unauthorized switch between Schemes | 0 | 4 | 4 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| III C | Deviation from Scheme attributes | 0 | 7 | 7 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| III D | Wrong or excess charges/load | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| III E | Non updation of changes viz. address, PAN, bank details, nomination, etc | 0 | 6 | 6 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| IV | Others | 0 | 3 | 2 | 0 | 0 | 0 | | 1 | 0 | 0 | 0 |
| | | | | | | | | | | | | |
| otal | | 0 | 79 | 77 | 0 | 0 | 0 | | 2 | 0 | 0 | 0 |

^{# -} including against its authorized persons / distributors / employees etc.

*Non actionable - means the complaint that are incomplete / outside the scope of the Mutual Fund.