

ANNUAL REPORT
2013- 2014

DSP BLACKROCK
MUTUAL FUND

DUAL ADVANTAGE FUNDS

Schemes in existence as on March 31, 2014

DSP BLACKROCK RGESS* FUND - SERIES 1

A close ended equity scheme investing in eligible securities as per
*Rajiv Gandhi Equity Savings Scheme, 2012

dspblackrock.com



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Please update your contact details in the Common Transaction Form available on the last page of this annual report or **visit dspblackrock.com/update**, and then send it to any of our branches to always stay connected with us and in turn, also help us **go green!**

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If you have registered your Email ID with us, you can also generate your own account statement instantly by visiting dspblackrock.com/statement

DSP BLACKROCK
MUTUAL FUND

SPONSOR

DSP HMK HOLDINGS PVT. LTD.
and
DSP ADIKO HOLDINGS PVT. LTD.
(Collectively)
Mafatlal Centre, 11th Floor,
Nariman Point,
Mumbai - 400 021.
Tel: 022-2272 2731
Fax: 022-2272 2732

SPONSOR

BLACKROCK
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ASSET MANAGEMENT COMPANY

DSP BLACKROCK
INVESTMENT MANAGERS
DSP BlackRock
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Mumbai - 400 021
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TRUSTEE

DSP BLACKROCK
TRUSTEE COMPANY PRIVATE LIMITED

DSP BlackRock Trustee
Company Pvt. Ltd.
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Nariman Point
Mumbai - 400 021
Tel: 022-6657 8000
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CUSTODIAN



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REGISTRAR



Computer Age Management
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Unit : DSP BlackRock Mutual
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Chennai - 600 002.
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Fax: 044-28432253

AUDITORS TO THE MUTUAL FUND

S.R. Batliboi & Co. LLP

12th Floor, The Ruby, 29 Senapati Bapat Marg, Dadar (West) Mumbai – 400 028, Tel: 022-6192 2268

CONTENTS

| | |
|---|----|
| UNITHOLDER INFORMATION REPORT | 2 |
| AUDITORS' REPORT | 46 |
| BALANCE SHEET | 47 |
| REVENUE ACCOUNT | 48 |
| SCHEDULES FORMING PART OF THE BALANCE SHEET | 51 |
| SCHEDULES FORMING PART OF THE REVENUE ACCOUNT | 62 |
| NOTES TO ACCOUNTS | 63 |
| CASH FLOW STATEMENT | 84 |
| PERSPECTIVE HISTORICAL PER UNIT STATISTICS | 87 |

UNITHOLDER INFORMATION REPORT

DSP BlackRock Mutual Fund

Mafatlal Center, 10th Floor, Nariman Point, Mumbai – 400 021

The Directors of DSP BlackRock Trustee Company Pvt. Ltd. ('the Trustee Company' or 'Trustee') have the pleasure of presenting the 17th Annual Report of DSP BlackRock Mutual Fund for the financial year 2013-14 along with the audited financial statements for the schemes for the financial year ended on March 31, 2014.

I. BRIEF BACKGROUND OF THE TRUST, SPONSORS, TRUSTEE COMPANY AND ASSET MANAGEMENT COMPANY

a. DSP BLACKROCK MUTUAL FUND

DSP BlackRock Mutual Fund ('DSPBRMF' or 'the Fund') was set up as a Trust on December 16, 1996 in accordance with the provisions of the Indian Trusts Act, 1882, with DSP BlackRock Trustee Company Pvt. Ltd., as a Trustee and is duly registered under the Indian Registration Act, 1908.

b. SPONSORS

The Fund is sponsored by DSP ADIKO Holdings Pvt. Ltd. & DSP HMK Holdings Pvt. Ltd. (collectively) and BlackRock Inc. DSP ADIKO Holdings Pvt. Ltd. & DSP HMK Holdings Pvt. Ltd. (collectively) and BlackRock Inc. are the Settlers of the Mutual Fund trust. The Settlers have entrusted a sum of Rs. 1 lakh to the Trustee as the initial contribution towards the corpus of the Fund.

DSP ADIKO Holdings Pvt. Ltd. and DSP HMK Holdings Pvt. Ltd. are companies incorporated in 1983 under the Companies Act, 1956 and are also registered with the Reserve Bank of India as non-depositing taking Non-banking Finance Companies. These companies have been functioning as investment companies.

BlackRock is a truly global firm that combines the benefits of worldwide reach with local service and relationships. It has a deep presence in every major capital market in the world, which results in greater insights into increasingly interconnected financial markets. Managing assets for investors in North and South America, Europe, Asia, Australia, the Middle East and Africa, BlackRock as on March 31, 2014 employs more than 11,450 talented professionals and maintains offices in 26 countries around the world. BlackRock's investor base includes corporate, public, union and industry pension plans; governments; insurance companies; third-party mutual funds; endowments; foundations; charities; corporations; official institutions; sovereign wealth funds; banks; financial professionals; and individuals worldwide. As on March 31, 2014, BlackRock manages assets worth USD 4.401 trillion.

c. DSP BLACKROCK TRUSTEE COMPANY PVT. LTD.

The Trustee Company is the exclusive owner of the Trust Fund and holds the same in trust for the benefit of the unit holders. The Trustee Company has entered into an Investment Management Agreement dated December 16, 1996 with DSP BlackRock Investment Managers Pvt. Ltd. ('the AMC') appointing the AMC as the Investment Manager for all the schemes of DSPBRMF. DSPBRMF was registered with SEBI on January 30, 1997. The Trustee Company has been discharging its duties and carrying out the responsibilities as provided in the SEBI (Mutual Funds) Regulations, 1996 ('Regulations') and the Trust Deed. The Trustee Company seeks to ensure that the Fund and the schemes floated thereunder are managed by the AMC in accordance with the Trust Deed, the Regulations, directions and guidelines issued by the SEBI, the Stock Exchanges, the Association of Mutual Funds in India ('AMFI') and other regulatory agencies. The Trustee Company also acts as a Trustee to DSP BlackRock Alternative Investments Fund - Category III.

Directors of DSP BlackRock Trustee Company Pvt. Ltd.

- **Mr. Shitin D. Desai** - Mr. Desai served as Executive Vice Chairman of DSP Merrill Lynch Ltd. and is one of its Founding Directors and serves on Board of Directors of various other companies.
- **Mr. S. S. Thakur** - Mr. Thakur was Chairman of Central Depository Services (India) Ltd. and serves on Board of Directors of various other companies.
- **Ms. Tarjani Vakil** - Ms. Vakil was Chairperson and Managing Director of Export Import Bank of India from 1993 to 1996 and serves on Board of Directors of various other companies.
- **Mr. S. Doreswamy** - Mr. Doreswamy is a financial consultant, with an experience of about 35 years in commercial banking, preceded by practice as a High Court Advocate for about 3 years. Mr. Doreswamy was Chairman and Managing Director of Dena Bank and Central Bank of India and serves on Board of various other companies.
- **Mr. T. S. Krishnamurthy** - Mr. Krishnamurthy, former Chief Election Commissioner of India, has had a distinguished career in the Government of India, spanning over 36 years. He served the Income Tax department in various capacities and was also appointed Secretary, Department of Company Affairs, Government of India.
- **Mr. S. S. N. Moorthy** - Mr. Moorthy was a member of Securities Appellate Tribunal in Mumbai during the period January 2011 to December 2012. He also served as Chairman of Board of Central Board of Direct Taxes, New Delhi

during January 2009 to December 2010. He was also a member of Central Board of Direct Taxes, New Delhi and served as Director General of Income Tax (Investigation). During July 1997 to July 2006, he served as Commissioner at Income Tax, Tamil Nadu & Kerala.

d. DSP BLACKROCK INVESTMENT MANAGERS PVT. LTD.

DSP BlackRock Investment Managers Pvt. Ltd. ('the AMC') is a company registered under the Companies Act, 1956 and has been set up to act as the Asset Management Company to the Fund. In terms of the Investment Management Agreement ('IMA') dated December 16, 1996, entered into between the Trustee Company and the AMC, the AMC has been appointed as the Investment Manager to the Fund. BlackRock Advisors Singapore Pte. Ltd., a wholly owned subsidiary of BlackRock Inc. holds 40% of the shareholding of the AMC and the balance 60% shares are held by DSP ADIKO Holdings Pvt. Ltd. and DSP HMK Holdings Pvt. Ltd. The Investment Manager was approved by SEBI to act as the AMC for the Fund vide letter no. IIMARP/201/97 dated January 30, 1997. The AMC manages the schemes of the Fund in accordance with the provisions of the IMA, the Trust Deed, the Regulations, the objectives of each scheme, directions and guidelines issued by SEBI, the Stock Exchanges, the AMFI and other regulatory agencies. The AMC also provides non-binding advisory services to pooled offshore funds and manages schemes of DSP BlackRock Alternative Investments Fund - Category III. The AMC is a sponsor to DSP BlackRock Pension Funds Pvt. Ltd., which is registered as a Pension Fund Manager with the Pension Fund Regulatory & Development Authority of India ('PFRDA') to manage funds under National Pension Scheme for Private Sector.

Directors of DSP BlackRock Investment Managers Pvt. Ltd.

- **Mr. Hemendra Kothari** - Mr. Kothari was Chairman of DSP Merrill Lynch Limited and serves on Board of various other companies.
- **Mr. K. R. V. Subrahmanian** - Mr. Subrahmanian is a Management Consultant and is on Board of various well-known companies in India.
- **Dr. Omkar Goswami** - Dr. Goswami is the Founder and Chairman of CERG Advisory Pvt. Ltd. ('CERG'). CERG primarily provides corporate advisory services, consulting services and research for companies and industries in India and abroad.
- **Mr. Ranjan Pant** - Mr. Pant is a global Management Consultant advising CEOs/ Boards on Strategy and Change Management.
- **Mr. Piyush Mankad** - Mr. Mankad is a retired civil servant with a distinguished career of over 40 years in the prestigious Indian Administrative Service.
- **Mr. Uday Khanna** - Mr. Khanna is former President of the Bombay Chamber of Commerce & Industry and is non-executive Chairman of Lafarge India Pvt. Ltd. and Bata India Ltd. He was Managing Director & CEO of Lafarge India Pvt. Ltd. from July 2005 to July 2011. He also serves on Board of Directors of various other companies.
- **Mr. Laurence Fink** - Mr. Fink has been Chairman and Chief Executive Officer of BlackRock since its formation in 1998 and of BlackRock's predecessor entities since 1988. Mr. Fink also leads BlackRock's Global Executive Committee.
- **Ms. Susan Wagner** - Ms. Wagner is a member of BlackRock's Board of Directors. Ms. Wagner retired as a Vice-Chairman of BlackRock in June 2012. In addition to serving as Vice-Chairman, Ms. Wagner also served as a member of BlackRock's Global Executive Committee and Global Operating Committee. Ms. Wagner previously served as BlackRock's Chief Operating Officer and Head of Corporate Strategy.
- **Mr. Mark McCombe** - Mr. McCombe is global head of BlackRock's Institutional Client Business and Chairman of BlackRock Alternative Investors. He is responsible for driving the growth of BlackRock's institutional business and alternatives presence globally. He is also BlackRock's Asia Pacific Chairman and a member of BlackRock's Global Executive and Global Operating Committee.
- **Mr. Michael Timothy Marquardt** [Appointed as Director w.e.f. May 1, 2014] - Mr. Marquardt, Managing Director, is the Chief Operating Officer of BlackRock's Asia-Pacific business.
- **Mr. Quintin Price** (Alternate Director to Mr. Laurence Fink) - Mr. Price, Senior Managing Director, is global head of the Alpha Strategies Group and serves as a member of the Global Executive Committee and the Global Operating Committee.
- **Mr. John Richard Kushel** (Alternate Director to Ms. Susan Wagner) - Mr. Richard Kushel is Deputy Chief Operating Officer and Senior Managing Director of BlackRock. Mr. Richard Kushel is also a member of the Global Executive Committee of BlackRock. Previously, Mr. Richard Kushel was Head of the Portfolio Management Group of BlackRock from 2010 to 2012 and

Chairman of BlackRock's International platform from 2009 to 2010. Prior to that, Mr. Kushel headed BlackRock's International Institutional platform and BlackRock's Alternatives and Wealth Management Groups.

- **Mr. David Graham** [Resigned as Director w.e.f. April 25, 2014] - Mr. Graham is currently Managing Director at BlackRock Investment Management (UK) Ltd. He is a member of BlackRock's Global Client Group and is the Head of BlackRock's Family Office, Charities and Endowments business in EMEA. He was previously responsible for BlackRock's joint ventures in India and China.

II. MARKET REVIEW

a. Bond Market: 2013 - 14

FY2014 began on a positive tone as the Reserve Bank of India reduced the Repo Rate by 25 basis points to 7.25% in response to a decline in headline inflation. The benchmark 10Y bond yield declined from 8% level on March 31, 2013 to touch a low of around 7.12% in the last week of May 2013, as market participants began to discount more rate cuts by the Reserve Bank of India.

However, bond market sentiment began to worsen from June 2013 onwards after the FOMC chairman surprised the market by discussing the probability of Fed Tapering by as early as September 2013. This not only led to sudden hardening of bond yields in the US but also in emerging markets. It also resulted in massive outflows from EM bonds, amid sharp weakness in EM currencies.

Indian bond yields hardened and the INR weakened sharply after the Reserve Bank of India took a series of steps in mid July in order to stem the decline in the rupee. However, the market was unmoved by these steps as market participants began to focus on India's worsening current account deficit and the prospects of a further widening of the fiscal deficit. The INR touched a peak of 68.85 against the dollar on Aug 28, 2013 while the benchmark 10Y government bond yield touched a high of 9.50% on August 20, 2013.

Global bond markets got some respite after the FOMC decided to delay their tapering decision amid political uncertainties. This development provided a window of opportunity to both the RBI and the government to address some market concerns and take corrective steps.

The government and the RBI without any delay announced a series of measures to attract FII flows into the country to contain current account deficit. The government announced further curbs on gold imports and initiated a monthly 1% increase in diesel price with the aim of reducing under-recoveries of oil marketing companies. The RBI, under the leadership of Dr. Raghuram Rajan, announced an ambitious deposit program which managed to collect around \$34 billion through the FCNR-B deposit program as well as Tier-1 bank capital borrowing. This amount was higher than market participants' expectations of around \$15 billion.

The last quarter of FY2014 was better for the rupee after the RBI reiterated its focus on containing consumer price inflation and raised the Repo Rate by 75 basis points to 8% and maintained a tight liquidity bias. The rupee closed FY2014 at around 60 per dollar while the benchmark 10Y government bond yield closed at around 8.80% on March 28, 2014.

b. Equity Market: 2013 - 14

The first 6 months of FY2014 were riddled with poor macro-economic data (weak rupee, stubborn inflation and widening current account deficit) and volatile equity markets. The second half of the year was much better with improvements on the economic and policy front, thanks to decisive action by the RBI and progress on the legislative front. On the policy front, some significant decisions announced over the last several months include increase in the subsidized price of diesel, as also the opening up of foreign direct investment limit in various sectors like telecom and defence.

The equity markets closed the year on a positive note with the BSE Sensex gaining 19.7%. This has in part helped by improving sentiments in the run up to the General Elections in May 2014. The rupee had a volatile year and saw a low of around INR 69/USD in August before bouncing back to current levels thanks to various measures announced by the RBI.

III. INVESTMENT OBJECTIVES & PERFORMANCE OF THE SCHEMES

Investment Objectives of the Schemes, one year and since inception performance vis-à-vis its benchmarks, closing Assets under Management ('AuM') as on March 31, 2014 for all open ended schemes of the Fund and DSP BlackRock RGESS Fund - Series 1 are given in **Annexure I** to this Report.

IV. BRIEF COMMENTARY ON ONE YEAR AND SINCE INCEPTION SCHEME PERFORMANCE

EQUITY SCHEMES

- a. **DSP BLACKROCK EQUITY FUND:** The scheme outperformed its benchmark (CNX 500 Index) over the last financial year. The outperformance was largely due to the scheme's stock selection. The sector positions which added to relative performance were underweight in financials and consumer staples and overweight in information technology and industrials. The scheme also outperformed its benchmark since inception.

- b. **DSP BLACKROCK TECHNOLOGY.COM FUND:** The scheme underperformed its benchmark (S&P BSE TECK Index) over the last financial year. The underperformance may be attributed to the scheme's underweight position in the telecom sector, and underweight in the media sector. However, the scheme has outperformed its benchmark since inception.

- c. **DSP BLACKROCK OPPORTUNITIES FUND:** The scheme outperformed its benchmark (CNX 500 Index) over the last financial year. The outperformance was largely due to the scheme's stock selection. The sector positions which added to relative performance were underweight in financials and consumer discretionary and overweight in information technology and healthcare. The scheme also outperformed its benchmark since inception.

- d. **DSP BLACKROCK TOP 100 EQUITY FUND:** The scheme underperformed its benchmark (S&P BSE 100 Index) over the last financial year. The underperformance was largely due to the scheme's stock selections within consumer discretionary and industrials. However, the scheme has outperformed its benchmark since inception.

- e. **DSP BLACKROCK INDIA T.I.G.E.R. FUND (The Infrastructure Growth and Economic Reforms Fund):** The scheme underperformed its benchmark (S&P BSE 100 Index) over the last financial year. The underperformance was due to the scheme's stock selections within materials and consumer discretionary. Not owning benchmark positions in information technology, healthcare and consumer staples, as per mandate, also detracted from relative performance versus the benchmark. However, the scheme has outperformed its benchmark since inception.

- f. **DSP BLACKROCK SMALL AND MID CAP FUND:** The scheme outperformed its benchmark (CNX Midcap Index) over the last financial year. The outperformance was largely due to the scheme's stock selection. The sector positions which added to relative performance were underweight in financials and healthcare and overweight in consumer discretionary and information technology. The scheme also outperformed its benchmark since inception.

- g. **DSP BLACKROCK TAX SAVER FUND:** The scheme outperformed its benchmark (CNX 500 Index) over the last financial year. The outperformance was largely due to the scheme's stock selection. The sector positions which added to relative performance were underweight in financials and consumer discretionary and overweight in healthcare and information technology. The scheme also outperformed its benchmark since inception.

- h. **DSP BLACKROCK MICRO CAP FUND:** The scheme outperformed its benchmark (S&P BSE Smallcap Index) over the last financial year. The outperformance was largely due to the scheme's stock selection within consumer discretionary, healthcare, information technology and financials. The scheme also outperformed its benchmark since inception.

- i. **DSP BLACKROCK NATURAL RESOURCES AND NEW ENERGY FUND:** The scheme underperformed its benchmark (Composite Benchmark comprising 35% S&P BSE Oil & Gas Index, 30% S&P BSE Metals Index, 35% MSCI World Energy Index (net and expressed in INR)) over the last financial year. However, the scheme has outperformed its benchmark since inception.

- j. **DSP BLACKROCK FOCUS 25 FUND:** The scheme underperformed its benchmark (S&P BSE 200 Index) over the last financial year. The underperformance was largely due to the scheme's stock selection within energy, industrials and telecom. The scheme also underperformed its benchmark since inception.

- k. **DSP BLACKROCK RGESS FUND - SERIES 1:** The scheme underperformed its benchmark (S&P BSE 100) marginally. The underperformance was due to overweight positions in consumer discretionary and industrials, and underweight positions in materials.

FUND OF FUNDS SCHEMES

- l. **DSP BLACKROCK WORLD GOLD FUND:** The scheme outperformed its benchmark (FTSE Gold Mines Index) over the last financial year. This outperformance by Underlying Fund can be attributed to off benchmark positions in royalty companies. Gold royalty companies have been comparatively good performers for some time as the market has shown itself willing to pay for high quality royalty exposure, given concerns over cost inflation and operational risk as well as its more defensive nature. The scheme has also outperformed its benchmark since inception. Given where valuations and margins currently stand, we can say that the beta (between gold equities and gold price) has returned. Moreover, with many new management teams in place, improving capital discipline and operational efficiency and a greater focus on shareholder returns are increasingly evident and should help to rebuild shareholder trust.

- m. **DSP BLACKROCK WORLD ENERGY FUND:** The scheme underperformed its composite benchmark (Composite Benchmark comprising 70% MSCI World Energy (Net); 30% MSCI World (Net)) over the last financial year. The Underlying Fund's overweight to exploration and production companies hurt relative performance, with positions in Hurricane Energy and Cairn Energy among the top detractors over the period. The team became more positive on the outlook for large-capitalization, integrated oil stocks and as such reduced the Underlying Fund's underweight to the sub-sector. The Underlying Fund's underweight (albeit

reduced underweight) weighed on relative performance as rhetoric from the integrated companies regarding improved capital discipline and increased focus on free-cash-flow helped drive unit price performance of the Underlying Fund. The scheme has also underperformed its benchmark since inception. The sector trades at a significant discount on both price-to-book and price-to-earnings multiples and the fund manager sees a potential for a rerating of certain stocks this year which should contribute to performance.

- n. **DSP BLACKROCK WORLD MINING FUND:** The scheme outperformed its benchmark (HSBC Global Mining (cap) Index) over the last financial year. The Underlying Fund's outperformance can be primarily attributed to overweight positions in the copper and iron ore sub-sectors, with holdings in Freeport McMoran and iron ore producer Fortescue among the top relative performers. The Underlying Fund's exposure to nickel also aided relative performance as concerns over a potential future supply deficit buoyed the commodity price. However, the scheme has underperformed its benchmark since inception. The global economic backdrop is showing signs of synchronous growth and this has typically been supportive of commodity prices. Mining companies are trading on an undemanding valuation and an attractive dividend yield. With capital expenditure rolling off, management are guiding investors towards rising free cash flows.
- o. **DSP BLACKROCK WORLD AGRICULTURE FUND:** The scheme outperformed its benchmark (DAX Global Agribusiness Index) over the last financial year. It was a difficult period for most agricultural commodities and as such the Underlying Fund's bias to midstream and downstream sub-sectors helped drive outperformance. The Underlying Fund's overweight to the livestock sub-sector aided relative returns, with a position in US livestock producer Tyson Foods the top-performer. An overweight to the agribusiness sub-sector also buoyed relative returns as the sub-sector enjoyed positive momentum following a recovery in grain volumes and utilization rates. However, the scheme has underperformed its benchmark since inception. Lower crop price levels present opportunities for downstream companies, such as in the livestock and agribusiness sub-sectors. Moreover, in time the market will absorb this volume as demand increases in the face of these lower prices, such that we should return to a scenario of rising commodity prices in order to incentivise supply growth once more.
- p. **DSP BLACKROCK US FLEXIBLE EQUITY FUND:** The scheme underperformed its benchmark (Russell 1000 Index) over the last financial year. The Underlying Fund's overweight to IT and Financials and underweight to the defensive consumer staples, telecom and utilities were the drivers to the fund performance. The Underlying Funds performance was helped by exposure to a few US companies that benefited from the recovery in the European economy. The scheme has also underperformed its benchmark since inception. The base case assumption is that US underlying corporate fundamentals in US remain constructive. This, coupled with an improving economic outlook in Europe and stable growth trajectory for the US economy, should allow for further, if more measured, equity market appreciation.
- q. **DSP BLACKROCK DYNAMIC ASSET ALLOCATION FUND:** The scheme was launched in the financial year ending March 31, 2014 (NFO period was from January 17, 2014 to January 31, 2014). The scheme uses the yield gap model to allocate assets between equity and debt. Based on this model, the asset allocation of the scheme (as of April 30, 2014) is as follows: Equity 10%, Debt: 90%. The equity allocation of the scheme has been invested in DSP BlackRock Equity Fund and DSP BlackRock Top 100 Equity Fund and the debt allocation of the scheme has been invested in DSP BlackRock Short Term Fund, DSP BlackRock Strategic Bond Fund and DSP BlackRock Money Manager Fund.

HYBRID SCHEMES

- r. **DSP BLACKROCK BALANCED FUND:** The scheme has under-performed its benchmark (CRISIL Balanced Fund Index) during the financial year. The underperformance was largely on account of overweight positions in telecom and underweight positions in materials. The units under the scheme were allotted on May 27, 1999 and the benchmark of the scheme was introduced on April 1, 2002. As such, the performance of the scheme vis-a-vis benchmark since inception is not available. However, the scheme has outperformed its benchmark from the date of introduction of benchmark.
- s. **DSP BLACKROCK MIP FUND (Monthly income is not assured and is subject to availability of distributable surplus):** The scheme has outperformed its benchmark (CRISIL MIP Blended Index) during the financial year. The outperformance was largely on account of stock selection in information technology and healthcare. The scheme also outperformed its benchmark since inception.

INCOME SCHEMES

- t. **DSP BLACKROCK LIQUIDITY FUND:** The scheme outperformed its benchmark (CRISIL Liquid Fund Index) in the first-half during the rising interest rate environment but under-performed it in the second-half when money market rates fell sharply after the RBI took steps to ease systemic liquidity. The scheme's relatively lower average maturity helped mitigate downside risk in a volatile year. The scheme underperformed its benchmark over the last financial year. However, the scheme outperformed its benchmark since inception.

- u. **DSP BLACKROCK MONEY MANAGER FUND:** The scheme outperformed its benchmark (CRISIL Liquid Fund Index) in the last financial year. Its outperformance can be attributed to its appropriate positioning on the money market yield curve. The scheme's conservative rating profile and relatively lower average maturity also contributed to its better performance. The scheme also outperformed its benchmark since inception.
- v. **DSP BLACKROCK STRATEGIC BOND FUND:** The scheme outperformed its benchmark (CRISIL Composite Bond Fund Index) in the last financial year. The scheme's outperformance can be attributed to its active duration management and optimal asset allocation amid a volatile interest rate environment during the first-half of the year. The scheme also outperformed its benchmark since inception.
- w. **DSP BLACKROCK INCOME OPPORTUNITIES FUND:** The scheme underperformed its benchmark (CRISIL Liquid Fund Index) in the last financial year. Its underperformance can be attributed to its relatively higher average maturity in the second-half of the year. However, the scheme has outperformed its benchmark since inception.
- x. **DSP BLACKROCK SHORT TERM FUND:** The scheme underperformed its benchmark (CRISIL Liquid Fund Index) in the last financial year. Its underperformance can be attributed to its relatively higher average maturity in the second-half of the year. However, the scheme has outperformed its benchmark since inception.
- y. **DSP BLACKROCK BOND FUND:** The scheme underperformed its benchmark (CRISIL Composite Bond Fund Index) in the last financial year. The scheme's underperformance can be attributed to its relatively higher average maturity amid a volatile interest rate environment during the first-half of the year. The scheme also underperformed its benchmark since inception.
- z. **DSP BLACKROCK GOVERNMENT SECURITIES FUND:** The scheme underperformed its benchmark (Long ICICI Securities Sovereign Bond Index) in the last financial year. The scheme's underperformance can be attributed to its relatively conservative duration profile in the second-half of the financial year. The scheme also underperformed its benchmark since inception.
- aa. **DSP BLACKROCK TREASURY BILL FUND:** The scheme outperformed its benchmark (Short ICICI Securities Sovereign Bond Index) in the last financial year. The scheme's outperformance can be attributed to its active duration management in the first-half of the financial year. However the scheme has underperformed its benchmark since inception.
- ab. **DSP BLACKROCK BANKING & PSU DEBT FUND:** The scheme was launched in September 2013 when money market rates were quite high. The scheme has benefited by taking advantage of higher money market yields. The scheme has performed reasonably well since its short time span. The direct plan of the scheme has outperformed its benchmark since inception.

FIXED MATURITY PLANS/ FIXED TERM PLANS/ DUAL ADVANTAGE FUNDS (CLOSED-ENDED SCHEMES):

- ac. DSPBRMF launched 33 Fixed Maturity Plans (FMPs), 6 Fixed Term Plans (FTPs) and 6 Dual Advantage Funds (DAFs), during the Financial Year 2013-2014. Details of these Schemes are given as **Annexure II**. FMPs, FTPs, and DAFs performed well and in accordance with their expectations at the time of their launches. However, their performance comparison with their respective benchmarks may vary depending on their tenor, portfolio construction, rating profile as well as sector allocation.

V. FUTURE OUTLOOK

a. Bond Market

We are turning cautiously optimistic regarding our future outlook on interest rates. We believe that the interest rate cycle is closer to its peak. After remaining higher since 2011, we expect the benchmark 10Y government bond yield to gradually decline over the next 12 to 18 months. We expect the RBI to maintain its focus on bringing down inflation. We also expect the government to maintain a contractionary fiscal policy stance in the current fiscal year and focus on addressing supply-side constraints which will help mitigate inflationary pressures over the next two years. A stable inflationary environment as well as contractionary fiscal policies by the new government may pave the way for a sustained decline in interest rates in the long-term.

b. Equity Market

The election verdict has been a truly historic one and we expect economic growth momentum to accelerate in the coming months, we expect to see progress on the economic reforms front, as the new government focuses on critical areas like infrastructure development, enabling industrial growth and kick starting capital investments.

We are optimistic that equity markets will continue to react positively after this landmark event. We see a period of strong earnings growth for Indian companies which should benefit from operating leverage with the revival of economic growth. Valuations are at fair levels for the broad market, and there are attractive investment opportunities in cyclical sectors like energy, financials and industrials, and from a bottom-up perspective in mid and small cap companies with solid fundamentals.

We believe that Indian equity markets are at the cusp of a strong uptrend that should likely deliver attractive returns over the next 3-5 years.

VI. BUSINESS OPERATIONS

Average Assets under Management of the Fund for the quarter ended March 31, 2014 stood at Rs 31,966.12 crore. The Fund managed 27 open ended schemes and 58 closed ended schemes as on March 31, 2014.

The Fund launched DSP BlackRock Dynamic Asset Allocation Fund, an open ended Fund of Funds Scheme, in January 2014 which seeks to dynamically manage the asset allocation between the specified equity schemes and debt schemes of the Fund based on the relative valuation of equity and debt markets. The Scheme collected Rs. 488 crore in the New Fund Offer period and the assets under management of the Scheme as on June 15, 2014 had crossed Rs. 1,000 crore.

VII. INVESTOR SERVICES

The Fund services an investor base of more than 29 lakhs accounts through AMC Offices as well as offices of its Registrar and Transfer Agent, Computer Age Management Services Pvt. Ltd. (CAMS) in about 200 locations across India. Additionally, the AMC has three dedicated Call Centres at Mumbai, New Delhi and Ahmedabad to service investors and distributors.

The Fund continues to provide value added services to investors and distributors with a view to provide easier and better means of transacting, seeking information, etc.

For the convenience of its investors and distributors, the AMC initiated following key facilities during the year:

- Introduction of One Time Mandate (OTM) facility, which was the first of its kind in the industry. Through this facility the investor can register a debit mandate for a specified amount through a simple registration process. Thereafter the investor can subscribe into any scheme by sending a sms or through the mutual fund's website or Call Centre or even submit a physical purchase request without a cheque.
- Transacting with the Fund was made simpler for investors who have registered a One Time Mandate by offering facility to start new SIPs, submit redemption and switch request by sending an sms or through its website or Call Centre.
- Facility to register SIPs with multiple schemes, amount and dates through a simple SIP Registration Form for investors who have registered a one time mandate.
- The Fund now sends sms as well as emails on receipt of transactions i.e. 'Transaction Receipt Alerts' to acknowledge the receipt of transactions and also to alert the investor in case of any fraudulent transactions. This is in addition to the sms and emails which are sent after processing the transactions.
- The website of the Fund was enhanced for investors to generate their PIN without submitting a physical request and access their portfolio online.
- For the benefit of distributors, the Fund started daily automated emails to inform them about the list of all SIP/STP/SWP/OTM registrations / cancellations and help them to service their investors better.
- Monthly email reminders are sent to investors and distributors informing them about the FMP / FTP / DAF maturities in the following month which will help them plan their investments upon maturity.
- To assist investors awaiting their turn in front office counters, the AMC has put up an Insta Phone facility at most of the AMC Branches, for investors to instantly connect to the Call Centre for query resolutions.

VIII. INVESTOR COMPLAINTS

The Trustees were pleased to note that the grievances, complaints and queries of investors and distributors were resolved promptly by the Client Response Team of the AMC. There were 760 complaints received during the financial year 2013-14 which were all redressed within 30 days. The statement giving details of redressal of complaints received during the financial year is given in **Annexure III** attached to this Report.

We strongly advise investors to regularly check their account statements of the Fund and verify whether any redemptions or dividends have either not been received by them or not encashed. They can approach any of the AMC offices or contact the Call Centre for claiming the same.

We also advise investors to register their email address and mobile numbers to get alerts on their financial and non financial transactions.

We take this opportunity to encourage investors to provide their valuable feedback by email at service@dsblackrock.com or call us on 1800 200 4499.

IX. SIGNIFICANT ACCOUNTING POLICIES

Accounting policies for preparation of financial statements of all the schemes are in accordance with the Securities Exchange Board of India (Mutual Funds) Regulations, 1996.

X. DISTRIBUTION OF INCOME

DSPBPMF has declared dividends in various Schemes during the financial year 2013-2014. Details of dividend declared are available on the website.

XI. UNCLAIMED DIVIDENDS AND REDEMPTION AMOUNTS

The Fund sends regular reminders to alert investors and distributors on any unclaimed dividend and redemptions. The Fund also follows up with the investors and distributors for redemption payment instrument not encashed by the investor within 60 days of sending the instrument.

As on March 31, 2014 the Fund had 10,350 unclaimed Dividend warrants amounting to Rs.34,496,243/- and 1,719 unclaimed Redemption warrants amounting to Rs.43,356,351/-. Scheme wise details of the same are given in **Annexure IV**.

Investors are requested to reconcile their bank account statements with the account statement sent by DSPBPMF and contact the AMC in case of any non-receipt of dividend / redemption proceeds.

XII. LARGE HOLDING IN THE SCHEMES (I.E. IN EXCESS OF 25% OF THE NET ASSETS) AS ON MARCH 31, 2014

| Scheme | Percentage of Holding | No. of Investors |
|--|-----------------------|------------------|
| DSP BlackRock Government Securities Fund | 26.92% | 1(One) |

XIII. PROXY VOTING POLICY

a. In terms of the SEBI circular dated March 15, 2010 and email dated June 23, 2011, the Fund has adopted the Proxy Voting Policy and Procedures ('the Policy') for exercising voting rights in respect of securities held by the Schemes. The Policy is attached as **Annexure V**.

b. During the FY 2013-2014, the proxy voting was exercised by the AMC for and on behalf of the Fund in 1,457 general meeting resolutions (including postal ballots) of 186 companies.

The details of voting (resolutions) at general meetings (including postal ballots) are as follows:

| | |
|-----------------------------|--------|
| (i) Voted FOR | - 1451 |
| (ii) Voted AGAINST | - 4 |
| (iii) Abstained from Voting | - 2 |

c. Please refer to **Annexure VI** for complete voting details for the period 2013-14.

XIV. STATUTORY DETAILS

DSP BlackRock Mutual Fund was set up as a Trust and the settlers/sponsors are DSP ADIKO Holdings Pvt. Ltd. & DSP HMK Holdings Pvt. Ltd. (collectively) and BlackRock Inc. The Trustee and Investment Manager to the Fund are DSP BlackRock Trustee Company Pvt. Ltd. and DSP BlackRock Investment Managers Pvt. Ltd. respectively. The Sponsors are not responsible or liable for any loss resulting from the operation of the Schemes of the Fund beyond their initial contribution (to the extent contributed) of Rs. 1 lakh for setting up the Fund, and such other accretions/additions to the same.

The price and redemption value of the units, and income from them, can go up as well as down with fluctuations in the market value of its underlying investments in securities.

Full Annual Report shall be disclosed on the website (www.dsblackrock.com) and shall be available for inspection at the Head Office of the Mutual Fund. On written request, present and prospective unit holder/investor can obtain a copy of the trust deed and the full annual report of the AMC and the text of the relevant scheme.

XV. ACKNOWLEDGEMENTS

The Trustees wish to thank the Government of India, the Securities and Exchange Board of India (SEBI), the Reserve Bank of India (RBI) and the Association of Mutual Funds in India (AMFI) for their support and guidance.

The Trustees would also like to thank the Auditors, Custodian, Fund Accountant, Registrar & Transfer Agent, Bankers, Distributors, Brokers, Stock Exchanges, Depositories, KYC Registration Agencies and all other service providers for their valuable support.

The Trustees also wish to thank all the Unitholders for their continued faith in the Fund and their strong support.

For and on behalf of **DSP BlackRock Trustee Company Pvt. Ltd.**

Sd/-

Shitin D. Desai

Chairman

DIN No.: 00009905

Place: Mumbai

Date: June 19, 2014

INVESTMENT OBJECTIVES, ONE YEAR AND SINCE INCEPTION PERFORMANCE VIS-À-VIS BENCHMARKS, CLOSING ASSETS UNDER MANAGEMENT AS ON MARCH 31, 2014 OF ALL OPEN ENDED SCHEMES OF DSP BLACKROCK MUTUAL FUND AND DSP BLACKROCK RGESS FUND - SERIES 1

| Sr. No. | Name & Nature of the Scheme | Investment Objectives | Benchmark | Inception Date/ Allotment | 1 Year Performance | | Since Inception performance | | Net Assets as on March 31, 2014 (Rs. in Cr.) |
|---------|--|--|---|---------------------------|--------------------|-----------|-----------------------------|-----------|--|
| | | | | | Scheme^** | Benchmark | Scheme^** | Benchmark | |
| 1 | DSP BlackRock Equity Fund, an open ended growth scheme. | To generate long term capital appreciation, from a portfolio that is substantially constituted of equity securities and equity related securities of issuers domiciled in India. | CNX 500 Index | 29-Apr-97 | 17.75% | 17.56% | 20.66% | 12.68% | 1,788.45 |
| 2 | DSP BlackRock Technology, com Fund, an open ended growth scheme. | To generate long term capital appreciation and the secondary objective is income generation and the distribution of dividend from a portfolio constituted of equity and equity related securities concentrating on the investment focus of the scheme. | S&P BSE TECK Index | 16-May-00 | 23.73% | 25.50% | 10.54% | 6.04% | 44.36 |
| 3 | DSP BlackRock Opportunities Fund, an open ended growth scheme. | To generate long term capital appreciation and whose secondary objective is income generation and the distribution of dividend from a portfolio constituted of equity and equity related securities concentrating on the investment focus of the scheme. | CNX 500 Index | 16-May-00 | 20.26% | 17.56% | 18.13% | 13.16% | 489.72 |
| 4 | DSP BlackRock Top 100 Equity Fund, an open ended growth scheme. | To generate capital appreciation, from a portfolio that is substantially constituted of equity securities and equity related securities of the 100 largest corporates, by market capitalisation, listed in India. | S&P BSE 100 Index | 10-Mar-03 | 15.53% | 17.95% | 24.99% | 19.94% | 2,792.21 |
| 5 | DSP BlackRock India T.I.G.E.R. Fund (The Infrastructure Growth and Econom Reform Fund), an open ended diversified equity scheme. | To generate capital appreciation, from a portfolio that is substantially constituted of equity securities and equity related securities of corporates, which could benefit from structural changes brought about by continuing liberalization in economic policies by the Government and/or from continuing investments in infrastructure, both by the public and private sector. | S&P BSE 100 Index | 11-Jun-04 | 13.61% | 17.95% | 16.67% | 16.55% | 1,049.99 |
| 6 | DSP BlackRock Small and Mid Cap Fund, an open ended equity growth scheme. | To generate long term capital appreciation from a portfolio substantially constituted of equity and equity related securities, which are not part of the top 100 stocks by market capitalization. From time to time, the Investment Manager will also seek participation in other equity and equity related securities to achieve optimal portfolio construction. | CNX Midcap Index | 14-Nov-06 | 26.10% | 16.22% | 11.15% | 7.58% | 1,008.75 |
| 7 | DSP BlackRock Tax Saver Fund, an open ended equity linked savings scheme. | To generate medium to long-term capital appreciation from a diversified portfolio that is substantially constituted of equity and equity related securities of corporates, and to enable investors avail of a deduction from total income, as permitted under the Income Tax Act, 1961 from time to time. | CNX 500 Index | 18-Jan-07 | 22.46% | 17.56% | 11.16% | 6.08% | 757.94 |
| 8 | DSP BlackRock Micro Cap Fund, an open ended diversified equity growth scheme. | To generate long term capital appreciation from a portfolio that is substantially constituted of equity and equity related securities, which are not part of the top 300 companies by market capitalisation. From time to time, the Investment Manager will also seek participation in other equity and equity related securities to achieve optimal portfolio construction. | S&P BSE Small Cap Index | 14-Jun-07 | 34.81% | 21.64% | 10.76% | -0.51% | 380.81 |
| 9 | DSP BlackRock Natural Resources and New Energy Fund, an open ended equity growth scheme. | To generate capital appreciation and provide long term growth opportunities by investing in equity and equity related securities of companies domiciled in India whose predominant economic activity is in the (a) discovery, development, production, or distribution of natural resources, viz., energy, mining etc; (b) alternative energy and energy technology sectors, with emphasis given to renewable energy, automotive and on-site power generation, energy storage and enabling energy technologies. The Scheme will also invest a certain portion of its corpus in the equity and equity related securities of companies domiciled overseas, which are principally engaged in the discovery, development, production or distribution of natural resources and alternative energy and/or the units/shares of BlackRock Global Funds - New Energy Fund (BGF - NEF), BlackRock Global Funds - World Energy Fund (BGF - WEF) and similar other overseas mutual fund schemes. The secondary objective of the scheme is to generate consistent returns by investing in debt and money market securities. | 35% S&P BSE Oil & Gas Index, 30% S&P BSE Metal Index, 35% MSCI World Energy (net as expressed in INR) | 25-Apr-08 | 18.54% | 19.74% | 5.38% | 0.34% | 60.22 |

| Sr. No. | Name & Nature of the Scheme | Investment Objectives | Benchmark | Inception Date/ Allotment | 1 Year Performance | | Since Inception performance | | Net Assets as on March 31, 2014 (Rs. in Cr.) |
|---------|--|--|--|---------------------------|--------------------|-----------|-----------------------------|-----------|--|
| | | | | | Scheme^* | Benchmark | Scheme^* | Benchmark | |
| 10 | DSP BlackRock Focus 25 Fund, an open ended equity growth scheme. | To generate long term capital growth from a portfolio of equity and equity-related securities including equity derivatives. The portfolio will largely consist of companies, which are amongst the top 200 companies by market capitalisation. The portfolio will limit exposure to companies beyond the top 200 companies by market capitalization to 20% of the net asset value. The Scheme will normally hold equity and equity related securities including equity derivatives, of upto 25 companies. Further, the Scheme will also have at least 95% of the invested amount (excluding investments in debt securities, money market securities and cash and cash equivalents) across the top 25 holdings in the portfolio. The Scheme may also invest in debt and money market securities, for defensive considerations and/or for managing liquidity requirements. | S&P BSE 200 | 10-Jun-10 | 12.84% | 17.04% | 3.47% | 5.90% | 233.06 |
| 11 | DSP Black Rock RGESS Fund - Series 1, a close ended equity scheme which shall invest in eligible securities as per Rajiv Gandhi Equity Savings Scheme, 2012. | To generate capital appreciation, from a portfolio that is substantially constituted of equity securities which are specified as eligible securities for Rajiv Gandhi Equity Savings Scheme (RGESS). The Scheme may also invest a certain portion of its corpus in cash & cash equivalent and money market instruments from time to time. | S&P BSE 100 Index | 20-Mar-13 | 17.31% | 17.70% | 13.00% | 17.00% | 47.56 |
| 12 | DSP BlackRock World Gold Fund, an open ended Fund of Funds scheme. | To generate capital appreciation by investing predominantly in units of BlackRock Global Funds - World Gold Fund (BGF-WGF). The scheme may, at the discretion of the Investment Manager, also invest in the units of other similar overseas mutual fund schemes, which may constitute a significant part of its corpus. The Scheme may also invest a certain portion of its corpus in money market securities and/or money market/liquid schemes of DSP BlackRock Mutual Fund, in order to meet liquidity requirements from time to time. | FTSE Gold Mines (cap) (in INR terms) | 14-Sep-07 | -21.94% | -29.93% | 2.00% | -2.29% | 321.31 |
| 13 | DSP BlackRock World Energy Fund, an open ended Fund of Funds scheme. | To generate capital appreciation by investing predominantly in the units of BlackRock Global Funds – World Energy Fund (BGF-WEF) and BlackRock Global Funds-New Energy Fund (BGF-NEF). The Scheme may, at the discretion of the Investment Manager, also invest in the units of other similar overseas mutual fund schemes, which may constitute a significant part of its corpus. The Scheme may also invest a certain portion of its corpus in money market securities and/or money market/liquid schemes of DSP BlackRock Mutual Fund, in order to meet liquidity requirements from time to time. | 70% MSCI World Energy (Net) 30% MSCI World (Net) | 14-Aug-09 | 18.78% | 26.69% | 7.80% | 16.09% | 112.68 |
| 14 | DSP BlackRock World Mining Fund, an open ended Fund of Funds scheme. | To seek to generate capital appreciation by investing predominantly in the units of BlackRock Global Funds - World Mining Fund (BGF - WMF). The scheme may, at the discretion of the Investment Manager, also invest in the units of other similar overseas mutual fund schemes, which may constitute a significant part of its corpus. The scheme may also invest a certain portion of its corpus in money market securities and/or money market/liquid schemes of DSP BlackRock Mutual Fund (Fund), in order to meet liquidity requirements from time to time. | HSBC Global Mining (cap) (in INR terms) | 29-Dec-09 | -2.12% | -4.60% | -3.22% | -2.92% | 24.65 |
| 15 | DSP BlackRock World Agriculture Fund, an open ended Fund of Funds scheme. | To generate capital appreciation by investing predominantly in the units of BlackRock Global Funds - World Agriculture Fund (BGF - WAF). The Scheme may, at the discretion of the Investment Manager, also invest in the units of other similar overseas mutual fund schemes, which may constitute a significant part of its corpus. The Scheme may also invest a certain portion of its corpus in money market securities and/or money market/liquid schemes of DSP BlackRock Mutual Fund, in order to meet liquidity requirements from time to time. | DAX Global Agribusiness Index | 19-Oct-11 | 15.38% | 12.41% | 15.63% | 17.67% | 37.48 |

| Sr. No. | Name & Nature of the Scheme | Investment Objectives | Benchmark | Inception Date/ Allotment | 1 Year Performance | | Since Inception performance | | Net Assets as on March 31, 2014 (Rs. in Cr.) |
|---------|--|---|--|---------------------------|--------------------|-----------|-----------------------------|-----------|--|
| | | | | | Scheme^* | Benchmark | Scheme^** | Benchmark | |
| 16 | DSP BlackRock US Flexible* Equity Fund, an open ended Fund of Funds scheme. | The primary investment objective of the Scheme is to seek capital appreciation by investing predominantly in units of BlackRock Global Funds US Flexible Equity Fund (BGF - USFEF). The Scheme may, at the discretion of the Investment Manager, also invest in the units of other similar overseas mutual fund schemes, which may constitute a significant part of its corpus. The Scheme may also invest a certain portion of its corpus in money market securities and/or money market/ liquid schemes of DSP BlackRock Mutual Fund, in order to meet liquidity requirements from time to time. It shall be noted 'similar overseas mutual fund schemes' shall have investment objective, investment strategy and risk profile/consideration similar to those of BGF - USFEF. *The term "Flexible" in the name of the Scheme signifies that the Investment Manager of the Underlying Fund can invest either in growth or value investment characteristic securities placing an emphasis as the market outlook warrants. | Russell 1000 Index | 03-Aug-12 | 31.63% | 33.40% | 27.02% | 28.76% | 121.67 |
| 17 | DSP BlackRock Dynamic Asset Allocation Fund, an Open Ended Fund of Funds scheme. | To generate capital appreciation by managing the asset allocation between specified equity mutual funds schemes and debt mutual funds schemes of DSP BlackRock Mutual Fund. The Scheme will dynamically manage the asset allocation between the specified equity mutual funds schemes and debt mutual funds schemes of DSP BlackRock Mutual Fund based on the relative valuation of equity and debt markets. The Scheme may also invest a certain portion of its corpus in money market securities and/ or money market/liquid schemes of DSP BlackRock Mutual Fund, in order to meet liquidity requirements from time to time. | Crisil Balanced Fund Index | 06-Feb-14 | NA | NA | 2.22% | 7.50% | 679.22 |
| 18 | DSP BlackRock Balanced Fund is an open ended balanced scheme. | To seek to generate long term capital appreciation and current income from a portfolio constituted of equity and equity related securities as well as fixed income securities (debt and money market securities). | Crisil Balanced Fund Index | 27-May-99 | 11.91% | 13.29% | 14.50% | NA | 468.12 |
| 19 | DSP BlackRock MIP Fund, an open ended income scheme. | To generate income, consistent with prudent risk, from a portfolio which is substantially constituted of quality debt securities. The Scheme will also seek to generate capital appreciation by investing a smaller portion of its corpus in equity and equity related securities of issuers domiciled in India. | Crisil MIP Blended Index | 11-Jun-04 | 8.45% | 6.44% | 9.59% | 7.53% | 392.23 |
| 20 | DSP BlackRock Liquidity Fund, an open ended income (liquid) scheme. | To generate a reasonable return commensurate with low risk and a high degree of liquidity, from a portfolio constituted of money market securities and high quality debt securities. | Crisil Liquid Fund Index | 23-Nov-05 | 9.29% | 9.46% | 7.53% | 7.24% | 2,385.87 |
| 21 | DSP BlackRock Money Manager Fund, an open ended income scheme. | To generate returns commensurate with risk from portfolio constituted of money market securities and/or debt securities. | Crisil Liquid Fund Index | 31-Jul-06 | 9.39% | 9.46% | 7.48% | 7.37% | 1,847.53 |
| 22 | DSP BlackRock Strategic Bond Fund, an open ended income scheme. | To generate optimal returns with high liquidity through active management of the portfolio by investing in high quality debt and money market securities. | Crisil Composite Bond Fund Index | 12-Nov-08 | 6.21% | 4.32% | 7.15%\$ | 7.12%\$ | 2,034.51 |
| 23 | DSP BlackRock Income Opportunities Fund, an open ended income scheme. | To generate returns commensurate with risk from a portfolio constituted of money market securities and/ or debt securities. | Crisil Liquid Fund Index | 13-May-03 | 8.54% | 9.46% | 6.64% | 6.54% | 1,098.50 |
| 24 | DSP BlackRock Short Term Fund, an open ended income scheme. | To generate returns commensurate with risk from a portfolio constituted of money market securities and/or debt securities. | Crisil Liquid Fund Index | 09-Sep-02 | 8.19% | 9.46% | 6.88% | 6.47% | 888.06 |
| 25 | DSP BlackRock Bond Fund, an open ended income scheme. | To generate an attractive return, consistent with prudent risk, from a portfolio which is substantially constituted of high quality debt securities, predominantly of issuers domiciled in India. As a secondary objective, the scheme will seek capital appreciation. | Crisil Composite Bond Fund Index | 29-Apr-97 | 2.97% | 4.32% | 8.30% | NA | 281.28 |
| 26 | DSP BlackRock Government Securities Fund, an open ended income scheme. | To generate income through investment in Central Government Securities of various maturities. | Long ICICI Securities Sovereign Bond Index | 30-Sep-99 | 0.65% | 1.60% | 9.76% | 10.92% | 230.61 |

| Sr. No. | Name & Nature of the Scheme | Investment Objectives | Benchmark | Inception Date/ Allotment | 1 Year Performance | | Since Inception performance | | Net Assets as on March 31, 2014 (Rs. in Cr.) |
|---------|---|--|---|---------------------------|--------------------|-----------|-----------------------------|-----------|--|
| | | | | | Scheme^* | Benchmark | Scheme^* | Benchmark | |
| 27 | DSP BlackRock Treasury Bill Fund, an open ended income scheme. | To generate income through investment in Treasury Bills and other Central Government Securities having maturities of less than or equal to 1 year. | Short ICICI Securities Sovereign Bond Index | 30-Sep-99 | 10.11% | 6.61% | 6.81% | 8.04% | 335.08 |
| 28 | DSP BlackRock Banking & PSU Debt Fund, an open ended income scheme. | To generate income and capital appreciation by primarily investing in a portfolio of high quality debt and money market securities that are issued by banks and public sector entities/undertakings. | CRISIL Short-Term Bond Fund Index | 14-Sep-13 | NA | NA | 5.69% | 5.81% | 247.44 |

^Past performance may or may not be sustained in the future.

Above returns are compounded annualized (CAGR). 1 Year return are from March 28, 2013 to March 31, 2014.

Returns are calculated on Rs. 10/- / Rs. 1000/- (as applicable) (allotment price per Unit) invested at inception viz. date of allotment.

* Returns are for Regular Plan - Growth Option for all schemes except for DSP BlackRock Equity Fund where returns are for Regular Plan - Reinvestment Dividend Option, DSP BlackRock Liquidity Fund and DSP BlackRock Strategic Bond Fund where returns are for Institutional Plan - Growth Option.

§ The Institutional Plan under DSP BlackRock Strategic Bond Fund was launched on May 9, 2007, however inception returns have been calculated from November 12, 2008 as there were no investors in the Institutional Plan for a significant period before this date.

N.A.: Not Available.

Annexure II

DETAILS OF CLOSED ENDED SCHEMES LAUNCHED DURING FY 2013-14

a. Fixed Maturity Plans (FMPs) launched during the Financial Year 2013-2014.

The primary investment objective of the FMPs is to seek to generate returns and capital appreciation by investing in a portfolio of debt and money market securities. The FMPs invests only in such securities which mature on or before the date of maturity of the FMP.

| Scheme Name | Date of Launch | Maturity Date |
|--------------------------------------|----------------|---------------|
| DSP BlackRock FMP - Series 95 -12M | 04-Apr-13 | 15-Apr-14 |
| DSP BlackRock FMP - Series 103 -12M | 04-Jul-13 | 10-Jul-14 |
| DSP BlackRock FMP - Series 104 -12M | 19-Jul-13 | 28-Jul-14 |
| DSP BlackRock FMP - Series 105 -12M | 26-Jul-13 | 05-Aug-14 |
| DSP BlackRock FMP - Series 106 -3M | 29-Jul-13 | 05-Nov-13 |
| DSP BlackRock FMP - Series 107 -12M | 02-Aug-13 | 11-Aug-14 |
| DSP BlackRock FMP - Series 108 -12M | 07-Aug-13 | 19-Aug-14 |
| DSP BlackRock FMP - Series 109 -12M | 08-Aug-13 | 19-Aug-14 |
| DSP BlackRock FMP - Series 110 -12M | 16-Aug-13 | 27-Aug-14 |
| DSP BlackRock FMP - Series 111 -12M | 22-Aug-13 | 01-Sep-14 |
| DSP BlackRock FMP - Series 112 -3M | 26-Aug-13 | 28-Nov-13 |
| DSP BlackRock FMP - Series 113 -12M | 27-Aug-13 | 04-Sep-14 |
| DSP BlackRock FMP - Series 114 -3M | 29-Aug-13 | 03-Dec-13 |
| DSP BlackRock FMP - Series 115 -12M | 06-Sep-13 | 15-Sep-14 |
| DSP BlackRock FMP - Series 116 -3M | 06-Sep-13 | 11-Dec-13 |
| DSP BlackRock FMP - Series 117 -12M | 10-Sep-13 | 22-Sep-14 |
| DSP BlackRock FMP - Series 118 -12M | 12-Sep-13 | 25-Sep-14 |
| DSP BlackRock FMP - Series 119 -12M | 12-Sep-13 | 29-Sep-14 |
| DSP BlackRock FMP - Series 126 -12M | 05-Nov-13 | 26-Nov-14 |
| DSP BlackRock FMP - Series 129 - 12M | 05-Dec-13 | 18-Dec-14 |
| DSP BlackRock FMP - Series 130 - 12M | 12-Dec-13 | 29-Dec-14 |
| DSP BlackRock FMP - Series 144 - 12M | 24-Jan-14 | 09-Feb-15 |
| DSP BlackRock FMP - Series 145 - 12M | 06-Feb-14 | 16-Feb-15 |
| DSP BlackRock FMP - Series 146 - 12M | 12-Feb-14 | 26-Feb-15 |
| DSP BlackRock FMP - Series 147 - 3M | 14-Feb-14 | 19-May-14 |
| DSP BlackRock FMP - Series 148 - 12M | 21-Feb-14 | 03-Mar-15 |
| DSP BlackRock FMP - Series 149 - 12M | 26-Feb-14 | 09-Mar-15 |

| Scheme Name | Date of Launch | Maturity Date |
|--|----------------|---------------|
| DSP BlackRock FMP - Series 150 - 13M | 03-Mar-14 | 06-Apr-15 |
| DSP BlackRock FMP - Series 151 - 12M | 05-Mar-14 | 18-Mar-15 |
| DSP BlackRock FMP - Series 152 - 12.5M | 07-Mar-14 | 06-Apr-15 |
| DSP BlackRock FMP - Series 153 - 12M | 11-Mar-14 | 23-Mar-15 |
| DSP BlackRock FMP - Series 154 - 12.5M | 14-Mar-14 | 06-Apr-15 |
| DSP BlackRock FMP - Series 155 - 12M | 17-Mar-14 | 30-Mar-15 |

b. Fixed Term Plans (FTPs) launched during the Financial Year 2013-2014.

The primary investment objective of the FTPs is to seek to generate returns and capital appreciation by investing in a portfolio of debt and money market securities. The FTPs invests only in such securities which mature on or before the date of maturity of the FTP.

| Scheme Name | Date of Launch | Maturity Date |
|-------------------------------------|----------------|---------------|
| DSP BlackRock FTP - Series 31 - 36M | 07-Aug-13 | 23-Aug-16 |
| DSP BlackRock FTP - Series 32 - 24M | 06-Sep-13 | 21-Sep-15 |
| DSP BlackRock FTP - Series 33 - 24M | 21-Nov-13 | 01-Dec-15 |
| DSP BlackRock FTP - Series 36 - 15M | 08-Jan-14 | 20-Apr-15 |
| DSP BlackRock FTP - Series 37 - 14M | 07-Feb-14 | 20-Apr-15 |
| DSP BlackRock FTP - Series 38 - 25M | 21-Feb-14 | 14-Apr-16 |

c. Dual Advantage Funds (DAFs) launched during the Financial Year 2013-2014.

The primary investment objective of the DAFs is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. DAFs also seek to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the DAFs invests only in securities which mature on or before the date of maturity of the DAF.

| Scheme Name | Date of Launch | Maturity Date |
|-------------------------------------|----------------|---------------|
| DSP BlackRock DAF - Series 14 - 33M | 08-Apr-13 | 05-Jan-16 |
| DSP BlackRock DAF - Series 15 - 36M | 13-May-13 | 04-Jul-16 |
| DSP BlackRock DAF - Series 16 - 36M | 17-Jun-13 | 04-Jul-16 |
| DSP BlackRock DAF - Series 17 - 35M | 07-Aug-13 | 04-Jul-16 |
| DSP BlackRock DAF - Series 18 - 34M | 16-Sep-13 | 04-Jul-16 |
| DSP BlackRock DAF - Series 19 - 36M | 17-Oct-13 | 08-Nov-16 |

REDRESSAL OF INVESTOR COMPLAINTS DURING FY 2013-2014

ANNEXURE III

Total Number of Folios: 28,92,620 (as on March 31, 2014)

| Complaint Code | Type of Complaint# | (a) No. of complaints pending at the beginning of the year | (b) No. of Complaints received during the year to date 2013-2014 | Action on (a) and (b) | | | | | | | | |
|----------------|---|--|--|-----------------------|------------|-------------|-----------------|-----------------|-------------------------------|------------|------------|-------------|
| | | | | Resolved | | | | Non Actionable* | Pending as on 31st March 2014 | | | |
| | | | | Within 30 days | 30-60 days | 60-180 days | Beyond 180 days | | 0-3 months | 3-6 months | 6-9 months | 9-12 months |
| I A | Non receipt of Dividend on Units | 0 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I B | Interest on delayed payment of Dividend | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I C | Non receipt of Redemption Proceeds | 0 | 10 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Complaint Code | Type of Complaint# | (a) No. of complaints pending at the beginning of the year | Action on (a) and (b) | | | | | | | | | |
|----------------|--|--|--|----------------|------------|-------------|-----------------|-----------------|-------------------------------|------------|------------|-------------|
| | | | (b) No. of Complaints received during the year to date 2013-2014 | Resolved | | | | Non Actionable* | Pending as on 31st March 2014 | | | |
| | | | | Within 30 days | 30-60 days | 60-180 days | Beyond 180 days | | 0-3 months | 3-6 months | 6-9 months | 9-12 months |
| I D | Interest on delayed payment of Redemption | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| II A | Non receipt of Statement of Account/Unit Certificate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| II B | Discrepancy in Statement of Account | 0 | 26 | 26 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| II C | Data corrections in Investor details | 0 | 626 | 626 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| II D | Non receipt of Annual Report/ Abridged Summary | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| III A | Wrong switch between Schemes | 0 | 5 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| III B | Unauthorized switch between Schemes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| III C | Deviation from Scheme attributes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| III D | Wrong or excess charges/load | 0 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| III E | Non updation of changes viz. address, PAN, bank details, nomination, etc | 0 | 12 | 12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| IV | Others (SIP related) | 0 | 76 | 76 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total | 0 | 760 | 760 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

- including against its authorized persons / distributors / employees etc.

* Non actionable - means the complaint that are incomplete / outside the scope of the Mutual Fund.

Annexure IV

UNCLAIMED DIVIDENDS AND REDEMPTION AMOUNTS

Based on the information received from the bankers to the dividend / redemption account, the amount of dividends / redemption declared and remaining unclaimed (for more than six months) as on the balance sheet date is given in the table below:

Apart from the above, a further sum of Rs. 1,504,730/- pertaining to 188 cases is outstanding for more than 3 years and is in the Investor Protection Fund.

| Scheme Name | Unclaimed Dividend | | Unclaimed Redemption | |
|---|--------------------|--|----------------------|--|
| | Amount (₹) | No. of Unclaimed Drafts/ Warrants/ Credits | Amount (₹) | No. of Unclaimed Drafts/ Warrants/ Credits |
| DSP BlackRock Balanced Fund | 958,102 | 158 | 710,451 | 31 |
| DSP BlackRock Bond Fund | 1,071,014 | 520 | 139,849 | 9 |
| DSP BlackRock Equity Fund | 6,196,513 | 944 | 2,264,833 | 96 |
| DSP BlackRock Income Opportunities Fund | 128,371 | 18 | 33,461 | 20 |
| DSP BlackRock Focus 25 Fund | - | - | 297,781 | 31 |
| DSP BlackRock Government Securities Fund | 30,072 | 12 | 225 | 1 |
| DSP BlackRock India T.I.G.E.R. Fund | 6,342,932 | 2,333 | 13,550,233 | 554 |
| DSP BlackRock Liquidity Fund | 30,837 | 2 | 2,871 | 11 |
| DSP BlackRock Micro Cap Fund | - | - | 849,475 | 39 |
| DSP BlackRock MIP Fund | 714,755 | 976 | 126,034 | 62 |
| DSP BlackRock Money Manager Fund | - | - | 180,698 | 17 |
| DSP BlackRock Natural Resources and New Energy Fund | 285,942 | 152 | 484,542 | 32 |
| DSP BlackRock Opportunities Fund | 5,056,169 | 818 | 4,919,865 | 89 |
| DSP BlackRock Short Term Fund | 1,240,689 | 39 | 715 | 4 |
| DSP BlackRock Small and Mid Cap Fund | 3,010,816 | 1,140 | 3,864,414 | 186 |
| DSP BlackRock Strategic Bond Fund | - | - | 1,910 | 2 |
| DSP BlackRock Tax Saver Fund | 3,506,454 | 1,756 | 4,418,806 | 224 |
| DSP BlackRock Technology.com Fund | 970,627 | 164 | 451,665 | 30 |
| DSP BlackRock Top 100 Equity Fund | 4,036,227 | 1,189 | 7,250,763 | 155 |
| DSP BlackRock Treasury Bill Fund | - | - | 602 | 1 |
| DSP BlackRock World Energy Fund | - | - | 188,384 | 9 |
| DSP BlackRock World Gold Fund | 895,294 | 125 | 3,356,659 | 108 |
| DSP BlackRock World Mining Fund | - | - | 92,211 | 4 |
| DSP BlackRock World Agriculture Fund | - | - | 1,471 | 1 |
| DSP BlackRock Banking & PSU Debt Fund | - | - | 103,233 | 1 |
| DSP BlackRock FMP - Series 12 - 12M | 304 | 1 | 12,047 | 1 |
| DSP BlackRock FMP - Series 4 - 13M | - | - | 53,153 | 1 |
| DSP BlackRock FMP - Series 16 - 12M | 6,803 | 2 | - | - |
| DSP BlackRock FMP - Series 28 - 3M | 14,322 | 1 | - | - |
| Grand Total: | 34,496,243 | 10,350 | 43,356,351 | 1,719 |

PROXY VOTING POLICY**I. INTRODUCTION**

The Corporate Governance Committee (**'the Committee'**) of DSP BlackRock Investment Manager Pvt. Ltd. (**'DSPBRIM'**), Asset Management Company to DSP BlackRock Mutual Fund (**'Fund'**), inter alia addresses proxy voting issues on behalf of all the Schemes of the Fund. The Committee comprises of the President & Chief Investment Officer, Senior Fund Managers from the Investment team, Head of Risk, Chief Administrative Officer and the Compliance Officer. In keeping with its fiduciary responsibilities, the Committee reviews all proposals put up for voting even though they may be considered to be routine matters and exercises the votes in the best interest of its unitholders. Each proposal is considered based on the relevant facts and circumstances. From time to time, the Committee can avail/engage professional Proxy Voting advisory firms to obtain research and voting recommendations on resolutions proposed by investee companies. The Committee shall not be bound by the recommendations and shall act at its discretion keeping unitholders interest paramount. The Committee may deviate from the general policies and procedures when it determines the particular facts and circumstances that warrant such deviation to protect the interest of the unitholders.

II. PROXY VOTING POLICY**A. Corporate Governance**

Matters (including changes in the state of incorporation, merger, acquisitions and other corporate restructuring and anti-takeover provisions) will be subject to careful review by the research analyst to determine whether they would be beneficial to shareholders. DSPBRIM will analyze various economic and strategic factors in making the final decision on a merger or acquisition resolution. Corporate restructuring proposals are also subject to a thorough examination on a case-by-case basis.

B. Capital Structure

These proposals relate to various requests, principally from management, for approval of amendments that would alter the capital structure of the Company, such as an increase in authorized shares. As a general matter, DSPBRIM will support requests that it believes enhance the rights of common shareholders and oppose requests that appear to be unreasonably dilutive.

C. Compensation and Benefits

We generally support proposals for employee equity compensation plans and other employee ownership plans provided our research does not indicate that approval of the plan would be against shareholder interest. These proposals concern those issues submitted to shareholders related to management compensation and employee benefits. As a general matter, we favor disclosure of a Company's compensation and benefit policies and oppose excessive compensation, but believe that compensation matters are normally best determined by a corporation's Board of Directors, rather than shareholders. Proposals to "micro-manage" a Company's compensation practices or to set arbitrary restrictions on compensation or benefits would therefore generally not be supported.

D. Social and Corporate Social Responsibilities

These are shareholder proposals addressing either corporate social and environmental policies or requesting specific reporting on these issues. We generally do not support proposals on social issues that lack a demonstrable economic benefit to the issuer and the Fund investing in such issuer. DSPBRIM seeks to make proxy voting decisions in the manner most likely to protect and promote the long term economic value of the securities held in the scheme's accounts. We intend to support economically advantageous corporate practices while leaving direct oversight of the Company management and strategy to the Board of Directors. We seek to avoid micromanagement of Companies, as we believe that the Company's Board of Directors is best positioned to represent shareholders and oversee management on shareholders behalf. Issues of corporate social and environmental responsibility are evaluated on a case-by-case basis within the framework.

E. Board of Directors

DSPBRIM generally supports the Board's nominees in the election of Directors and generally supports proposals that strengthen the independence of the Board of Directors. As a general matter, we believe that a Company's Board of Directors (rather than shareholders) is most likely to have access to important, non-public information regarding a Company's business and prospects, and is therefore best-positioned to set corporate policy and oversee management. We therefore believe that the foundation of good corporate governance is the selection of responsible, qualified, independent corporate Directors who are likely to diligently represent the interest of the shareholders and oversee management of the corporation in the manner that will seek to maximize shareholder value over time. In individual cases, consideration may be given to a Director nominee's history of representing shareholder interests as a Director of the company issuing the proxy or other companies, or other factors to the extent deemed relevant by the Committee.

F. Other issues**(a) Auditors:**

These proposals concern those issues submitted to shareholders related to the selection of the auditors. As a general matter, we believe that corporate auditors have a responsibility to represent the interest of shareholders and provide an independent view on the propriety of financial reporting decisions of corporate management. While we will generally defer to a corporation's choice of auditor, in individual cases, consideration may be given to an auditors' history of representing shareholder interests as auditor of the Company issuing the proxy or other Companies, to the extent deemed relevant.

(b) Corporate Charter and By-Laws:

These proposals relate to various requests for approval of amendments to a corporation's charter or by-laws. We generally vote against anti-takeover proposals and proposals that would create additional barriers and costs to corporate transactions that are likely to deliver premiums to shareholders.

(c) Conflict of Interest and governance measures for investment in group companies of AMC and investment in companies that have subscribed to the units of any scheme of DSP BlackRock Mutual Fund (Fund):

DSPBRIM is an affiliate of a large, diverse financial services firm with many affiliates and makes its best efforts to avoid conflicts of interest. However, conflict of interest can arise in certain situations. Few examples of such situations are:

- Investee Company is a client of DSPBRIM or its affiliates
- Investee Company is an entity participating to a material extent in the distribution of products managed or advised by DSPBRIM
- Investee Company has subscribed to the units of any of the schemes of Fund.

None of the schemes of the Fund shall invest in group companies of DSPBRIM. Further, DSPBRIM shall endeavour to resolve conflicts of interest in the interest of the unitholders. Nonetheless, even though a potential conflict of interest exists, DSPBRIM may vote in opposition to the recommendations of an investee company's management.

(d) Internal Control Mechanism:

Internal controls and checks are in place at various key steps in the process of exercise of votes as considered relevant/material by DSPBRIM. The Committee, periodically reviews the implementation of the policy and disclosure requirements.

III. REPORTS TO THE BOARD

DSPBRIM will report to the Trustee Company on proxy votes it has made on behalf of the Fund at least annually.

DETAILS OF ACTUAL EXERCISE OF PROXY VOTES DURING THE FY2013-2014:

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/COURT Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--------------------------------------|---|--|---------------------------|--------------------------------|
| 6-Apr-13 | Havells India Ltd. | PB | To Increase The Limit of Shareholding By Registered Fills to 40% In the paid up Shares Capital of the Company | For | For |
| 6-Apr-13 | Havells India Ltd. | PB | Alteration of MOA | For | For |
| 6-Apr-13 | Havells India Ltd. | PB | Alteration of MOA | For | For |
| 11-Apr-13 | WABCO India Ltd. | PB | To keep the documents of the company at the new address of the Share Transfer Agent Sundaram Clayton Ltd, Jayalakshmi Estates, No 29, Haddows Road, Chennai 600006 | For | For |
| 17-Apr-13 | Godrej Properties Ltd. | PB | To make investment(s) in any security(ies) of and/or to make loan(s) to or place inter-corporate deposit(s) with and/or provide any other form of debt and/or to provide any security(ies) in connection with loan(s) and/or give guarantee(s) in connection with loan(s) given by any other person to Dream World Landmarks LLP, a limited liability partnership, for the forthcoming project of the Company, located at Undri, Pune, for an amount not exceeding ₹ 80 Crores (Rupees Eighty Crores). | For | For |
| 18-Apr-13 | CRISIL Ltd. | AGM | Adoption of accounts | For | For |
| 18-Apr-13 | CRISIL Ltd. | AGM | Declaration of dividend | For | For |
| 18-Apr-13 | CRISIL Ltd. | AGM | Re-appointment of Mr. B V Bhargava | For | For |
| 18-Apr-13 | CRISIL Ltd. | AGM | Re-appointment of Mr. H N Sinor | For | For |
| 18-Apr-13 | CRISIL Ltd. | AGM | Appointment of auditors | For | For |
| 18-Apr-13 | CRISIL Ltd. | AGM | Payment of Commission to Non-Executive Directors | For | For |
| 22-Apr-13 | Power Grid Corporation of India Ltd. | PB | Alteration of AOA (Insertion of new article) | For | For |
| 22-Apr-13 | Power Grid Corporation of India Ltd. | PB | Alteration of AOA (Amendment of existing article) | For | For |
| 26-Apr-13 | Castrol India Ltd. | AGM | Adoption of accounts | For | For |
| 26-Apr-13 | Castrol India Ltd. | AGM | Declaration of dividend | For | For |
| 26-Apr-13 | Castrol India Ltd. | AGM | Appointment of Director in place of Mr. R. Gopalakrishnan | For | For |
| 26-Apr-13 | Castrol India Ltd. | AGM | Appointment of Director in place of Mr. S Vaidya | For | For |
| 26-Apr-13 | Castrol India Ltd. | AGM | Appointment of S. R. Batliboi & Co., Chartered Accountants as auditor of the company | For | For |
| 26-Apr-13 | Castrol India Ltd. | AGM | Appointment of Director in place of Mr. Bijay Kamath | For | For |
| 26-Apr-13 | Castrol India Ltd. | AGM | Appointment of Mr. Bijay Kamath as a Wholetime Director of the Company | For | For |
| 30-Apr-13 | Hexaware Technologies Ltd. | AGM | Adoption of accounts | For | For |
| 30-Apr-13 | Hexaware Technologies Ltd. | AGM | Declaration of dividend | For | For |
| 30-Apr-13 | Hexaware Technologies Ltd. | AGM | To appoint a Director in place of Mr. Ashish Dhawan | For | For |
| 30-Apr-13 | Hexaware Technologies Ltd. | AGM | To appoint a Director in place of Mr. S Doreswamy | For | For |
| 30-Apr-13 | Hexaware Technologies Ltd. | AGM | To appoint a Director in place of Mr. P R Chandrasekar | For | For |
| 30-Apr-13 | Hexaware Technologies Ltd. | AGM | To re-appoint Statutory Auditors Messrs Deloitte Haskins & Sells be and are hereby re-appointed as the Statutory Auditors of the Company | For | For |
| 30-Apr-13 | Hexaware Technologies Ltd. | AGM | Sum not exceeding 1% (one per cent) per annum of the net profits of the Company calculated in accordance with the provisions of Section 198, 349, 350 of the Act, be paid to and distributed amongst the Non-Wholetime Directors of the Company | For | For |
| 2-May-2013 | Bajaj Finance Ltd. | PB | Alteration of objects in Memorandum of Association | For | For |
| 2-May-2013 | Bajaj Finance Ltd. | PB | Approval for commencement of new business and activities as included in new sub-clause 3B of clause IIIA of the Objects Clause of the memorandum of association of the company. | For | For |
| 2-May-2013 | Gujarat Pipavav Port Ltd. | AGM | Adoption of accounts | For | For |
| 2-May-2013 | Gujarat Pipavav Port Ltd. | AGM | To appoint a Director in place of Mr. Dinesh Lal who retires by rotation and being eligible, offers himself for re-appointment. | For | For |
| 2-May-2013 | Gujarat Pipavav Port Ltd. | AGM | To appoint a Director in place of Mr. Pankaj Kumar, IAS who retires by rotation and being eligible, offers himself for reappointment. | For | For |
| 2-May-2013 | Gujarat Pipavav Port Ltd. | AGM | To appoint a Director in place of Mr. Martin Gaard Christiansen who retires by rotation and being eligible, offers himself for re-appointment. | For | For |
| 2-May-2013 | Gujarat Pipavav Port Ltd. | AGM | To appoint Auditors and fix their remuneration. | For | For |
| 2-May-2013 | Gujarat Pipavav Port Ltd. | AGM | Appointment of Mr. Henrik Lundgaard Pedersen as Director of the Company | For | For |
| 2-May-2013 | Gujarat Pipavav Port Ltd. | AGM | Appointment of Mr. Pradeep Mallick as Director of the Company | For | For |
| 2-May-2013 | Gujarat Pipavav Port Ltd. | AGM | Appointment of Mr. Tejpreet Singh Chopra as Director of the Company | For | For |
| 2-May-2013 | Gujarat Pipavav Port Ltd. | AGM | Approve Remuneration to Managing Director Mr. Prakash Tulsiani | For | For |
| 3-May-2013 | Godrej Properties Ltd. | PB | Approve Sub-division of each equity share of the Company of nominal value of ₹ 10 to 2 equity shares of nominal value of ₹ 5 and the consequent division of the authorised capital of the Company of ₹ 100,00,00,000 into 20,00,00,000 equity shares of ₹ 5 each. | For | For |
| 3-May-2013 | Godrej Properties Ltd. | PB | Approve Amendment to the Memorandum of Association of the Company to give effect to the Sub-division - replacing existing Clause V of the Memorandum of Association with a new clause, with an authorised share capital of the Company of ₹ 100,00,00,000 divided into 20,00,00,000 equity shares of nominal value of ₹ 5 each. | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--------------------------------|--|---|---------------------------|--------------------------------|
| 3-May-2013 | Godrej Properties Ltd. | PB | Amendment to the Articles of Association of the Company to give effect to the Sub-division - replacing existing Clause 3 of the Articles of Association with a new clause, with an authorised share capital of the Company of ₹ 100,00,00,000 divided into 20,00,00,000 equity shares of nominal value of ₹ 5 each. | For | For |
| 8-May-2013 | Pantaloon Retail (India) Ltd. | AGM | To receive, consider and adopt the audited Profit & Loss Account for the period ended December 31, 2012 and the Balance Sheet as at that date together with the reports of Auditors and Directors thereon | For | For |
| 8-May-2013 | Pantaloon Retail (India) Ltd. | AGM | To declare dividend | For | For |
| 8-May-2013 | Pantaloon Retail (India) Ltd. | AGM | To appoint a Director in place of Mr. Shailesh Haribhakti who retires by rotation and being eligible offers himself for re-appointment | For | For |
| 8-May-2013 | Pantaloon Retail (India) Ltd. | AGM | To appoint a Director in place of Mr. S. Doreswamy who retires by rotation and being eligible offers himself for re-appointment | For | For |
| 8-May-2013 | Pantaloon Retail (India) Ltd. | AGM | To appoint a Director in place of Mr. Gopikishan Biyani who retires by rotation and being eligible offers himself for re-appointment. | For | For |
| 8-May-2013 | Pantaloon Retail (India) Ltd. | AGM | To appoint Auditors to hold office from the conclusion of this meeting until the conclusion of the next Annual General Meeting and to fix their remuneration | For | For |
| 8-May-2013 | Nestle India Ltd. | AGM | To receive, consider and adopt the audited Balance Sheet as at 31st December, 2012 and the Profit and Loss Statement for the year ended on that date together with the Reports of the Directors and Auditors thereon. | For | For |
| 8-May-2013 | Nestle India Ltd. | AGM | To confirm the three interim dividends, aggregating to ₹ 48.50 per equity share, for the year ended 31st December, 2012 | For | For |
| 8-May-2013 | Nestle India Ltd. | AGM | To appoint a director in place of Dr. (Mrs.) Swati A. Piramal, who retires by rotation and being eligible, offers herself for re-appointment. | For | For |
| 8-May-2013 | Nestle India Ltd. | AGM | To appoint M/s A.F. Ferguson & Co., Chartered Accountants (ICAI Registration No.112066W) as statutory auditors of the Company and fix their remuneration. | For | For |
| 8-May-2013 | Nestle India Ltd. | AGM | To appoint a director in place of Mr. Aristides Protonotarios, who retires by rotation and being eligible, offers himself for re-appointment. | For | For |
| 8-May-2013 | Nestle India Ltd. | AGM | To fix remuneration of Mr. Aristides Protonotarios | For | For |
| 9-May-2013 | Kotak Mahindra Bank Ltd. | EGM | To issue and allot 2,00,00,000 equity shares to Heliconia Pte Ltd, a private company Ltd. on a preferential basis | For | For |
| 11-May-2013 | Adani Power Ltd. | PB | Preferential issue of shares to the Promoter and/or Promoter Group of the Company | For | For |
| 13-May-2013 | Colgate Palmolive (India) Ltd. | PB | To authorise Board of Directors of the Company to sell and transfer the Company's Global Shared Services Organisation Division, along with all employees as well as assets and liabilities including all licences, permits, consents and approvals as a going concern and by way of a slump sale to Colgate Global Business Services Private Ltd, a 100% subsidiary of its ultimate holding company, Colgate Palmolive Company, USA | For | For |
| 16-May-2013 | Disa India Ltd | AGM | To receive, consider and adopt the audited Balance Sheet as at 31st December, 2012 and the Profit and Loss Statement for the year ended on that date together with the Reports of the Directors and Auditors thereon. | For | For |
| 16-May-2013 | Disa India Ltd. | AGM | To elect a Director in place of Ms. Deepa Hingorani, who retires by rotation and is eligible for re-appointment. | For | For |
| 16-May-2013 | Disa India Ltd. | AGM | To elect a Director in place of Mr. Jan Johansen, who retires by rotation and is eligible for re-appointment. | For | For |
| 16-May-2013 | Disa India Ltd. | AGM | To declare dividend | For | For |
| 16-May-2013 | Disa India Ltd. | AGM | To appoint Auditors of the company to hold office from the conclusion of this meeting until the conclusion of the next AGM and to fix their remuneration. Messrs M K Dandekar & Co Chartered Accountants have expressed their intent not seek reappointment as Auditors for the year 2013 and it is proposed to appoint Messrs Deloitte, Haskins & Sells Chartered Accountants. | For | For |
| 16-May-2013 | Disa India Ltd. | AGM | To appoint Mr. Viraj Naidu as Managing Director of the Company with effect from 6th February, 2013 to 31st March, 2013 & fix his remuneration | For | For |
| 16-May-2013 | Disa India Ltd. | AGM | To fix remuneration of non-whole time directors of the company | For | For |
| 20-May-2013 | EID Parry India Ltd. | PB | To Increase in borrowing Limits & for creation of charge / mort age on the Assets of the Company | For | For |
| 20-May-2013 | EID Parry India Ltd. | PB | Creation of charge/ mortgage(s) on the assets / properties of the company | For | For |
| 20-May-2013 | EID Parry India Ltd. | PB | Appointment of Mr. Gopalakrishnan as Manager | For | For |
| 21-May-2013 | Aditya Birla Nuvo Ltd. | PB | To sell/transfer Company's 3 manufacturing Hi-Tech Carbon black Units in India at Gummidipoondi, Patalanganqa and Renukoot | For | For |
| 24-May-2013 | NTPC Ltd. | CRT | To approve the Scheme of Amalgamation of NTPC Hydro Ltd., a wholly owned subsidiary of NTPC Ltd. with NTPC Ltd. | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|---------------------------------|--|--|---------------------------|--------------------------------|
| 29-May-2013 | Wipro Ltd. | PB | To grant the share options to the employees under Wipro Equity Reward Trust Employee Stock Purchase Plan 2013 | For | For |
| 31-May-2013 | Shriram City Union Finance Ltd. | EGM | Alteration of AOA | For | For |
| 31-May-2013 | Shriram City Union Finance Ltd. | EGM | To issue securities for an aggregate amount not exceeding ₹ 1000 crores | For | For |
| 31-May-2013 | Shriram City Union Finance Ltd. | EGM | To increase FI Investment limits in the Company not exceeding 10% of the total paid up Capital of the Company | For | For |
| 31-May-2013 | Shriram City Union Finance Ltd. | EGM | Appointment of Mr. S G Sundararajan as MD | For | For |
| 31-May-2013 | Shriram City Union Finance Ltd. | EGM | Approval of Employees Stock Option Scheme 2013 | For | For |
| 31-May-2013 | Shriram City Union Finance Ltd. | EGM | Approval of grant of Employees Stock Option Scheme 2013 to the employees of subsidiary companies | For | For |
| 31-May-2013 | eClerx Services Ltd. | PB | Alteration of AOA | For | For |
| 4-Jun-2013 | Bata India Ltd. | AGM | To receive, consider and adopt the Balance Sheet of the Company as on December 31, 2012, the Statement of Profit and Loss for the year ended on that date, Notes on Accounts, Auditors' Report and Directors' Report thereon. | For | For |
| 4-Jun-2013 | Bata India Ltd. | AGM | To declare a dividend. | For | For |
| 4-Jun-2013 | Bata India Ltd. | AGM | To elect Directors in place of those who retire by rotation. Mr. Uday Khanna and Mr. Atul Singh retire by rotation and being eligible, offer themselves for re-election. | For | For |
| 4-Jun-2013 | Bata India Ltd. | AGM | To appoint Auditors and to fix their remuneration | For | For |
| 4-Jun-2013 | Bata India Ltd. | AGM | Approve appointment Messrs. S. R. Batliboi & Co., Chartered Accountants (Registration No.: 301003E), be and are hereby re-appointed as Auditors of the Company and to determine the remuneration payable to the Auditors. | For | For |
| 4-Jun-2013 | Kajaria Ceramics Ltd. | AGM | To received, consider and adopt the Audited Balance Sheet as at 31st March, 2013 and the statement of the Profit & Loss for the financial year ended as on that date along with Auditors' and Directors' Report thereon. | For | For |
| 4-Jun-2013 | Kajaria Ceramics Ltd. | AGM | To declare dividend on Equity Shares. | For | For |
| 4-Jun-2013 | Kajaria Ceramics Ltd. | AGM | To appoint a Director in place of Shri R. R. Bagri, who retires by rotation and being eligible offers himself for re-appointment. | For | For |
| 4-Jun-2013 | Kajaria Ceramics Ltd. | AGM | To appoint a Director in place of Shri. B. K. Sinha, who retires by rotation and being eligible offers himself for re-appointment. | For | For |
| 4-Jun-2013 | Kajaria Ceramics Ltd. | AGM | To appoint M/s O. P. Bagla & Co., Chartered Accountants as Auditors to hold office from the conclusion of this Annual General Meeting until the conclusion of the next Annual General Meeting and to authorize the Board to fix their remuneration. | For | For |
| 4-Jun-2013 | Kajaria Ceramics Ltd. | AGM | Re-appointment of Mr. B. K. Sinha as Director -Technical | For | For |
| 5-Jun-2013 | Bharti Airtel Ltd. | EGM | Preferential Allotment of Equity Shares | For | For |
| 5-Jun-2013 | Bharti Airtel Ltd. | EGM | Amendment In Articles of Association of The Company | For | For |
| 5-Jun-2013 | DCB BANK Ltd. | AGM | To receive, consider and adopt the Audited Balance Sheet as at March 31, 2013 and the Audited Profit and Loss Account of the Bank for the financial year ended on that date and the Reports of the Directors and Auditors thereon. | For | For |
| 5-Jun-2013 | DCB BANK Ltd. | AGM | To appoint a Director in place of Mr. Suhail Nathani, who retires by rotation and being eligible, offers himself for re-appointment. | For | For |
| 5-Jun-2013 | DCB BANK Ltd. | AGM | To appoint a Director in place of Mr. Amin Manekia, who retires by rotation and being eligible, offers himself for re-appointment. | For | For |
| 5-Jun-2013 | DCB BANK Ltd. | AGM | To appoint Statutory Auditors of the Bank and authorize the Board of Directors of the Bank to fix their remuneration. | For | For |
| 5-Jun-2013 | DCB BANK Ltd. | AGM | Appointment of Branch Auditors | For | For |
| 5-Jun-2013 | DCB BANK Ltd. | AGM | Appointment of Director Mr. Imran Contractor | For | For |
| 5-Jun-2013 | DCB BANK Ltd. | AGM | Appointment of Director Mr. Keki Elavia | For | For |
| 5-Jun-2013 | DCB BANK Ltd. | AGM | Appointment of Director Mr. C. Narasimhan | For | For |
| 5-Jun-2013 | DCB BANK Ltd. | AGM | Appointment of Director Mr. Nalin Shah | For | For |
| 5-Jun-2013 | DCB BANK Ltd. | AGM | Appointment of Director Mr. S. Sridhar | For | For |
| 5-Jun-2013 | DCB BANK Ltd. | AGM | Appointment of Director Mr. Jamal Pradhan | For | For |
| 5-Jun-2013 | Bosch Ltd. | AGM | To receive, consider and adopt the audited Balance Sheet as at 31st December, 2012 and Statement of Profit and Loss for the year ended on that date and the Report of the Directors and Auditors thereon. | For | For |
| 5-Jun-2013 | Bosch Ltd. | AGM | To declare a dividend. | For | For |
| 5-Jun-2013 | Bosch Ltd. | AGM | To appoint a Director in place of Dr. A. Hieronimus, who retires by rotation and being eligible offers himself for re-appointment. | For | For |
| 5-Jun-2013 | Bosch Ltd. | AGM | To appoint a Director in place of Mr. B. Steinruecke, who retires by rotation and being eligible offers himself for re-appointment. | For | For |
| 5-Jun-2013 | Bosch Ltd. | AGM | To approve appointment of Price Waterhouse & Co., Bangalore, Chartered Accountants, (Registration No.007567 S) as Auditors of the Company for the financial year ending 31st December, 2013, to hold office until the conclusion of the next Annual General Meeting on remuneration to be fixed by the Board of Directors. | For | For |
| 5-Jun-2013 | Bosch Ltd. | AGM | To appoint a Director in place of Dr. Steffen Berns | For | For |
| 5-Jun-2013 | Bosch Ltd. | AGM | To appoint a Director in place of Mr. Soumitra Bhattacharya | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--------------------------|--|--|---------------------------|--------------------------------|
| 5-Jun-2013 | Bosch Ltd. | AGM | To appoint a Director in place of Mr. Bhaskar Bhat | For | For |
| 5-Jun-2013 | Bosch Ltd. | AGM | To approve under the provisions of Sections 198, 269, 309 and other applicable provisions, if any, of the Companies Act, 1956, read with Schedule XIII to the said Act and pursuant to Article 72 and 105 of the Articles of Association of the Company, appointment of Dr. Steffen Berns as Managing Director of the Company for a period of 4 years from 01.01.2013 to 31.12.2016 and for the payment of remuneration as set out in the Agreement dated 07.12.2012 entered into between the Company and Dr. Steffen Berns. | For | For |
| 5-Jun-2013 | Bosch Ltd. | AGM | To approve under the provisions of Sections 198, 269, 309 and other applicable provisions, if any, of the Companies Act, 1956, read with Schedule XIII to the said Act and pursuant to Article 72 and 105 of the Articles of Association of the Company, appointment of Mr. Soumitra Bhattacharya as Joint Managing Director of the Company for a period of 4 years from 01.01.2013 to 31.12.2016 and for the payment of remuneration as set out in the Agreement dated 07.12.2012 entered into between the Company and Mr. Soumitra Bhattacharya | For | For |
| 5-Jun-2013 | Bosch Ltd. | AGM | To approve under the provisions of sections 309, 314 and other applicable provisions, if any, of the Companies Act, 1956, Mr. V.K. Viswanathan, Non-Executive Director and Vice Chairman of the Company, who possesses the requisite qualifications for practice of the profession, be and is hereby appointed as Management Consultant of the Company for a period of nine months from 01.01.2013 to 30.09.2013 on the terms of the consultancy agreement dated 07.01.2013 entered into between the Company and Mr. V.K. Viswanathan. | For | For |
| 6-Jun-2013 | Reliance Industries Ltd. | AGM | Adoption of Accounts, Reports of the Board of Directors and Auditors | For | For |
| 6-Jun-2013 | Reliance Industries Ltd. | AGM | Declaration of Dividend on Equity Shares | For | For |
| 6-Jun-2013 | Reliance Industries Ltd. | AGM | Re-appointment of the following Directors retiring by rotation: Shri Mahesh P. Modi | For | For |
| 6-Jun-2013 | Reliance Industries Ltd. | AGM | To appoint Dr. Dharam Vir Kapur as Director | For | For |
| 6-Jun-2013 | Reliance Industries Ltd. | AGM | To appoint Dr. Raghunath A. Mashelkar as Director | For | For |
| 6-Jun-2013 | Reliance Industries Ltd. | AGM | To appoint Shri Pawan Kumar Kapil as Director | For | For |
| 6-Jun-2013 | Reliance Industries Ltd. | AGM | Appointment of Auditors | For | For |
| 6-Jun-2013 | Reliance Industries Ltd. | AGM | Commission to Non-Executive Directors | For | For |
| 10-Jun-2013 | Future Retail Ltd. | CRT | Scheme of amalgamation | For | For |
| 10-Jun-2013 | Strides Arcolab Ltd. | AGM | To consider and adopt the Profit and Loss account for the year ended December 31, 2012, the Balance Sheet as at that date and the Reports of the Auditors and Directors thereon. | For | For |
| 10-Jun-2013 | Strides Arcolab Ltd. | AGM | To declare dividend on equity shares. | For | For |
| 10-Jun-2013 | Strides Arcolab Ltd. | AGM | To elect a Director in place of Mr. Deepak Vaidya, who retires by rotation and being eligible, offers himself for re-election. | For | For |
| 10-Jun-2013 | Strides Arcolab Ltd. | AGM | To elect a Director in place of Mr. M R Umarji, who retires by rotation and being eligible, offers himself for re-election. | For | For |
| 10-Jun-2013 | Strides Arcolab Ltd. | AGM | To appoint Deloitte Haskins & Sells, Chartered Accountants, Bangalore as Statutory Auditors of the Company and to authorise the Board of Directors/Audit Committee to fix their remuneration. | For | For |
| 10-Jun-2013 | Strides Arcolab Ltd. | AGM | To consider and, if thought fit, to pass with or without modification(s), the following resolution as a Special Resolution: - Mr. Mohana Kumar Pillai, who is a relative of Mr. Arun Kumar, Managing Director of the Company, to hold an office or place of profit under the Company as CEO - Pharma | For | For |
| 10-Jun-2013 | Strides Arcolab Ltd. | AGM | Appointment of Mr. Mohana Kumar Pillai as CEO - Pharma | For | For |
| 14-Jun-2013 | JM Financial Ltd. | EGM | To offer, issue and allot, on a preferential basis, up to 23,293,878 warrants ("Warrants") to the investors with a right to them to apply for and get allotted one equity share ("Equity Share") of the face value of ₹ 1/- (Rupee One only) each for every Warrant held by them within a period of 18 (Eighteen) months from the date of allotment of Warrants at ₹ 19.05 (Rupees Nineteen and Paise Five only) per Equity Share as determined in accordance with the SEBI Regulations and upon such other terms and conditions as may be deemed appropriate by the Board at its absolute discretion. | For | For |
| 15-Jun-2013 | Infosys Ltd. | AGM | Adoption of Accounts, Reports of the Board of Directors and Auditors | For | For |
| 15-Jun-2013 | Infosys Ltd. | AGM | Declaration of Dividend on Equity Shares | For | For |
| 15-Jun-2013 | Infosys Ltd. | AGM | Re-appointment of S D Shibulal | For | For |
| 15-Jun-2013 | Infosys Ltd. | AGM | Re-appointment of Srinath Batni | For | For |
| 15-Jun-2013 | Infosys Ltd. | AGM | Re-appointment of Deepak M | For | For |
| 15-Jun-2013 | Infosys Ltd. | AGM | Re-appointment of Omkar Goswami | For | For |
| 15-Jun-2013 | Infosys Ltd. | AGM | Re-appointment of Seshashee | For | For |
| 15-Jun-2013 | Infosys Ltd. | AGM | Re-appointment of auditors | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|----------------------|--|---|---------------------------|--------------------------------|
| 15-Jun-2013 | Infosys Ltd. | AGM | Appointment of Leo Puri as Director | For | For |
| 15-Jun-2013 | Infosys Ltd. | AGM | Appointment of Mr. N.R. Narayan Murthy as director | For | For |
| 16-Jun-2013 | Future Retail Ltd. | PB | Scheme of amalgamation of Future Value Retail Ltd. with Future Retail Ltd. and their respective shareholders and creditors | For | For |
| 21-Jun-2013 | State Bank of India | AGM | Balance Sheet and P&L adoption | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | To receive, consider and adopt the audited Profit and Loss Account for the financial year ended March 31, 2013 and Balance Sheet as at that date together with the Reports of the Directors and the Auditors. | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | To declare dividend on preference shares. | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | To declare dividend on equity shares. | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | To appoint a director in place of Mr. K. V. Kamath, who retires by rotation and, being eligible, offers himself for re-appointment. | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | To appoint a director in place of Dr. Tushaar Shah, who retires by rotation and, being eligible, offers himself for re-appointment. | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | To appoint a director in place of Mr. Rajiv Sabharwal, who retires by rotation and, being eligible, offers himself for re-appointment. | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | Appointment of M/s. S. R. Batliboi & Co. LLP, Chartered Accountants (registration No. 301003E), as statutory auditors of the Company. | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | To authorise the Board of Directors of the Company to appoint branch auditors, as and when required, in consultation with the statutory auditors, to audit the accounts in respect of the Company's branches/offices in India and abroad and to fix their terms and conditions of appointment and remuneration. | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | Appointment of Mr. Dileep Choksi as a Director | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | Appointment of Mr. K. V. Kamath as a Director | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | To approve revision in remuneration of Ms. Chanda Kochhar, Managing Director & CEO | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | To approve revision in remuneration of Mr. N. S. Kannan, Executive Director & Chief Financial Officer | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | To approve revision in remuneration of Mr. K. Ramkumar, Executive Director | For | For |
| 24-Jun-2013 | ICICI Bank Ltd. | AGM | To approve revision in remuneration of Mr. Rajiv Sabharwal, Executive Director | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | To receive, consider and adopt the Balance Sheet as at 31st that date along with the reports of the Board of Directors and Auditors thereon. | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | Final Dividend | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | To appoint a Director in place of Mr. Sharadchandra Abhyankar, who retires by rotation and being eligible offers himself for re-appointment. | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | To appoint a Director in place of Mr. Michael Pinto who retires by rotation and being eligible offers himself for Re-appointment. | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | Statutory auditors appointment | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | To appoint Mr. Gyan Chand Daga, as a Director of the Company, liable to retire by rotation. | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | Appointment of Mrs. Astha Ashish Katariya, Daughter-in-Law of Mr. Ashok Katariya as Deputy General Manager- (Accounts), of the Company. | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | Appointment of Mr. Aditya Satish Parakh, son of Mr. S. D. Parakh as Sr. Manager- (Business Monitoring), of the Company. | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | Revision of the remuneration to Mr. Ashok Motilal Katariya, Whole Time Director, designated as the Chairman. | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | Revision of the remuneration to Mr. Satish Dhondulal Parakh, Managing Director. | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | Revision of the remuneration to Mr. Sanjay Prabhakar Londhe, Whole Time Director. | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | Re-classification into 1,71,00,000 Equity Shares of ₹ 10/- each and the existing Clause V of the Management of Association of the Company be and is hereby altered and substituted by new Clause V. | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | Sub-division of Equity Shares of the Company, including Paid-up shares, such that, each existing fully paid-up of 5,26,51,030 Equity Shares of nominal value of ₹10/- each be sub-divided into 10,53,02,060 Equity Shares of nominal value ₹ 5/- each and consequently, the authorized share capital of ₹ 81,10,00,000/- would comprise of 16,22,00,000 Equity Shares of ₹ 5/-each. | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | Alteration and Substitution of the existing Clause V of the Memorandum of the Association of the Company. | For | For |
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | To capitalise an amount of ₹ 26,32,55,150/- standing to the credit of the Company's Securities Premium Account/General Reserve / Profit and Loss accounts as are permissible to be utilized for the purpose, not exceeding 5,26,51,030 Equity Share of ₹ 5/- each in the capital of the Company. | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|----------------------|--|--|---------------------------|--------------------------------|
| 24-Jun-2013 | Ashoka Buildcon Ltd. | AGM | To suitably amend the existing Employee Stock Option Scheme of the Company provided in the explanatory statement annexed to this notice, as per the applicable laws, guidelines, regulations and subject to necessary approvals as may be required. | For | For |
| 25-Jun-2013 | ING Vysya Bank Ltd. | AGM | To receive, consider and adopt the Balance Sheet as at March 31, 2013, Profit and Loss Account for the year ended on that date together with the Auditors' Report thereon and the Directors' Report attached thereto for that year. | For | For |
| 25-Jun-2013 | ING Vysya Bank Ltd. | AGM | To declare a dividend on equity shares for the year ended March 31, 2013. | For | For |
| 25-Jun-2013 | ING Vysya Bank Ltd. | AGM | To appoint a Director in place of Mr. Richard Cox who retires by rotation and being eligible, offers himself for | For | For |
| 25-Jun-2013 | ING Vysya Bank Ltd. | AGM | To appoint a Director in place of Mr. Meleveetil Damodaran who retires by rotation and being eligible, offers himself for re-appointment. | For | For |
| 25-Jun-2013 | ING Vysya Bank Ltd. | AGM | To appoint a Director in place of Mr. Vikram Talwar who retires by rotation and being eligible, offers himself for re-appointment. | For | For |
| 25-Jun-2013 | ING Vysya Bank Ltd. | AGM | To re-appoint M/s BSR & Co., Chartered Accountants, as the Auditors of the Bank and authorize the Board of Directors to fix their remuneration. | For | For |
| 25-Jun-2013 | ING Vysya Bank Ltd. | AGM | Appointment of Mr. Sanjeev Aqa as Director | For | For |
| 25-Jun-2013 | ING Vysya Bank Ltd. | AGM | To create, issue, offer or allot such number of stock options, to or for the benefit of such person or persons as are in the permanent employment of the Bank, including any Directors of the Bank, whether whole-time or otherwise, as may be decided by the Board of Directors, at any time, as are convertible into not more than 7,500,000 equity shares of the Bank under an Employee Stock Option Scheme titled "ESOS 2013", in one or more tranches and on such terms and conditions as the Board of Directors may decide; each stock option shall be convertible into one equity share (of a face value of ₹ 10/- per share) of the Bank | For | For |
| 25-Jun-2013 | ING Vysya Bank Ltd. | AGM | To create, issue, offer or allot such number of stock options, to or for the benefit of such person or persons as are in the permanent employment of any subsidiary companies of the Bank including any Directors of the Companies, whether whole-time or otherwise, as may be decided by the Board of Directors, at any time, as are convertible into not more than 7,500,000 equity shares of the Bank under an Employee Stock Option Scheme titled "ESOS 2013", in one or more tranches and on such terms and conditions as the Board of Directors may decide; each stock option shall be convertible into one equity share (of a face value of ₹ 10/- per share) of the Bank. | For | For |
| 25-Jun-2013 | ING Vysya Bank Ltd. | AGM | To create, offer, issue and allot (including with provisions for reservation on firm and/or competitive basis of such part of issue and for such categories of persons including employees of the Bank as may be permitted), with or without a green shoe option, either in India or in the course of international offering(s) in one or more foreign markets such number of equity shares of the Bank ("Equity Shares"), Global Depository Receipts ("GDRs"), Foreign Currency Convertible Bonds ("FCCBs"), and/or any other financial instruments, convertible into Equity Shares, to any eligible person, as may be decided by the Board in its discretion and permitted under applicable laws, up to 30,000,000 Equity Shares or Securities equivalent thereto. | For | For |
| 25-Jun-2013 | ING Vysya Bank Ltd. | AGM | To approve re-appointment of Mr. Shailendra Bhandari as Managing Director and Chief Executive Officer of ING Vysya Bank Limited, for a period of three years with effect from August 5, 2012 as approved by the Reserve Bank of India under Section 35B of the Banking Regulation Act, 1949, vide its letter No. DBOD No.1710/08.57.001/2012-13 dated July 31, 2012, at the remuneration to be advised by RBI in due course | For | For |
| 26-Jun-2013 | CMC Ltd. | AGM | Adoption of Accounts, Reports of the Board of Directors and Auditors | For | For |
| 26-Jun-2013 | CMC Ltd. | AGM | Declaration of Dividend on Equity Shares | For | For |
| 26-Jun-2013 | CMC Ltd. | AGM | To appoint Director- S. Ramadorai | For | For |
| 26-Jun-2013 | CMC Ltd. | AGM | To appoint Director- Kalpana Morparia | For | For |
| 26-Jun-2013 | CMC Ltd. | AGM | Statutory auditors appointment | For | For |
| 26-Jun-2013 | CMC Ltd. | AGM | To appoint Director- S. Ananth | For | For |
| 26-Jun-2013 | CMC Ltd. | AGM | To appoint Director - Ashok Sinha | For | For |
| 26-Jun-2013 | CMC Ltd. | AGM | To appoint Director- Ramanan | For | For |
| 26-Jun-2013 | Union Bank of India | AGM | Adoption of Accounts, Reports of the Board of Directors and Auditors | For | Abstain |
| 26-Jun-2013 | Union Bank of India | AGM | Declaration of Dividend on Equity Shares | For | Abstain |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--------------------------------|--|--|---------------------------|--------------------------------|
| 27-Jun-2013 | HDFC Bank Ltd. | AGM | To receive, consider and adopt the audited Balance Sheet as at March 31, 2013, Profit and Loss Account for the year ended on that date and reports of the Board of Directors and Auditors thereon. | For | For |
| 27-Jun-2013 | HDFC Bank Ltd. | AGM | To declare a dividend on equity shares. | For | For |
| 27-Jun-2013 | HDFC Bank Ltd. | AGM | To appoint a Director in place of Mr. Bobby Parikh, who retires by rotation and, being eligible, offers himself for re-appointment. | For | For |
| 27-Jun-2013 | HDFC Bank Ltd. | AGM | To appoint a Director in place of Mr. A. N. Roy, who retires by rotation and, being eligible, offers himself for re-appointment. | For | For |
| 27-Jun-2013 | HDFC Bank Ltd. | AGM | To approve appointment of M/s. BSR & Co., Chartered Accountants as the Auditors of the Bank to hold office from the conclusion of this Annual General Meeting until the conclusion of the next Annual General Meeting, at an annual remuneration of ₹ 1,05,60,000/- . | For | For |
| 27-Jun-2013 | HDFC Bank Ltd. | AGM | To approve appointment of Mr. Vijay Merchant as Director of the Bank | For | For |
| 27-Jun-2013 | HDFC Bank Ltd. | AGM | To grant ESOS to the employers of the company | For | For |
| 27-Jun-2013 | HDFC Bank Ltd. | AGM | Re-appointment of Mr. Paresk Sukthakar as executive director | For | For |
| 28-Jun-2013 | Indusind Bank Ltd. | AGM | To consider and adopt the Balance Sheet as at March 31, 2013 and the Profit and Loss Account for the year ended March 31, 2013 together with the Reports of the Directors and Auditors thereon. | For | For |
| 28-Jun-2013 | Indusind Bank Ltd. | AGM | To declare Dividend for the year. | For | For |
| 28-Jun-2013 | Indusind Bank Ltd. | AGM | To appoint a Director in place of Mr. S. C. Tripathi, who retires by rotation and, being eligible, offers himself for re-appointment. | For | For |
| 28-Jun-2013 | Indusind Bank Ltd. | AGM | To appoint a Director in place of Dr. T. T. Ram Mohan, who retires by rotation and, being eligible, offers himself for re-appointment. | For | For |
| 28-Jun-2013 | Indusind Bank Ltd. | AGM | Approval of M/s B S R & Co., Chartered Accountants, Mumbai, ICAI Registration No.042554 / Firm's Registration No.101248W, as the Statutory Auditors of the Bank | For | For |
| 28-Jun-2013 | Indusind Bank Ltd. | AGM | To the approve re-appointment of Mr. R. Seshasayee as Part-time Chairman of the Bank, not subject to retirement by rotation, for a further period of two years, w.e.f. July 24, 2013, on such terms and conditions as may be approved by the Reserve Bank of India. | For | For |
| 28-Jun-2013 | Indusind Bank Ltd. | AGM | To approve Re-appointment of Mr. Romesh Sobti as Managing Director and CEO | For | For |
| 28-Jun-2013 | Tata Consultancy Services Ltd. | AGM | To receive, consider and adopt the Audited Statement of Profit and Loss for the year ended March 31, 2013 and the Balance Sheet as at that date together with the Reports of the Board of Directors and the Auditors thereon. | For | For |
| 28-Jun-2013 | Tata Consultancy Services Ltd. | AGM | To confirm the payment of Interim Dividends on Equity Shares for the financial year 2012-13 and to declare a Final Dividend on Equity Shares for the financial year 2012-13. | For | For |
| 28-Jun-2013 | Tata Consultancy Services Ltd. | AGM | To declare Dividend on Redeemable Preference Shares for the financial year 2012-13. | For | For |
| 28-Jun-2013 | Tata Consultancy Services Ltd. | AGM | To appoint a Director in place of Dr. Vijay Kelkar, who retires by rotation, and being eligible offers himself for re-appointment. | For | For |
| 28-Jun-2013 | Tata Consultancy Services Ltd. | AGM | To appoint a Director in place of Mr. Ishaat Hussain, who retires by rotation, and being eligible offers himself for re-appointment. | For | For |
| 28-Jun-2013 | Tata Consultancy Services Ltd. | AGM | To appoint a Director in place of Mr. Aman Mehta, who retires by rotation, and being eligible offers himself for re-appointment. | For | For |
| 28-Jun-2013 | Tata Consultancy Services Ltd. | AGM | To appoint Auditors and fix their remuneration. | For | For |
| 28-Jun-2013 | Tata Consultancy Services Ltd. | AGM | Revision in terms of remuneration of Mr. N. Chandrasekaran, Chief Executive Officer and Managing Director | For | For |
| 28-Jun-2013 | Tata Consultancy Services Ltd. | AGM | Re-appointment of Mr. S. Mahalingam as Chief Financial Officer and Executive Director of the Company until his retirement | For | For |
| 28-Jun-2013 | Tata Consultancy Services Ltd. | AGM | Appointment of Branch Auditors | For | For |
| 29-Jun-2013 | Punjab National Bank | AGM | To discuss, approve and adopt the Audited Balance Sheet and Profit & Loss Account of the Bank for the year ended 31st March, 2013, the Report of the Board of Directors on the working and activities of the Bank for the period covered by the Accounts and the Auditors' Report on the Balance Sheet and Accounts. | For | For |
| 29-Jun-2013 | Punjab National Bank | AGM | To declare Annual Dividend on Equity Shares for the Financial Year 2012-13. | For | For |
| 1-Jul-13 | NIIT Technologies Ltd. | AGM | Adoption of Accounts | For | For |
| 1-Jul-13 | NIIT Technologies Ltd. | AGM | Declaration of Dividend | For | For |
| 1-Jul-13 | NIIT Technologies Ltd. | AGM | Re-appointment of Mr. Vijay K Thandani as Director | For | For |
| 1-Jul-13 | NIIT Technologies Ltd. | AGM | Re-appointment of Mr. Amit Sharma as Director | For | For |
| 1-Jul-13 | NIIT Technologies Ltd. | AGM | Re-appointment of M/s Price Waterhouse, Chartered Accountants as Auditors | For | For |
| 2-Jul-13 | Tata Coffee Ltd. | AGM | Adoption of accounts | For | For |
| 2-Jul-13 | Tata Coffee Ltd. | AGM | Declaration of dividend | For | For |
| 2-Jul-13 | Tata Coffee Ltd. | AGM | Appointment of Mr. R. K. Krishna Kumar as director | For | For |
| 2-Jul-13 | Tata Coffee Ltd. | AGM | Appointment of Prof Arun Monappa as director | For | For |
| 2-Jul-13 | Tata Coffee Ltd. | AGM | Retirement of Mr. U. Mahesh Rao as director | For | For |
| 2-Jul-13 | Tata Coffee Ltd. | AGM | Appointment of auditors | For | For |
| 2-Jul-13 | Tata Coffee Ltd. | AGM | Appointment of Mr. Harish Bhat as director | For | For |
| 2-Jul-13 | Tata Coffee Ltd. | AGM | Appointment of Mr. Hameed Huq as MD | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|-------------------------------------|--|---|---------------------------|--------------------------------|
| 3-Jul-13 | Jaiprakash Associates Ltd. | PB | To provide security to the lenders of Jaypee Karcham Wangtoo Hydro Electric Project, a division of Jaiprakash Power Ventures Limited | For | For |
| 3-Jul-13 | Jaiprakash Associates Ltd. | PB | To create security by way of pledge of equity shares of Jaypee Infratech Limited (JIL), a subsidiary of the Company, held by the Company and also to provide undertakings and guarantee to the lenders of JIL | For | For |
| 5-Jul-13 | Havells India Ltd. | AGM | Adoption of Accounts | For | For |
| 5-Jul-13 | Havells India Ltd. | AGM | Declaration of dividend | For | For |
| 5-Jul-13 | Havells India Ltd. | AGM | Re-appointment of Mr. Surender Kumar Tuteja as Director | For | For |
| 5-Jul-13 | Havells India Ltd. | AGM | Re-appointment of Dr. Adarsh Kishore as Director | For | For |
| 5-Jul-13 | Havells India Ltd. | AGM | Re-appointment of M/s V. R. Bansal & Associates, Chartered Accountants and M/s S. R. Batliboi & Co, LLP, Chartered Accountants as Auditors | For | For |
| 5-Jul-13 | Havells India Ltd. | AGM | Re-appointment of Mr. Qimat Rai Gupta as Chairman & MD for 5 years effective 01.04.2014 | For | For |
| 5-Jul-13 | Havells India Ltd. | AGM | Re-appointment of Mr. Anil Rai Gupta as Joint MD for 5 years effective 01.04.2014 | For | For |
| 5-Jul-13 | Havells India Ltd. | AGM | To vary the terms of remuneration of Mr. Rajesh Gupta, Wholetime Director (Finance) | For | For |
| 5-Jul-13 | Sobha Developers Ltd. | AGM | Adoption of Accounts | For | For |
| 5-Jul-13 | Sobha Developers Ltd. | AGM | Declaration of dividend | For | For |
| 5-Jul-13 | Sobha Developers Ltd. | AGM | Re-appointment of Dr. S. K. Gupta as Director | For | For |
| 5-Jul-13 | Sobha Developers Ltd. | AGM | Re-appointment of Mr. R. V. S. Rao as Director | For | For |
| 5-Jul-13 | Sobha Developers Ltd. | AGM | Appointment of M/s S. R. Batliboi & Associates LLP, Chartered Accountants as Auditors | For | For |
| 6-Jul-13 | Jaiprakash Power Ventures Ltd. | AGM | Adoption of Accounts | For | For |
| 6-Jul-13 | Jaiprakash Power Ventures Ltd. | AGM | Re-appointment of Mr. A. K. Goswami as Director | For | For |
| 6-Jul-13 | Jaiprakash Power Ventures Ltd. | AGM | Re-appointment of Mr. R. N. Bhardwaj as Director | For | For |
| 6-Jul-13 | Jaiprakash Power Ventures Ltd. | AGM | Re-appointment of Mr. S. C. Bhargava as Director | For | For |
| 6-Jul-13 | Jaiprakash Power Ventures Ltd. | AGM | Re-appointment of Mr. Suren Jain as Director | For | For |
| 6-Jul-13 | Jaiprakash Power Ventures Ltd. | AGM | Re-appointment of Mr. Praveen Kumar Singh as Director | For | For |
| 6-Jul-13 | Jaiprakash Power Ventures Ltd. | AGM | Re-appointment of Lt. Gen. (Retd.) Ravindra Mohan Chadha as Director | For | For |
| 6-Jul-13 | Jaiprakash Power Ventures Ltd. | AGM | Appointment of M/s R Nagpal Associates, Chartered Accountants as Auditors | For | For |
| 6-Jul-13 | Jaiprakash Power Ventures Ltd. | AGM | Re-appointment of Mr. Suresh Chandra as Wholetime Director | For | For |
| 6-Jul-13 | Jaiprakash Power Ventures Ltd. | AGM | To create Securities in favor of lenders | For | For |
| 6-Jul-13 | Jaiprakash Power Ventures Ltd. | AGM | To raise Funds upto an aggregate of ₹ 2500 crores | For | For |
| 6-Jul-13 | Jaiprakash Power Ventures Ltd. | AGM | Appointment to hold a office or place of profit by Mr. D. P. Goyal, Non-Executive Director | For | For |
| 12-Jul-13 | KPIT Cummins Infosystems | AGM | Adoption of accounts | For | For |
| 12-Jul-13 | KPIT Cummins Infosystems | AGM | Declaration of dividend | For | For |
| 12-Jul-13 | KPIT Cummins Infosystems | AGM | Appointment of Mr. Anant Talauticar as director | For | For |
| 12-Jul-13 | KPIT Cummins Infosystems | AGM | Appointment of Mr. Amit Kalyani as director | For | For |
| 12-Jul-13 | KPIT Cummins Infosystems | AGM | Appointment of M/s B S R & Co, chartered accountants as auditors | For | For |
| 12-Jul-13 | KPIT Cummins Infosystems | AGM | Appointment of Mr. Sanjay Kukreja as director | For | For |
| 12-Jul-13 | KPIT Cummins Infosystems | AGM | Appointment of Mr. B V R Subbu as director | For | For |
| 12-Jul-13 | KPIT Cummins Infosystems | AGM | To change the name of the company from KPIT CUMMINS INFOSYSTEMS Ltd. to KPIT TECHNOLOGIES LTD | For | For |
| 12-Jul-13 | KPIT Cummins Infosystems | AGM | Alteration of AOA | For | For |
| 12-Jul-13 | KPIT Cummins Infosystems | AGM | To grant ESOS 2013 to the employees of the company and its subsidiaries | For | For |
| 13-Jul-13 | Sun Pharmaceuticals Industries Ltd. | PB | To increase the authorised Share Capital from ₹ 1,500,000,000 to ₹ 3,000,000,000 | For | For |
| 13-Jul-13 | Sun Pharmaceuticals Industries Ltd. | PB | Alteration of MOA | For | For |
| 13-Jul-13 | Sun Pharmaceuticals Industries Ltd. | PB | Alteration of AOA | For | For |
| 13-Jul-13 | Sun Pharmaceuticals Industries Ltd. | PB | To issue bonus in the ratio of 1:1 | For | For |
| 15-Jul-13 | Tata Global Beverages Ltd. | AGM | Adoption of Accounts | For | For |
| 15-Jul-13 | Tata Global Beverages Ltd. | AGM | Declaration of Dividend | For | For |
| 15-Jul-13 | Tata Global Beverages Ltd. | AGM | Re-appointment of Mr. F. K. Kavarana as Director | For | For |
| 15-Jul-13 | Tata Global Beverages Ltd. | AGM | Re-appointment of Mrs. Ranjana Kumar as Director | For | For |
| 15-Jul-13 | Tata Global Beverages Ltd. | AGM | Re-appointment of Mrs. Mallika Srinivasan as Director | For | For |
| 15-Jul-13 | Tata Global Beverages Ltd. | AGM | Appointment of Auditors | For | For |
| 15-Jul-13 | Tata Global Beverages Ltd. | AGM | Appointment of Mr. L. Krishnakumar as a Director | For | For |
| 15-Jul-13 | Tata Global Beverages Ltd. | AGM | Appointment of Mr. S. Santhanakrishnan as a Director | For | For |
| 15-Jul-13 | Tata Global Beverages Ltd. | AGM | Appointment and remuneration of Mr. L. Krishnakumar as Wholetime Executive Director | For | For |
| 15-Jul-13 | Tata Global Beverages Ltd. | AGM | Revision in terms of remuneration of Mr. Harish Bhat, Managing Director | For | For |
| 15-Jul-13 | Tata Global Beverages Ltd. | AGM | Revision in terms of remuneration of Mr. Ajoy Misra, Wholetime Executive Director | For | For |
| 15-Jul-13 | Oberoi Realty Ltd. | AGM | Adoption of Accounts | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|------------------------------|--|---|---------------------------|--------------------------------|
| 15-Jul-13 | Oberoi Realty Ltd. | AGM | Declaration of dividend | For | For |
| 15-Jul-13 | Oberoi Realty Ltd. | AGM | Re-appointment of Mr. T. P. Ostwal as Director | For | For |
| 15-Jul-13 | Oberoi Realty Ltd. | AGM | Re-appointment of M/s P. Raj & Co, Chartered Accountants as Auditors | For | For |
| 16-Jul-13 | Sabero Organics Gujarat Ltd. | AGM | Adoption of Accounts | For | For |
| 16-Jul-13 | Sabero Organics Gujarat Ltd. | AGM | Re-appointment of Mr. Jayesh Gandhi as Director | For | For |
| 16-Jul-13 | Sabero Organics Gujarat Ltd. | AGM | Re-appointment of Mr. Sujal Shah as Director | For | For |
| 16-Jul-13 | Sabero Organics Gujarat Ltd. | AGM | Appointment of M/s Deloitte Haskins & Sells as Auditors | For | For |
| 16-Jul-13 | Exide Industries Ltd. | AGM | Adoption of Accounts | For | For |
| 16-Jul-13 | Exide Industries Ltd. | AGM | Declaration of dividend | For | For |
| 16-Jul-13 | Exide Industries Ltd. | AGM | Re-appointment of Mr. S. B. Raheja as a Director | For | For |
| 16-Jul-13 | Exide Industries Ltd. | AGM | Re-appointment of Ms. Mona N. Desai as a Director | For | For |
| 16-Jul-13 | Exide Industries Ltd. | AGM | Appointment of Auditors and fix their remuneration | For | For |
| 16-Jul-13 | Exide Industries Ltd. | AGM | Appointment of Mr. Sudhir Chand as Director | For | For |
| 16-Jul-13 | Exide Industries Ltd. | AGM | Appointment of Mr. P. K. Katak as Managing Director for 3 years with effect from 1.5.2013 | For | For |
| 16-Jul-13 | Exide Industries Ltd. | AGM | Appointment of Mr. G. Chatterjee as Joint Managing Director for 3 years with effect from 1.5.2013 | For | For |
| 16-Jul-13 | Exide Industries Ltd. | AGM | Appointment of Mr. A. K. Mukherjee as Director - Finance and CFO with effect from 1.5.2013 | For | For |
| 16-Jul-13 | Exide Industries Ltd. | AGM | Appointment of Mr. Nadeem Kazim as Director - HR & Personnel with effect from 1.5.2013 | For | For |
| 16-Jul-13 | Exide Industries Ltd. | AGM | Appointment of Mr. Subir Chakraborty as Director - Industrial with effect from 1.5.2013 for 5 years | For | For |
| 16-Jul-13 | Exide Industries Ltd. | AGM | Payment of remuneration by way of commission of upto 1% of the net profits of the Company | For | For |
| 18-Jul-13 | Kotak Mahindra Bank Ltd. | AGM | Adoption of Accounts | For | For |
| 18-Jul-13 | Kotak Mahindra Bank Ltd. | AGM | Declaration of Dividend | For | For |
| 18-Jul-13 | Kotak Mahindra Bank Ltd. | AGM | Re-appointment of Mr. Asim Ghosh Parekh as a Director | For | For |
| 18-Jul-13 | Kotak Mahindra Bank Ltd. | AGM | Re-appointment of Mr. Prakash Apte as a Director | For | For |
| 18-Jul-13 | Kotak Mahindra Bank Ltd. | AGM | Re-appointment of M/s S. B. Billiomoria & Co, Chartered Accountants as Auditors | For | For |
| 18-Jul-13 | Kotak Mahindra Bank Ltd. | AGM | Appointment of Prof S. Mahendra Dev as a Director | For | For |
| 18-Jul-13 | Kotak Mahindra Bank Ltd. | AGM | To increase authorised Share Capital from ₹ 400 crores to ₹ 500 crores | For | For |
| 18-Jul-13 | Kotak Mahindra Bank Ltd. | AGM | Alteration of MOA | For | For |
| 18-Jul-13 | Kotak Mahindra Bank Ltd. | AGM | To increase the ceiling limit on total holdings of FIs from 35% to 37% | For | For |
| 18-Jul-13 | Bajaj Finance Ltd. | AGM | Adoption of Accounts | For | For |
| 18-Jul-13 | Bajaj Finance Ltd. | AGM | Declaration of dividend | For | For |
| 18-Jul-13 | Bajaj Finance Ltd. | AGM | Re-appointment of Mr. Nanoo Pamnani as Director | For | For |
| 18-Jul-13 | Bajaj Finance Ltd. | AGM | Re-appointment of Mr. D. J. Balaji Rao as Director | For | For |
| 18-Jul-13 | Bajaj Finance Ltd. | AGM | Re-appointment of Mr. Dipak Poddar as Director | For | For |
| 18-Jul-13 | Bajaj Finance Ltd. | AGM | Appointment of Auditors and fix their remuneration | For | For |
| 18-Jul-13 | Bajaj Finserv Ltd. | AGM | Adoption of Accounts | For | For |
| 18-Jul-13 | Bajaj Finserv Ltd. | AGM | Declaration of dividend | For | For |
| 18-Jul-13 | Bajaj Finserv Ltd. | AGM | Re-appointment of D. J. Balaji Rao as Director | For | For |
| 18-Jul-13 | Bajaj Finserv Ltd. | AGM | Re-appointment of S. H. Khan as Director | For | For |
| 18-Jul-13 | Bajaj Finserv Ltd. | AGM | Appointment of Auditors | For | For |
| 18-Jul-13 | Sundaram Finance Ltd. | AGM | Adoption of Accounts | For | For |
| 18-Jul-13 | Sundaram Finance Ltd. | AGM | Declaration of director | For | For |
| 18-Jul-13 | Sundaram Finance Ltd. | AGM | Re-appointment of Mr. S. Ram, Mr. Srinivas Acharya and Mr. T. T. Srinicasaraghavan as Director | For | For |
| 18-Jul-13 | Sundaram Finance Ltd. | AGM | Re-appointment of M/s Brahmayya & Company, Chartered Accountants as Auditors | For | For |
| 18-Jul-13 | Sundaram Finance Ltd. | AGM | To pay remuneration by way of commission to the Directors (other than Managing Director and Wholtime Directors of the Company) upto 1% of the net profits of the company for a period of five years commencing from 01.04.2014. | For | For |
| 19-Jul-13 | Max India Ltd. | PB | To transfer of Max speciality Films Division (MSF division) of the Company to Max speciality Films Ltd, a 99% owned subsidiary of the Company | For | For |
| 19-Jul-13 | Max India Ltd. | PB | To invest in security(ies) and make loans to Max speciality films Ltd. for an amount upto ₹ 400 crores | For | For |
| 19-Jul-13 | Unichem Laboratories Ltd. | AGM | Adoption of accounts | For | For |
| 19-Jul-13 | Unichem Laboratories Ltd. | AGM | Declaration of dividend | For | For |
| 19-Jul-13 | Unichem Laboratories Ltd. | AGM | Appointment of Mr. Prafull Anubhai as director | For | For |
| 19-Jul-13 | Unichem Laboratories Ltd. | AGM | Appointment of Mr. Anand Mahajan as director | For | For |
| 19-Jul-13 | Unichem Laboratories Ltd. | AGM | Appointment of M/s B. D. Jokhakar & Co, chartered accountants as auditors | For | For |
| 19-Jul-13 | Unichem Laboratories Ltd. | AGM | Re-appointment of Dr. Prakash A Mody as Chairman and MD for 5 years | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--|--|--|---------------------------|--------------------------------|
| 19-Jul-13 | Bajaj Auto Ltd. | AGM | Adoption of Accounts | For | For |
| 19-Jul-13 | Bajaj Auto Ltd. | AGM | Declaration of dividend | For | For |
| 19-Jul-13 | Bajaj Auto Ltd. | AGM | Re-appointment of Mr. J. N. Godrej as Director | For | For |
| 19-Jul-13 | Bajaj Auto Ltd. | AGM | Re-appointment of Mr. S. H. Khan as Director | For | For |
| 19-Jul-13 | Bajaj Auto Ltd. | AGM | Re-appointment of Mr. Suman Kirloskar as Director | For | For |
| 19-Jul-13 | Bajaj Auto Ltd. | AGM | Re-appointment of Mr. Naresh Chandra as Director | For | For |
| 19-Jul-13 | Bajaj Auto Ltd. | AGM | Appointment of Auditors | For | For |
| 19-Jul-13 | Housing Development Finance Corporation Ltd. | AGM | Adoption of Accounts | For | For |
| 19-Jul-13 | Housing Development Finance Corporation Ltd. | AGM | Declaration of dividend | For | For |
| 19-Jul-13 | Housing Development Finance Corporation Ltd. | AGM | Re-appointment of Mr. Deepak S. Parekh as a Director | For | For |
| 19-Jul-13 | Housing Development Finance Corporation Ltd. | AGM | Re-appointment of Mr. Keshub Mahindra as a Director | For | For |
| 19-Jul-13 | Housing Development Finance Corporation Ltd. | AGM | Re-appointment of Mr. D. M. S. Sukthankar as a Director | For | For |
| 19-Jul-13 | Housing Development Finance Corporation Ltd. | AGM | Re-appointment of Mr. Naseer Munjee as a Director | For | For |
| 19-Jul-13 | Housing Development Finance Corporation Ltd. | AGM | Appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | For |
| 19-Jul-13 | Housing Development Finance Corporation Ltd. | AGM | Appointment of M/s PKF, Chartered Accountants as Brach Auditors | For | For |
| 19-Jul-13 | Housing Development Finance Corporation Ltd. | AGM | To increase borrowing limit not exceeding ₹ 3,00,000 crores | For | For |
| 22-Jul-13 | Hathway Cable & Datacom Ltd. | PB | To increase the borrowing powers upto ₹ 1400 crores | For | For |
| 22-Jul-13 | Hathway Cable & Datacom Ltd. | PB | To secure loans/borrowings upto ₹ 1400 crores | For | For |
| 23-Jul-13 | 3M India Ltd. | AGM | Adoption of Accounts | For | For |
| 23-Jul-13 | 3M India Ltd. | AGM | Re-appointment of Mr. B. S. Iyer as Director | For | For |
| 23-Jul-13 | 3M India Ltd. | AGM | Re-appointment of Mr. B. C. Prabhakar as Director | For | For |
| 23-Jul-13 | 3M India Ltd. | AGM | Re-appointment of M/s Lovelock & Lewes, Chartered Accountants as Auditors | For | For |
| 23-Jul-13 | 3M India Ltd. | AGM | Appointment of Mr. Frank R. Little as Director | For | For |
| 23-Jul-13 | 3M India Ltd. | AGM | Appointment of Mr. John R. Houle as Director | For | For |
| 23-Jul-13 | Coromandel International Ltd. | AGM | Adoption of accounts | For | For |
| 23-Jul-13 | Coromandel International Ltd. | AGM | Declaration of dividend | For | For |
| 23-Jul-13 | Coromandel International Ltd. | AGM | Appointment of Mrs. Ranjana Kumar as director | For | For |
| 23-Jul-13 | Coromandel International Ltd. | AGM | Appointment of Mr. A. Vellayan as director | For | For |
| 23-Jul-13 | Coromandel International Ltd. | AGM | Retirement of Mr. K Balasubramanian as director | For | For |
| 23-Jul-13 | Coromandel International Ltd. | AGM | Appointment of M/s Deloitte Haskins & Sells, Chartered accountants as auditors | For | For |
| 23-Jul-13 | Coromandel International Ltd. | AGM | Appointment of Mr. Uday Chander Khanna as director | For | For |
| 23-Jul-13 | Coromandel International Ltd. | AGM | Payment of commission to non-executive directors | For | For |
| 23-Jul-13 | Blue Dart Express Ltd. | AGM | Adoption of accounts | For | For |
| 23-Jul-13 | Blue Dart Express Ltd. | AGM | Declaration of dividend | For | For |
| 23-Jul-13 | Blue Dart Express Ltd. | AGM | Appointment of Mr. Sharad Upasani as director | For | For |
| 23-Jul-13 | Blue Dart Express Ltd. | AGM | Appointment of auditors | For | For |
| 23-Jul-13 | Blue Dart Express Ltd. | AGM | Revision of remuneration to Mr. Anil Khanna, MD | For | For |
| 23-Jul-13 | Pidilite Industries Ltd. | AGM | Adoption of accounts | For | For |
| 23-Jul-13 | Pidilite Industries Ltd. | AGM | Declaration of dividend | For | For |
| 23-Jul-13 | Pidilite Industries Ltd. | AGM | Appointment of Mr. Yash Mahajan as director | For | For |
| 23-Jul-13 | Pidilite Industries Ltd. | AGM | Appointment of Mr. N. J. Jhaveri as director | For | For |
| 23-Jul-13 | Pidilite Industries Ltd. | AGM | Appointment of Mr. S. K. Parekh as director | For | For |
| 23-Jul-13 | Pidilite Industries Ltd. | AGM | Appointment of Mr. A. N. Parekh as director | For | For |
| 23-Jul-13 | Pidilite Industries Ltd. | AGM | Appointment of M/s Deloitte Haskins & Sells, Chartered accountants as auditors | For | For |
| 23-Jul-13 | Pidilite Industries Ltd. | AGM | Appointment of Mr. M. B. Parekh as MD for 5 years | For | For |
| 23-Jul-13 | Pidilite Industries Ltd. | AGM | Appointment of Mr. N. K. Parekh as Joint MD for 5 years | For | For |
| 23-Jul-13 | Pidilite Industries Ltd. | AGM | Appointment of Mr. A. B. Parekh as wholetime director for 5 years | For | For |
| 23-Jul-13 | Pidilite Industries Ltd. | AGM | Payment of commission to non executive directors | For | For |
| 24-Jul-13 | Hathway Cable & Datacom Ltd. | AGM | Adoption of Accounts | For | For |
| 24-Jul-13 | Hathway Cable & Datacom Ltd. | AGM | Re-appointment of Mr. Vinayak Aggarwal as a Director | For | For |
| 24-Jul-13 | Hathway Cable & Datacom Ltd. | AGM | Re-appointment of Mr. Sridhar Gorthi as a Director | For | For |
| 24-Jul-13 | Hathway Cable & Datacom Ltd. | AGM | Re-appointment of Mr. Devendra Shrotri as a Director | For | For |
| 24-Jul-13 | Hathway Cable & Datacom Ltd. | AGM | Appointment of M/s G. M. Kapadia & Co, Chartered Accountants as Auditors | For | For |
| 24-Jul-13 | Hathway Cable & Datacom Ltd. | AGM | Appointment of Mr. Jagdish Kumar G. Pillai as a Director | For | For |
| 24-Jul-13 | Cairn India Ltd. | AGM | Adoption of Accounts | For | For |
| 24-Jul-13 | Cairn India Ltd. | AGM | Declaration of dividend | For | For |
| 24-Jul-13 | Cairn India Ltd. | AGM | Re-appointment of Mr. Naresh Chandra as Director | For | For |
| 24-Jul-13 | Cairn India Ltd. | AGM | Re-appointment of Mr. Edward T. Story as Director | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|---|--|--|---------------------------|--------------------------------|
| 24-Jul-13 | Cairn India Ltd. | AGM | Appointment of M/s S. R. Batliboi & Co LLP, Chartered Accountants as Auditors | For | For |
| 24-Jul-13 | Cairn India Ltd. | AGM | Appointment Mr. P Elango as Whole Time Director of the Company with effect from 21.01.2013 | For | For |
| 24-Jul-13 | Kaveri Seed Company Ltd. | PB | To approve and consent for transfer of Microteck division to Kaveri Microteck Private Limited, a 100% subsidiary of the Company at abook value of ₹ 14,52,63,600 | For | For |
| 25-Jul-13 | JSW Energy Ltd. | AGM | Adoption of Accounts | For | For |
| 25-Jul-13 | JSW Energy Ltd. | AGM | Declaration of dividend | For | For |
| 25-Jul-13 | JSW Energy Ltd. | AGM | Re-appointment of Mr. Nirmal Kumar Jain as Director | For | For |
| 25-Jul-13 | JSW Energy Ltd. | AGM | Re-appointment of Mr. Chandan Bhattacharya as Director | For | For |
| 25-Jul-13 | JSW Energy Ltd. | AGM | Appointment of M/s Lodha & Co, Chartered Accountants as Auditors | For | For |
| 25-Jul-13 | JSW Energy Ltd. | AGM | Appointment of M/s Shah Gupta & Co, Chartered Accountants as Auditors | For | For |
| 25-Jul-13 | JSW Energy Ltd. | AGM | Appointment of Mr. Sanjay Sagar as Director | For | For |
| 25-Jul-13 | JSW Energy Ltd. | AGM | Appointment of Mr. Sanjay Sagar as Wholetime Director & CEO for 5 years effective 21.07.2012 | For | For |
| 25-Jul-13 | JSW Energy Ltd. | AGM | Appointment of Mr. Pramod Menon as Director | For | For |
| 25-Jul-13 | JSW Energy Ltd. | AGM | Appointment of Mr. Pramod Menon as Wholetime Director designated as Director Finance for 5 years effective 03.05.2013 | For | For |
| 25-Jul-13 | JSW Energy Ltd. | AGM | To amend for ESOP 2010 & ESOP 2012 for the employees of the Company | For | For |
| 25-Jul-13 | JSW Energy Ltd. | AGM | To amend ESOP 2010 & ESOP 2012 for the employees of the subsidiary Companies | For | For |
| 25-Jul-13 | Mahindra & Mahindra Financial Services Ltd. | AGM | Adoption of Accounts | For | For |
| 25-Jul-13 | Mahindra & Mahindra Financial Services Ltd. | AGM | Declaration of dividend | For | For |
| 25-Jul-13 | Mahindra & Mahindra Financial Services Ltd. | AGM | Re-appointment of Mr. Bharat Doshi as Director | For | For |
| 25-Jul-13 | Mahindra & Mahindra Financial Services Ltd. | AGM | Re-appointment of Mr. Dhananjay Mungale as Director | For | For |
| 25-Jul-13 | Mahindra & Mahindra Financial Services Ltd. | AGM | Re-appointment of M/s B K Khare & Co, Chartered Accountants as Auditors | For | For |
| 25-Jul-13 | Thermax Ltd. | AGM | Adoption of Accounts | For | For |
| 25-Jul-13 | Thermax Ltd. | AGM | Declaration of dividend | For | For |
| 25-Jul-13 | Thermax Ltd. | AGM | Re-appointment of Mr. Pheroz N Pudumjee as a Director | For | For |
| 25-Jul-13 | Thermax Ltd. | AGM | Re-appointment of Dr. Jairam Varadaraj as a Director | For | For |
| 25-Jul-13 | Thermax Ltd. | AGM | Appointment of M/s B. K. Khare & Co, Chartered Accountants as Auditor | For | For |
| 25-Jul-13 | Kalpataru Power Transmission Ltd. | AGM | Adoption of Accounts | For | For |
| 25-Jul-13 | Kalpataru Power Transmission Ltd. | AGM | Declaration of Dividend | For | For |
| 25-Jul-13 | Kalpataru Power Transmission Ltd. | AGM | Re-appointment of Mr. Mofatraj P. Munot as Director | For | For |
| 25-Jul-13 | Kalpataru Power Transmission Ltd. | AGM | Re-appointment of Mr. Sajjanraj Mehta as Director | For | For |
| 25-Jul-13 | Kalpataru Power Transmission Ltd. | AGM | Re-appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | For |
| 25-Jul-13 | Kalpataru Power Transmission Ltd. | AGM | Appointment of Mr. Ranjit Singh as Director | For | For |
| 25-Jul-13 | Kalpataru Power Transmission Ltd. | AGM | Appointment of Mr. Ranjit Singh as Managing Director for 5 years with effect from 1.11.2012 | For | For |
| 25-Jul-13 | Kalpataru Power Transmission Ltd. | AGM | Appointment of Mr. Umang Golecha to hold an Office or place of profit as Deputy GM (International Business) for 1 year with effect from 1.4.2013 | For | For |
| 25-Jul-13 | Kalpataru Power Transmission Ltd. | AGM | Payment of remuneration by way of commission commencing 1.4.2013 not exceeding 1% of net ptofit to Directors | For | For |
| 25-Jul-13 | Shriram City Union Finance Ltd. | AGM | Adoption of Accounts | For | For |
| 25-Jul-13 | Shriram City Union Finance Ltd. | AGM | Declaration of Dividend | For | For |
| 25-Jul-13 | Shriram City Union Finance Ltd. | AGM | Re-appointment of Mrs. Lakshmi Pranesh as Director | For | For |
| 25-Jul-13 | Shriram City Union Finance Ltd. | AGM | Re-appointment of Mr. S. Krishnamurthy as Director | For | For |
| 25-Jul-13 | Shriram City Union Finance Ltd. | AGM | Appointment of M/s Pijush Gupta & Co, Chartered Accountants as Auditors | For | For |
| 25-Jul-13 | Shriram City Union Finance Ltd. | AGM | Appointment of Mr. Vipen Kapur as Director | For | For |
| 25-Jul-13 | Shriram City Union Finance Ltd. | AGM | Appointment of Mr. Pranab Prakash Pattanayak as Director | For | For |
| 26-Jul-13 | SRF Ltd. | AGM | Adoption of Accounts | For | For |
| 26-Jul-13 | SRF Ltd. | AGM | Re-appointment of Mr. Vinayak Chaterjee as Director | For | For |
| 26-Jul-13 | SRF Ltd. | AGM | Re-appointment of Mr. Tejpreet Singh as Director | For | For |
| 26-Jul-13 | SRF Ltd. | AGM | Re-appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | For |
| 26-Jul-13 | SRF Ltd. | AGM | Appointment of Mr. Pramod Bhasin as Director | For | For |
| 26-Jul-13 | SRF Ltd. | AGM | Re-appointment of Mr. Arun Bharat Ram as Chairman with Executive Powers | For | For |
| 26-Jul-13 | Tata Communications Ltd. | AGM | Adoption of Accounts | For | For |
| 26-Jul-13 | Tata Communications Ltd. | AGM | Declaration of dividend on equity share | For | For |
| 26-Jul-13 | Tata Communications Ltd. | AGM | Re-appointment of Mr. Srinath Narasimhan as a Director | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|----------------------------|--|---|---------------------------|--------------------------------|
| 26-Jul-13 | Tata Communications Ltd. | AGM | Re-appointment of Mr. Subodh Bhargava as a Director | For | For |
| 26-Jul-13 | Tata Communications Ltd. | AGM | Re-appointment of Mr. Kishore Chaukar as a Director | For | For |
| 26-Jul-13 | Tata Communications Ltd. | AGM | Appointment of M/s S. B. Billimoria & Co, Chartered Accountants as as Auditors | For | For |
| 26-Jul-13 | Atul Ltd. | AGM | To receive, consider and adopt the Balance Sheet as on March 31, 2013 and the Profit and Loss Account for the year ended on that date together with the reports of the Directors and the Auditors thereon | For | For |
| 26-Jul-13 | Atul Ltd. | AGM | To declare dividend | For | For |
| 26-Jul-13 | Atul Ltd. | AGM | To appoint a Director in place of Dr. S. S. Bajjal who retires by rotation and being eligible, offers himself for reappointment | For | For |
| 26-Jul-13 | Atul Ltd. | AGM | To appoint a Director in place of Mr. S. M. Datta who retires by rotation and being eligible, offers himself for reappointment | For | For |
| 26-Jul-13 | Atul Ltd. | AGM | To appoint a Director in place of Mr. V. S. Rangan who retires by rotation and being eligible, offers himself for reappointment | For | For |
| 26-Jul-13 | Atul Ltd. | AGM | To appoint Dalal & Shah as the Statutory Auditors from the conclusion of this Annual General Meeting until the conclusion of the next Annual General Meeting of the Company and fix their remuneration | For | For |
| 26-Jul-13 | Atul Ltd. | AGM | To appoint Mr. R A Shah as a Director of the company | For | For |
| 26-Jul-13 | Atul Ltd. | AGM | To fix remuneration of the directors. | For | For |
| 26-Jul-13 | Atul Ltd. | AGM | Re-appointment of Mr. S. S. Lalbhai as the Chairman and Managing Director (CMD) of the Company & fix remuneration. | For | For |
| 26-Jul-13 | Phillips Carbon Black Ltd. | AGM | Adoption of Accounts | For | For |
| 26-Jul-13 | Phillips Carbon Black Ltd. | AGM | Declaration of Dividend | For | For |
| 26-Jul-13 | Phillips Carbon Black Ltd. | AGM | Re-appointment of Mr. C. R. Paul as Director | For | For |
| 26-Jul-13 | Phillips Carbon Black Ltd. | AGM | Re-appointment of Mr. Paras K. Chowdhury as Director | For | For |
| 26-Jul-13 | Phillips Carbon Black Ltd. | AGM | Appointment of Auditors | For | For |
| 26-Jul-13 | Phillips Carbon Black Ltd. | AGM | Re-appointment of Mr. Ashok Goyal as Managing Director for the period from 23.10.2012 to 31.03.2014 | For | For |
| 26-Jul-13 | Phillips Carbon Black Ltd. | AGM | Appointment of Mr. Kaushik Roy as Managing Director (Carbon Black Business) for 3 years effective 05.02.2013 | For | For |
| 26-Jul-13 | CESC Ltd. | AGM | Adoption of Accounts | For | For |
| 26-Jul-13 | CESC Ltd. | AGM | Declaration of Dividend | For | For |
| 26-Jul-13 | CESC Ltd. | AGM | Re-appointment of Mr. B. M. Khaitan as a Director | For | For |
| 26-Jul-13 | CESC Ltd. | AGM | Re-appointment of Mr. P. K. Khaitan as a Director | For | For |
| 26-Jul-13 | CESC Ltd. | AGM | Re-appointment of M/s Lovelock & Lewes, Chartered Accountants as Auditors | For | For |
| 26-Jul-13 | CESC Ltd. | AGM | To mortgage and/or charge any or all of the properties of the Company | For | For |
| 26-Jul-13 | CESC Ltd. | AGM | Appointment of Mr. Anirudhha Basu as a Director | For | For |
| 26-Jul-13 | CESC Ltd. | AGM | Appointment and remuneration of Mr. Anirudhha Basu as Wholetime Director for a period of 28.5.2013 to 31.7.2013 and MD from 1.8.2013 till 27.5.2018 | For | For |
| 26-Jul-13 | CESC Ltd. | AGM | Payment of Commission to Directors not exceeding 3% of net profit of the Company | For | Against |
| 26-Jul-13 | CESC Ltd. | AGM | Delisting of the equity shares from London Stock Exchange | For | For |
| 26-Jul-13 | Hindustan Unilever Ltd. | AGM | Adoption of Accounts | For | For |
| 26-Jul-13 | Hindustan Unilever Ltd. | AGM | Confirmation of Interim dividend and Special Dividend and declaration of Final Dividend | For | For |
| 26-Jul-13 | Hindustan Unilever Ltd. | AGM | Appointment of Directors in place of retiring Directors | For | For |
| 26-Jul-13 | Hindustan Unilever Ltd. | AGM | Appointment of M/s Lovelock & Lewes, Chartered Accountants as Auditors | For | For |
| 26-Jul-13 | Hindustan Unilever Ltd. | AGM | Appointment of Dr. Sanjay Misra as a Director | For | For |
| 26-Jul-13 | Hindustan Unilever Ltd. | AGM | Approve Payment of commission to Directors other than MD for a period of 5 years with effect from 1.4.2013 not exceeding 1% of net profits | For | For |
| 29-Jul-13 | Madras Cements | AGM | Adoption of Accounts | For | For |
| 29-Jul-13 | Madras Cements | AGM | Declaration of Dividend | For | For |
| 29-Jul-13 | Madras Cements | AGM | Re-appointment of Dr. A Ramakrishna as Director | For | For |
| 29-Jul-13 | Madras Cements | AGM | Appointment of M/s M. S. Jagannathan & N. Krishnaswami, Chartered Accountants & M/s CNGSN & Associates, Chartered Accountants as Joint Auditors | For | For |
| 29-Jul-13 | Madras Cements | AGM | Change in the name of the Company to The Ramco Cements Ltd, subject to necessary approvals | For | For |
| 29-Jul-13 | Jaypee Infratech Ltd. | AGM | Adoption of Accounts | For | For |
| 29-Jul-13 | Jaypee Infratech Ltd. | AGM | Declaration of Dividend | For | For |
| 29-Jul-13 | Jaypee Infratech Ltd. | AGM | Re-appointment of Mr. Manoj Gaur as Director | For | For |
| 29-Jul-13 | Jaypee Infratech Ltd. | AGM | Re-appointment of Mr. Sunil Kumar Sharma as Director | For | For |
| 29-Jul-13 | Jaypee Infratech Ltd. | AGM | Re-appointment of Mr. B. K. Goswani as Director | For | For |
| 29-Jul-13 | Jaypee Infratech Ltd. | AGM | Re-appointment of Mr. S. C. Gupta as Director | For | For |
| 29-Jul-13 | Jaypee Infratech Ltd. | AGM | Re-appointment of Mrs. Rekha Dixit as Director | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ COURT Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|---|--|--|---------------------------|--------------------------------|
| 29-Jul-13 | Jaypee Infratech Ltd. | AGM | Appointment of M/s R. Nagpal Associates, Chartered Accountants as Auditors | For | For |
| 29-Jul-13 | Jaypee Infratech Ltd. | AGM | Appointment of Mr. Anand Bordia as Director | For | For |
| 29-Jul-13 | Jaypee Infratech Ltd. | AGM | Re-appointment of Mr. Sachin Gaur as Wholetime Director for 3 years effective 10.09.2013 | For | For |
| 29-Jul-13 | Jaypee Infratech Ltd. | AGM | Re-appointment of Mrs. Rekha Dixit as Wholetime Director for 3 years effective 01.06.2013 | For | For |
| 29-Jul-13 | Jaiprakash Associates Ltd. | AGM | Adoption of Accounts | For | For |
| 29-Jul-13 | Jaiprakash Associates Ltd. | AGM | Declaration of Dividend | For | For |
| 29-Jul-13 | Jaiprakash Associates Ltd. | AGM | Re-appointment of Mr. Pankaj Gaur as Director | For | For |
| 29-Jul-13 | Jaiprakash Associates Ltd. | AGM | Re-appointment of Mr. V. K. Chopra as Director | For | For |
| 29-Jul-13 | Jaiprakash Associates Ltd. | AGM | Re-appointment of Dr. B. Samal as Director | For | For |
| 29-Jul-13 | Jaiprakash Associates Ltd. | AGM | Re-appointment of Mr. Sunny Gaur as Director | For | For |
| 29-Jul-13 | Jaiprakash Associates Ltd. | AGM | Re-appointment of Mr. B. K. Goswami as Director | For | For |
| 29-Jul-13 | Jaiprakash Associates Ltd. | AGM | Appointment of M/s M. P. Singh & Associates, Chartered Accountants as Auditors | For | For |
| 30-Jul-13 | IPCA Laboratories Ltd. | AGM | Adoption of Accounts | For | For |
| 30-Jul-13 | IPCA Laboratories Ltd. | AGM | Declaration of Dividend | For | For |
| 30-Jul-13 | IPCA Laboratories Ltd. | AGM | Re-appointment of Mr. Babulal Jain as Director | For | For |
| 30-Jul-13 | IPCA Laboratories Ltd. | AGM | Re-appointment of Mr. Anand T. Kusre as Director | For | For |
| 30-Jul-13 | IPCA Laboratories Ltd. | AGM | Re-appointment of Dr. V. V. Subba Rao as Director | For | For |
| 30-Jul-13 | IPCA Laboratories Ltd. | AGM | Appointment of Auditors | For | For |
| 30-Jul-13 | IPCA Laboratories Ltd. | AGM | Re-appointment of Mr. Premchand Godha as Managing Director for 5 years effective 01.04.2013 | For | For |
| 30-Jul-13 | IPCA Laboratories Ltd. | AGM | Appointment of Dr. Ramakanta M. Panda as Director | For | For |
| 31-Jul-13 | Cholamandalam Investment and Finance Company Ltd. | AGM | Adoption of Accounts | For | For |
| 31-Jul-13 | Cholamandalam Investment and Finance Company Ltd. | AGM | Confirmation of payment of Interim Dividend and Declaration of Final Dividend on equity shares | For | For |
| 31-Jul-13 | Cholamandalam Investment and Finance Company Ltd. | AGM | Re-appointment of Mr. M. B. N. Rao as a Director | For | For |
| 31-Jul-13 | Cholamandalam Investment and Finance Company Ltd. | AGM | Not filling of vaccancy caused by retirement as Mr. R. V. Kanoria as a Director | For | For |
| 31-Jul-13 | Cholamandalam Investment and Finance Company Ltd. | AGM | Appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | For |
| 31-Jul-13 | Cholamandalam Investment and Finance Company Ltd. | AGM | To approve the to borrow from time to time such sum or sums of money as they may deem fit notwithstanding however, that the total borrowings exceed the aggregate of paid-up capital and free reserves of the company, provided however that the aggregate of amounts so borrowed and outstanding at any one time (apart from temporary loans obtained from the company's bankers in the ordinary course of business) shall not exceed an amount of ₹ 40,000 crores. | For | For |
| 31-Jul-13 | Dr. Reddy's Laboratories Ltd. | AGM | Adoption of Accounts | For | For |
| 31-Jul-13 | Dr. Reddy's Laboratories Ltd. | AGM | Declaration of Dividend | For | For |
| 31-Jul-13 | Dr. Reddy's Laboratories Ltd. | AGM | Re-appointment of Dr. Ashok S. Ganguly as a Director | For | For |
| 31-Jul-13 | Dr. Reddy's Laboratories Ltd. | AGM | Re-appointment of Dr. J. P. Moreau as a Director | For | For |
| 31-Jul-13 | Dr. Reddy's Laboratories Ltd. | AGM | Re-appointment of Ms. Kalpana Morparia as a Director | For | For |
| 31-Jul-13 | Dr. Reddy's Laboratories Ltd. | AGM | Re-appointment of M/s B. S. R. & Co, Chartered Accountants as Auditors | For | For |
| 31-Jul-13 | Dr. Reddy's Laboratories Ltd. | AGM | Re-designation of Mr. G. V. Prasad, wholetime Director as Chairman and CEO | For | For |
| 31-Jul-13 | Dr. Reddy's Laboratories Ltd. | AGM | Re-designation of Mr. Satish Reddy, Wholetime Director as vice Chairman, in addition to MD and COO | For | For |
| 1-Aug-2013 | Cummins India Ltd. | AGM | Adoption of Accounts | For | For |
| 1-Aug-2013 | Cummins India Ltd. | AGM | Declaration of dividend | For | For |
| 1-Aug-2013 | Cummins India Ltd. | AGM | Re-appointment of Mr. James Kelly as Director | For | For |
| 1-Aug-2013 | Cummins India Ltd. | AGM | Re-appointment of Mr. Rajeev Bakshi as Director | For | For |
| 1-Aug-2013 | Cummins India Ltd. | AGM | Re-appointment of Mr. Venu Srinivasan as Director | For | For |
| 1-Aug-2013 | Cummins India Ltd. | AGM | Appointment of Auditors | For | For |
| 1-Aug-2013 | Cummins India Ltd. | AGM | Appointment of Mr. Antonio Leitao as Director | For | For |
| 1-Aug-2013 | Cummins India Ltd. | AGM | Appointment of Mr. Prakash Telang as Director | For | For |
| 1-Aug-2013 | Cummins India Ltd. | AGM | Appointment of Mr. Edward Phillip Pence as Director | For | For |
| 1-Aug-2013 | Cummins India Ltd. | AGM | Re-appointment of Mr. Ajay J. Talaulicar as MD for 5 years effective 25.04.2013 | For | For |
| 1-Aug-2013 | Cummins India Ltd. | AGM | Appointment of Mr. Pradeep Bhargava, Alternate Director to Mr. Patrick Ward, to act as advisor on retainership basis for 13 months effective 01.04.2013 | For | For |
| 1-Aug-2013 | Triveni Turbine Ltd. | AGM | To receive, consider and adopt the Balance Sheet as at March 31, 2013, the Statement of Profit and Loss for the financial year ended on that date and the Reports of the Directors and Auditors thereon | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|-------------------------------|--|--|---------------------------|--------------------------------|
| 1-Aug-2013 | Triveni Turbine Ltd. | AGM | To declare dividend on the Cumulative Redeemable Preference Shares of the Company for the financial year ended March 31, 2013 and pro-rata dividend from April 01, 2013 to May 31, 2013 (being the date of redemption) | For | For |
| 1-Aug-2013 | Triveni Turbine Ltd. | AGM | To confirm the interim dividend already paid to the equity shareholders and declare the final dividend on equity shares for the financial year ended March 31, 2013 | For | For |
| 1-Aug-2013 | Triveni Turbine Ltd. | AGM | To appoint a Director in place of Mr. Tarun Sawhney, who retires by rotation, and being eligible, offers himself for re-appointment | For | For |
| 1-Aug-2013 | Triveni Turbine Ltd. | AGM | To appoint a Director in place of Lt. Gen. K. K. Hazari (Retd), who retires by rotation, and being eligible, offers himself for re-appointment | For | For |
| 1-Aug-2013 | Triveni Turbine Ltd. | AGM | To appoint M/s J. C. Bhalla & Co. Chartered Accountants, (FRN: 001111N) as Statutory Auditors and to authorize the Board of Directors to fix their remuneration | For | For |
| 1-Aug-2013 | Triveni Turbine Ltd. | AGM | To approve Mr. Shekhar Datta as a Director of the Company liable to retire by rotation. | For | For |
| 1-Aug-2013 | Triveni Turbine Ltd. | AGM | To approve Appointment of Mr. Arun Prabhakar Mote as Wholtime Director and fix his remuneration | For | For |
| 1-Aug-2013 | Triveni Turbine Ltd. | AGM | To create, offer, issue, grant and allot over a period of time to and for the benefit of the permanent employees and Directors of the Company, existing and future under a Scheme titled "Triveni Turbine Ltd. ESOP 2013" (TTL- ESOP 2013), such number of equity shares and/or equity linked instruments including Options/Warrants and/or any other instruments or securities, which upon exercise could give rise to the issue of equity shares (hereinafter collectively referred to as "Securities") of the Company, not exceeding in aggregate 30,00,000 equity shares of ₹ 1/- each in one or more tranches at such price or prices and in such manner and on such terms & conditions as may be fixed or determined by the Board in accordance with the guidelines or other applicable provisions of any law as may be prevailing at that time. | For | For |
| 1-Aug-2013 | Triveni Turbine Ltd. | AGM | To accord to the Board to extend the benefit of the Triveni Turbine Ltd. ESOP 2013 (TTL ESOP 2013) referred to in the resolution under item No. 10 (a) of the notice to the benefit of such persons who are in permanent employment of the Subsidiary Company(ies), by way of grant of such number of equity shares and/or equity linked instruments including Options/Warrants and/or any other instruments or securities, which upon exercise could give rise to the issue of equity shares under TTL ESOP 2013 not exceeding in aggregate 30,00,000 equity shares of ₹ 1/- each in one or more tranches at such price and in such manner and on such terms & conditions as may be fixed or determined by the Board in accordance with the guidelines or other applicable provisions of any law as may be prevailing at that time. | For | For |
| 2-Aug-2013 | Bajaj Corp Ltd. | AGM | Adoption of accounts | For | For |
| 2-Aug-2013 | Bajaj Corp Ltd. | AGM | Declaration of dividend | For | For |
| 2-Aug-2013 | Bajaj Corp Ltd. | AGM | Appointment of Mr. Sumit Malhotra as director | For | For |
| 2-Aug-2013 | Bajaj Corp Ltd. | AGM | Appointment of Mr. Haigreve Khaitan as director | For | For |
| 2-Aug-2013 | Bajaj Corp Ltd. | AGM | Appointment of M/s R. S. Dani & Co, Chartered Accountants as auditors | For | For |
| 2-Aug-2013 | Bajaj Corp Ltd. | AGM | Appointment of Mr. Sumit Malhotra as MD | For | For |
| 2-Aug-2013 | Bajaj Corp Ltd. | AGM | Revision of remuneration to Mr. Jimmy Rustom Anklesaria, Wholtime director | For | For |
| 2-Aug-2013 | Bajaj Corp Ltd. | AGM | To vary the utilization of IPO proceeds | For | For |
| 2-Aug-2013 | Bajaj Corp Ltd. | AGM | To issue securities upto ₹ 1,000 crore | For | For |
| 2-Aug-2013 | Glenmark Pharmaceuticals Ltd. | AGM | Adoption of Accounts | For | For |
| 2-Aug-2013 | Glenmark Pharmaceuticals Ltd. | AGM | Declaration of Dividend | For | For |
| 2-Aug-2013 | Glenmark Pharmaceuticals Ltd. | AGM | Re-appointment of Mr. D. R. Mehta as Director | For | For |
| 2-Aug-2013 | Glenmark Pharmaceuticals Ltd. | AGM | Re-appointment of Mr. Sridhar Gorthi as Director | For | For |
| 2-Aug-2013 | Glenmark Pharmaceuticals Ltd. | AGM | Re-appointment of Mr. J. F. Ribeiro as Director | For | For |
| 2-Aug-2013 | Glenmark Pharmaceuticals Ltd. | AGM | Appointment of M/s Walker, Chandiock & Co, Chartered Accountants as Auditors | For | For |
| 2-Aug-2013 | JK Lakshmi Cement Ltd. | AGM | Adoption of Accounts | For | For |
| 2-Aug-2013 | JK Lakshmi Cement Ltd. | AGM | Declaration of Dividend | For | For |
| 2-Aug-2013 | JK Lakshmi Cement Ltd. | AGM | Re-appointment of Mr. N. G. Khaitan as Director | For | For |
| 2-Aug-2013 | JK Lakshmi Cement Ltd. | AGM | Re-appointment of Mr. Sushil Kumar Wali as Director | For | For |
| 2-Aug-2013 | JK Lakshmi Cement Ltd. | AGM | Re-appointment of Mr. B. V. Bhargava as Director | For | For |
| 2-Aug-2013 | JK Lakshmi Cement Ltd. | AGM | Appointment of M/s Lodha & Co, Chartered Accountants as Auditors | For | For |
| 2-Aug-2013 | JK Lakshmi Cement Ltd. | AGM | Re-appointment of Mr. Sushil Kumar Wali as Wholtime Director for 3 years with effect from 1.8.2013 | For | For |
| 2-Aug-2013 | JK Lakshmi Cement Ltd. | AGM | Re-appointment of Dr. Shailendra Chouksey as Wholtime Director for 3 years with effect from 1.8.2013 | For | For |
| 2-Aug-2013 | JK Lakshmi Cement Ltd. | AGM | Amendment of AoA | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--|--|--|---------------------------|--------------------------------|
| 3-Aug-2013 | Infosys Ltd. | EGM | Appointment of Mr. N. R. Narayana Murthy as wholtime Director for 5 years with effect from 1.5.2013 | For | For |
| 3-Aug-2013 | Strides Arcolab Ltd. | EGM | Increase in FII's holding not exceeding 74% of the paid up equity capital of the Company | For | For |
| 6-Aug-2013 | Bombay Dyeing & Mfg Company Ltd. | AGM | Adoption of Accounts | For | For |
| 6-Aug-2013 | Bombay Dyeing & Mfg Company Ltd. | AGM | Declaration of Dividend | For | For |
| 6-Aug-2013 | Bombay Dyeing & Mfg Company Ltd. | AGM | Re-appointment of Mr. Kesub Mahindra as Director | For | For |
| 6-Aug-2013 | Bombay Dyeing & Mfg Company Ltd. | AGM | Re-appointment of Mr. Ishaat Hussain as Director | For | For |
| 6-Aug-2013 | Bombay Dyeing & Mfg Company Ltd. | AGM | Re-appointment of Mr. Ness N. Wadia as Director | For | For |
| 6-Aug-2013 | Bombay Dyeing & Mfg Company Ltd. | AGM | Appointment of M/s Kalyaniwalla & Mistry, Chartered Accountants as Auditors | For | For |
| 6-Aug-2013 | Shalimar Paints Ltd. | AGM | To receive, consider and adopt the audited Statement of Profit and Loss for the year ended 31st March, 2013 and the Balance Sheet as at that date together with the Reports of the Directors and Auditors thereon. | For | For |
| 6-Aug-2013 | Shalimar Paints Ltd. | AGM | To appoint a Director in place of Mr. Girish Jhunjhuwala, who retires by rotation and being eligible, offers himself for re-appointment | For | For |
| 6-Aug-2013 | Shalimar Paints Ltd. | AGM | To appoint a Director in place of Mr. A. V. Lodha, who retires by rotation and being eligible, offers himself for re-appointment | For | For |
| 6-Aug-2013 | Shalimar Paints Ltd. | AGM | To appoint Auditors and to fix their remuneration | For | For |
| 6-Aug-2013 | Shalimar Paints Ltd. | AGM | To appoint Mr. Sameer Nagpal as Director of the Company | For | For |
| 6-Aug-2013 | Shalimar Paints Ltd. | AGM | To appoint and fix remuneration of Mr. Sameer Nagpal as the Managing Director of the Company for a period of three years from 25th May, 2013 | For | For |
| 6-Aug-2013 | Shalimar Paints Ltd. | AGM | To accord the Consent of the Board of Directors of the Company to create, offer, issue and allot at any time to or to the benefit of such person(s) who are in permanent employment of the Company options exercisable into such number of equity shares being not more than 5% of the Paid-up Equity Share Capital of the Company. Each option when exercised would be converted into one Equity Share of ₹ 2 each fully paid-up. | For | Against |
| 6-Aug-2013 | Shalimar Paints Ltd. | AGM | To extend the benefits of one or more Employee Stock Option Schemes of the Company as proposed in the Resolution No. 7 in this Notice to such person(s) who are in permanent employment of the following subsidiaries, whether working in India or out of India, including any Director, whether whole-time or otherwise (Shalimar Adhunik Nirman Limited, Eastern Speciality Paints & Coatings Private Limited), on such terms and conditions as may be fixed or determined by the Board in accordance with the provisions of the law or guidelines issued by the relevant Authorities. | For | For |
| 6-Aug-2013 | Shalimar Paints Ltd. | AGM | To appoint Mr. Sameer Nagpal as Managing Director and Chief Executive Officer of the company | For | For |
| 7-Aug-2013 | Aurobindo Pharma Ltd. | AGM | Adoption of Accounts | For | For |
| 7-Aug-2013 | Aurobindo Pharma Ltd. | AGM | Declaration of Dividend | For | For |
| 7-Aug-2013 | Aurobindo Pharma Ltd. | AGM | Re-appointment of Mr. P. Sarath Chandra Reddy as Director | For | For |
| 7-Aug-2013 | Aurobindo Pharma Ltd. | AGM | Re-appointment of Mr. K. Raghunathan as Director | For | For |
| 7-Aug-2013 | Aurobindo Pharma Ltd. | AGM | Re-appointment of Dr. M. Sivakumaran as Director | For | For |
| 7-Aug-2013 | Aurobindo Pharma Ltd. | AGM | Appointment of M/s S. R. Batliboi & Associates LLP, Chartered Accountants as Auditors | For | For |
| 7-Aug-2013 | Emami Ltd. | AGM | Adoption of Accounts | For | For |
| 7-Aug-2013 | Emami Ltd. | AGM | Declaration of Dividend | For | For |
| 7-Aug-2013 | Emami Ltd. | AGM | Re-appointment of Mr. Amit Kiran Deb as Director | For | For |
| 7-Aug-2013 | Emami Ltd. | AGM | Re-appointment of Mr. Y. P. Trivedi as Director | For | For |
| 7-Aug-2013 | Emami Ltd. | AGM | Re-appointment of Mrs. Priti V. Sireka as Director | For | For |
| 7-Aug-2013 | Emami Ltd. | AGM | Re-appointment of Mr. H. V. Agarwal as Director | For | For |
| 7-Aug-2013 | Emami Ltd. | AGM | Appointment of Auditors | For | For |
| 7-Aug-2013 | Emami Ltd. | AGM | Appointment of Mr. Pradipt K. R. Khaithan as Director | For | For |
| 7-Aug-2013 | Lupin Ltd. | AGM | Adoption of Accounts | For | For |
| 7-Aug-2013 | Lupin Ltd. | AGM | Declaration of Dividend | For | For |
| 7-Aug-2013 | Lupin Ltd. | AGM | Re-appointment of Dr. Kamal K. Sharma as a Director | For | For |
| 7-Aug-2013 | Lupin Ltd. | AGM | Re-appointment of Ms. Vinita Gupta as a Director | For | For |
| 7-Aug-2013 | Lupin Ltd. | AGM | Re-appointment of Mr. Nilesh Gupta as a Director | For | For |
| 7-Aug-2013 | Lupin Ltd. | AGM | Re-appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | For |
| 7-Aug-2013 | Lupin Ltd. | AGM | Appointment of Mr. Dileep C. Choksi as a Director | For | For |
| 7-Aug-2013 | Lupin Ltd. | AGM | Investment by FIIs upto 49% of the paid up share capital | For | For |
| 8-Aug-2013 | Adani Ports and Special Economic Zone Ltd. | AGM | Adoption of Accounts | For | For |
| 8-Aug-2013 | Adani Ports and Special Economic Zone Ltd. | AGM | Declaration of Dividend on Preference Shares | For | For |
| 8-Aug-2013 | Adani Ports and Special Economic Zone Ltd. | AGM | Declaration of Dividend on equity shares | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--|--|---|---------------------------|--------------------------------|
| 8-Aug-2013 | Adani Ports and Special Economic Zone Ltd. | AGM | Re-appointment of Mr. Rajeeva Sinha as Director | For | For |
| 8-Aug-2013 | Adani Ports and Special Economic Zone Ltd. | AGM | Re-appointment of Mr. D. T. Joseph as Director | For | For |
| 8-Aug-2013 | Adani Ports and Special Economic Zone Ltd. | AGM | Appointment of M/s S. R. Batliboi & Associates LLP, Chartered Accountants as Auditors | For | For |
| 8-Aug-2013 | Adani Ports and Special Economic Zone Ltd. | AGM | Appointment of Mr. G. K. Pillai as Director | For | For |
| 8-Aug-2013 | Adani Ports and Special Economic Zone Ltd. | AGM | Appointment of Mr. Sanjay Lalbhai as Director | For | For |
| 8-Aug-2013 | Adani Enterprises Ltd. | AGM | Adoption of Accounts | For | For |
| 8-Aug-2013 | Adani Enterprises Ltd. | AGM | Declaration of Dividend | For | For |
| 8-Aug-2013 | Adani Enterprises Ltd. | AGM | Re-appointment of Mr. Vasant S. Adani as Director | For | For |
| 8-Aug-2013 | Adani Enterprises Ltd. | AGM | Re-appointment of Mr. Anil Ahuja as Director | For | For |
| 8-Aug-2013 | Adani Enterprises Ltd. | AGM | Appointment of M/s Dharmesh Parikh and Co, Chartered Accountants as Auditors | For | For |
| 8-Aug-2013 | Adani Enterprises Ltd. | AGM | Appointment of Dr. Ravindra Dholakia as Director | For | For |
| 8-Aug-2013 | Adani Enterprises Ltd. | AGM | Appointment of Mr. Berjis Desai as Director | For | For |
| 8-Aug-2013 | Adani Enterprises Ltd. | AGM | Re-appointment of Mr. Gautam S. Adani as Executive Chairman of the Company for a period of five years with effect from 1.12.2013 | For | For |
| 8-Aug-2013 | Adani Power Ltd. | AGM | Adoption of Accounts | For | For |
| 8-Aug-2013 | Adani Power Ltd. | AGM | Re-appointment of Mr. Vijay Ranchor as Director | For | For |
| 8-Aug-2013 | Adani Power Ltd. | AGM | Re-appointment of Mr. B. B. Tandon as Director | For | For |
| 8-Aug-2013 | Adani Power Ltd. | AGM | Appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | For |
| 8-Aug-2013 | Adani Power Ltd. | AGM | Appointment of Mr. C. P. Jain as Director | For | For |
| 8-Aug-2013 | Adani Power Ltd. | AGM | Re-appointment of Mr. Rajesh S. Adani as Managing Director for 5 years effective 01.04.2013 | For | For |
| 8-Aug-2013 | IL&FS Transportation Networks Ltd. | AGM | Adoption of Accounts | For | For |
| 8-Aug-2013 | IL&FS Transportation Networks Ltd. | AGM | Declaration of Dividend | For | For |
| 8-Aug-2013 | IL&FS Transportation Networks Ltd. | AGM | Re-appointment of Mr. Arun K. Saha as a Director | For | For |
| 8-Aug-2013 | IL&FS Transportation Networks Ltd. | AGM | Re-appointment of Mr. R. C. Sinha as a Director | For | For |
| 8-Aug-2013 | IL&FS Transportation Networks Ltd. | AGM | Re-appointment of Mr. H. P. Jamdar as a Director | For | For |
| 8-Aug-2013 | IL&FS Transportation Networks Ltd. | AGM | Appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | For |
| 8-Aug-2013 | IL&FS Transportation Networks Ltd. | AGM | Re-appointment of Mr. K. Ramchand as Managing Director for 5 years with effect from 1.4.2013 | For | For |
| 8-Aug-2013 | IL&FS Transportation Networks Ltd. | AGM | Re-appointment of Mr. Mukund Sapre as Wholtime Director for 5 years with effect from 1.4.2013 | For | For |
| 8-Aug-2013 | IL&FS Transportation Networks Ltd. | AGM | Increase in Authorised share Capital and amendment to Clause V of MOA | For | For |
| 8-Aug-2013 | Polaris Financial Technology Ltd. | AGM | Adoption of accounts | For | For |
| 8-Aug-2013 | Polaris Financial Technology Ltd. | AGM | Declaration of dividend | For | For |
| 8-Aug-2013 | Polaris Financial Technology Ltd. | AGM | Appointment of Mr. Arvind Kumar as director | For | For |
| 8-Aug-2013 | Polaris Financial Technology Ltd. | AGM | Appointment of Mr. Satya Pal as director | For | For |
| 8-Aug-2013 | Polaris Financial Technology Ltd. | AGM | Appointment of M/s S. R. Batliboi & Associates, LLP Chartered accountants as auditors | For | For |
| 8-Aug-2013 | Polaris Financial Technology Ltd. | AGM | Appointment of Mr. Rajesh Mehta as director | For | For |
| 8-Aug-2013 | Polaris Financial Technology Ltd. | AGM | Appointment of Mr. V. Balaram as director | For | For |
| 8-Aug-2013 | Polaris Financial Technology Ltd. | AGM | Payment of commission to non executive directors | For | For |
| 8-Aug-2013 | Polaris Financial Technology Ltd. | AGM | Appointment of Mr. Vinay Garg, relative of the director to hold a place of profit as financial Controller of subsidiary company, Polaris Software Lab Inc for 3 years | For | For |
| 8-Aug-2013 | Polaris Financial Technology Ltd. | AGM | Appointment of Ms. Ruchira Gupta, relative of the director to hold a place of profit as assistant Manager - MIS | For | For |
| 10-Aug-2013 | Godrej Industries Ltd. | AGM | Adoption of Accounts | For | For |
| 10-Aug-2013 | Godrej Industries Ltd. | AGM | Declaration of Dividend | For | For |
| 10-Aug-2013 | Godrej Industries Ltd. | AGM | Re-appointment of Mr. K. K. Dastur as Director | For | For |
| 10-Aug-2013 | Godrej Industries Ltd. | AGM | Re-appointment of Mr. A. B. Godrej as Director | For | For |
| 10-Aug-2013 | Godrej Industries Ltd. | AGM | Re-appointment of Mr. A. B. Chaudhury as Director | For | For |
| 10-Aug-2013 | Godrej Industries Ltd. | AGM | Re-appointment of Mr. V. M. Crishna as Director | For | For |
| 10-Aug-2013 | Godrej Industries Ltd. | AGM | Appointment of M/s Kalyaniwalla & Mistry, Chartered Accountants as Auditors | For | For |
| 10-Aug-2013 | Godrej Industries Ltd. | AGM | Appointment of Mr. K. M. Elavia as Director | For | For |
| 10-Aug-2013 | Godrej Industries Ltd. | AGM | Appointment of Mr. N. S. Nabar as Director | For | For |
| 10-Aug-2013 | Godrej Industries Ltd. | AGM | Appointment of Mr. N. S. Nabar as Wholtime Director designated as ED & President (Chemicals) effective from 01.05.2013 to 31.03.2016 | For | For |
| 10-Aug-2013 | Godrej Industries Ltd. | AGM | Re-appointment of Mr. N. B. Godrej as MD effective from 01.04.2014 to 31.03.2017 | For | For |
| 10-Aug-2013 | Godrej Industries Ltd. | AGM | Modification of Godrej Industries Ltd. Employee Stock Option Plan I | For | For |
| 10-Aug-2013 | Godrej Industries Ltd. | AGM | Modification of Godrej Industries Ltd. Employee Stock Option Plan II | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|---|--|---|---------------------------|--------------------------------|
| 12-Aug-2013 | Britannia Industries Ltd. | AGM | Adoption of Accounts | For | For |
| 12-Aug-2013 | Britannia Industries Ltd. | AGM | Declaration of Dividend | For | For |
| 12-Aug-2013 | Britannia Industries Ltd. | AGM | Re-appointment of Mr. Nasser Munjee as Director | For | For |
| 12-Aug-2013 | Britannia Industries Ltd. | AGM | Re-appointment of Mr. Ness N. Wadia as Director | For | For |
| 12-Aug-2013 | Britannia Industries Ltd. | AGM | Re-appointment of Dr. Vijay L. Kelkar as Director | For | For |
| 12-Aug-2013 | Britannia Industries Ltd. | AGM | Re-appointment of Mr. Nusli N. Wadia as Director | For | For |
| 12-Aug-2013 | Britannia Industries Ltd. | AGM | Appointment of M/s BSR & Co, Chartered Accountants as Auditors | For | For |
| 12-Aug-2013 | Jyothy Laboratories Ltd. | AGM | Adoption of Accounts | For | For |
| 12-Aug-2013 | Jyothy Laboratories Ltd. | AGM | Declaration of Dividend | For | For |
| 12-Aug-2013 | Jyothy Laboratories Ltd. | AGM | Re-appointment of Mr. K. P. Padmakumar as a Director | For | For |
| 12-Aug-2013 | Jyothy Laboratories Ltd. | AGM | Re-appointment of Mr. Bipin R. Shah as a Director | For | For |
| 12-Aug-2013 | Jyothy Laboratories Ltd. | AGM | Re-appointment of M/s S. R. Batliboi & Associates LLP, Chartered Accountants as Auditors | For | For |
| 12-Aug-2013 | Jyothy Laboratories Ltd. | AGM | Ratification of payment of remuneration to Mr. M. P. Ramachandran, MD | For | For |
| 12-Aug-2013 | Jyothy Laboratories Ltd. | AGM | Ratification of payment of remuneration to Mr. K. Ullas Kamath, Joint MD | For | For |
| 12-Aug-2013 | Jyothy Laboratories Ltd. | AGM | Ratification of payment of remuneration to Ms. M. R. Jyothy, Wholetime Director | For | For |
| 12-Aug-2013 | Jyothy Laboratories Ltd. | AGM | Ratification of payment of remuneration to Mr. S. Raghunandan, Wholetime Director | For | For |
| 12-Aug-2013 | Larsen & Toubro Ltd. | CRT | To approve the proposed scheme of arrangement between Larsen & Toubro Limited and L&T Hydrocarbon Engineering Limited | For | For |
| 14-Aug-2013 | Oracle Financial Services Software Ltd. | AGM | Adoption of Accounts | For | For |
| 14-Aug-2013 | Oracle Financial Services Software Ltd. | AGM | Re-appointment of Mr. Derek H. Williams as Director | For | For |
| 14-Aug-2013 | Oracle Financial Services Software Ltd. | AGM | Re-appointment of Mr. Chaitanya Kamat as Director | For | For |
| 14-Aug-2013 | Oracle Financial Services Software Ltd. | AGM | Appointment of Auditors | For | For |
| 14-Aug-2013 | Oracle Financial Services Software Ltd. | AGM | Appointment of Branch Auditors | For | For |
| 14-Aug-2013 | Oracle Financial Services Software Ltd. | AGM | Appointment of Mr. Richard Jackson as Director | For | For |
| 14-Aug-2013 | Oracle Financial Services Software Ltd. | AGM | Appointment of Ms. Samantha Wellington as Director | For | For |
| 14-Aug-2013 | Oracle Financial Services Software Ltd. | AGM | Appointment of Mr. Harinder Singh as Director | For | For |
| 14-Aug-2013 | Oracle Financial Services Software Ltd. | AGM | Re-appointment of Mr. Chaitanya Kamat as MD & CEO for 3 years effective 25.10.2013 | For | For |
| 14-Aug-2013 | Tata Steel Ltd. | AGM | Adoption of Accounts | For | For |
| 14-Aug-2013 | Tata Steel Ltd. | AGM | Declaration of Dividend | For | For |
| 14-Aug-2013 | Tata Steel Ltd. | AGM | Re-appointment of Mr. Nusli N Wadia as Director | For | For |
| 14-Aug-2013 | Tata Steel Ltd. | AGM | Re-appointment of Mr. Subodh Bhargava as Director | For | For |
| 14-Aug-2013 | Tata Steel Ltd. | AGM | Re-appointment of Mr. Jacobus Schraven as Director | For | For |
| 14-Aug-2013 | Tata Steel Ltd. | AGM | Re-appointment of Dr. Karl-Ulrich Koehler as Director | For | For |
| 14-Aug-2013 | Tata Steel Ltd. | AGM | Appointment of Auditors | For | For |
| 14-Aug-2013 | Tata Steel Ltd. | AGM | Appointment of Mr. D K. Mehrotra as Director | For | For |
| 14-Aug-2013 | Tata Steel Ltd. | AGM | Appointment of Mr. Koushik Chatterjee as Director | For | For |
| 14-Aug-2013 | Tata Steel Ltd. | AGM | Appointment of Mr. O. P. Bhatt as Director | For | For |
| 14-Aug-2013 | Tata Steel Ltd. | AGM | Appointment of Mr. Koushik Chatterjee as Executive Director & Group CFO for 5 years effective 09.11.2012 | For | For |
| 16-Aug-2013 | Tata Power Company Ltd. | AGM | Adoption of Accounts | For | For |
| 16-Aug-2013 | Tata Power Company Ltd. | AGM | Declaration of Dividend | For | For |
| 16-Aug-2013 | Tata Power Company Ltd. | AGM | Re-appointment of Mr. R. Gopalakrishnan as Director | For | For |
| 16-Aug-2013 | Tata Power Company Ltd. | AGM | Re-appointment of Mr. N. H. Mirza as Director | For | For |
| 16-Aug-2013 | Tata Power Company Ltd. | AGM | Re-appointment of Mr. Thomas Mathew T as Director | For | For |
| 16-Aug-2013 | Tata Power Company Ltd. | AGM | Appointment of Auditors | For | For |
| 16-Aug-2013 | Tata Power Company Ltd. | AGM | Appointment of Mr. Vishakha Mulye as Director | For | For |
| 16-Aug-2013 | Tata Power Company Ltd. | AGM | Re-appointment of Mr. S. Padmanabhan as Executive Director for 5 years effective 06.02.2013 | For | For |
| 16-Aug-2013 | Tata Power Company Ltd. | AGM | Commission to Non-Executive Directors | For | For |
| 16-Aug-2013 | Tata Power Company Ltd. | AGM | Appointment of Branch Auditors | For | For |
| 17-Aug-2013 | Grasim Industries Ltd. | AGM | Adoption of accounts | For | For |
| 17-Aug-2013 | Grasim Industries Ltd. | AGM | Declaration of dividend | For | For |
| 17-Aug-2013 | Grasim Industries Ltd. | AGM | Appointment of Mr. Shailendra K. Jain as director | For | For |
| 17-Aug-2013 | Grasim Industries Ltd. | AGM | Appointment of Dr. Thomas M. Connelly as director | For | For |
| 17-Aug-2013 | Grasim Industries Ltd. | AGM | Appointment of Mr. M. L. Apte as director | For | For |
| 17-Aug-2013 | Grasim Industries Ltd. | AGM | Appointment of Mr. R. C. Bhargava as director | For | For |
| 17-Aug-2013 | Grasim Industries Ltd. | AGM | Appointment of auditors | For | For |
| 17-Aug-2013 | Grasim Industries Ltd. | AGM | Appointment of M/s Vidyarthi & Sons, Chartered accountants as branch auditors | For | For |
| 17-Aug-2013 | Grasim Industries Ltd. | AGM | To grant Grasim Industries Limited Employee Stock Option Scheme - 2013 to the employees of the company | For | For |
| 17-Aug-2013 | Grasim Industries Ltd. | AGM | To grant Grasim Industries Limited Employee Stock Option Scheme - 2013 to the employees of the subsidiary of the company | For | For |
| 19-Aug-2013 | Hathway Cable & Datacom Ltd. | EGM | To create, offer, issue and allot, for cash, 38,70,000 fully paid up equity shares to P6 Asia Holding Investments IV (Mauritius) Limited at a price of ₹ 284, including premium of ₹ 274 aggregating upto ₹ 109,90,80,000 on preferential allotment basis | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|-----------------------------------|--|--|---------------------------|--------------------------------|
| 19-Aug-2013 | Hathway Cable & Datacom Ltd. | EGM | To create, offer, issue and allot, for cash, 14,05,000 fully paid up equity shares to Hathway Investments Pvt Ltd. at a price of ₹ 284, including premium of ₹ 274 aggregating upto ₹ 39,90,20,000 on preferential allotment basis | For | For |
| 19-Aug-2013 | PTC India Financial Services Ltd. | AGM | Adoption of Accounts | For | For |
| 19-Aug-2013 | PTC India Financial Services Ltd. | AGM | Declaration of dividend @ 16% | For | For |
| 19-Aug-2013 | PTC India Financial Services Ltd. | AGM | Re-appointment of Mr. I. J. Kapoor as a Director | For | For |
| 19-Aug-2013 | PTC India Financial Services Ltd. | AGM | Re-appointment of Mr. Hemant Bhargava as a Director | For | For |
| 19-Aug-2013 | PTC India Financial Services Ltd. | AGM | Re-appointment of Mr. Ved Jain as a Director | For | For |
| 19-Aug-2013 | PTC India Financial Services Ltd. | AGM | Re-appointment of Mr. Deepak Chatterjee as a Director | For | For |
| 19-Aug-2013 | PTC India Financial Services Ltd. | AGM | Appointment of M/s K. G. Somani & Co, Chartered Accountants as Auditors | For | For |
| 19-Aug-2013 | PTC India Financial Services Ltd. | AGM | Appointment of Mr. M. S. Babu as a Director | For | For |
| 19-Aug-2013 | PTC India Financial Services Ltd. | AGM | Appointment of Mr. S. N. Goel as Wholetime Director for 5 years with effect from 27.9.2013 | For | For |
| 19-Aug-2013 | PTC India Financial Services Ltd. | AGM | Appointment of Mr. Deepak Amitabh as Chairman and Managing Director for 5 years with effect from 16.10.2012 | For | For |
| 19-Aug-2013 | PTC India Financial Services Ltd. | AGM | Appointment of Mr. Pallav Kapoor as Employee holding office or place of profit | For | For |
| 19-Aug-2013 | Voltas Ltd. | AGM | Adoption of Accounts | For | For |
| 19-Aug-2013 | Voltas Ltd. | AGM | Declaration of Dividend | For | For |
| 19-Aug-2013 | Voltas Ltd. | AGM | Re-appointment of Mr. Nasser Munjee as Director | For | For |
| 19-Aug-2013 | Voltas Ltd. | AGM | Re-appointment of Mr. Nani Javeri as Director | For | For |
| 19-Aug-2013 | Voltas Ltd. | AGM | Re-appointment of Mr. N. N. Tata as Director | For | For |
| 19-Aug-2013 | Voltas Ltd. | AGM | Appointment of Mr. Thomas Mathew T as Director | For | For |
| 19-Aug-2013 | Voltas Ltd. | AGM | Re-appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | For |
| 21-Aug-2013 | KEC International Ltd. | AGM | Adoption of accounts | For | For |
| 21-Aug-2013 | KEC International Ltd. | AGM | Declaration of dividend | For | For |
| 21-Aug-2013 | KEC International Ltd. | AGM | Appointment of Mr. S. M. Kulkarni as director | For | For |
| 21-Aug-2013 | KEC International Ltd. | AGM | Retirement of Mr. J. M. Kothary as director | For | For |
| 21-Aug-2013 | KEC International Ltd. | AGM | Retirement of Mr. P. A. Makwana as director | For | For |
| 21-Aug-2013 | KEC International Ltd. | AGM | Appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as auditors | For | For |
| 21-Aug-2013 | KEC International Ltd. | AGM | Appointment of branch auditors | For | For |
| 21-Aug-2013 | KEC International Ltd. | AGM | Appointment of Mr. S. M. Trehan as director | For | For |
| 21-Aug-2013 | KEC International Ltd. | AGM | To borrow upto ₹ 16,000 crore | For | For |
| 21-Aug-2013 | KEC International Ltd. | AGM | Revision of remuneration to Mr. R. D. Chandak, MD | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Adoption of accounts | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Declaration of dividend | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Appointment of Mr. Nusli Wadia as director | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Appointment of Dr. Raghunath A. Mashelkar as director | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Appointment of auditors | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Appointment of Ms. Falguni S. Nayar as director | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Appointment of Mr. Karl J. Slym as director | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Appointment of Mr. Karl J. Slym as MD for 5 years | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Payment of commission to non wholetime directors | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | To increase the limit of FII holding for ordinary shares | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Adoption of Accounts | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Declaration of dividend | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Re-appointment of Mr. Nusli Wadia as a Director | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Re-appointment of Dr. Raghunath A. Mashekar as a Director | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Appointment of Auditors | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Appointment of Ms. Falguni S. Nayar as a Director | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Appointment of Mr. Karl J. Slym as a Director | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Appointment of Mr. Karl J. Slym as Managing Director for 5 years with effect from 13.9.2012 | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Payment of Commission to Non Wholetime Directors | For | For |
| 21-Aug-2013 | Tata Motors Ltd. | AGM | Increase in the limit for holding by registered FIIs for 'A' Ordinary shares | For | For |
| 22-Aug-2013 | Kewal Kiran Clothing Ltd. | AGM | Adoption of accounts | For | For |
| 22-Aug-2013 | Kewal Kiran Clothing Ltd. | AGM | Declaration of dividend | For | For |
| 22-Aug-2013 | Kewal Kiran Clothing Ltd. | AGM | Appointment of Mr. Hemant P. Jain as director | For | For |
| 22-Aug-2013 | Kewal Kiran Clothing Ltd. | AGM | Appointment of Mr. Vikas P. Jain as director | For | For |
| 22-Aug-2013 | Kewal Kiran Clothing Ltd. | AGM | Appointment of Dr. Prakash A. Mody as director | For | For |
| 22-Aug-2013 | Kewal Kiran Clothing Ltd. | AGM | Appointment of M/s Jain & Trivedi, Chartered accountants as auditors | For | For |
| 22-Aug-2013 | Kewal Kiran Clothing Ltd. | AGM | Appointment of M/s N. A. Shah Associates, Chartered accountants as joint statutory auditors | For | For |
| 22-Aug-2013 | Kewal Kiran Clothing Ltd. | AGM | Appointment of Mr. Yogesh Thar as director | For | For |
| 22-Aug-2013 | Kewal Kiran Clothing Ltd. | AGM | Revision of remuneration payable to Mr. Pankaj Jain, relative of the director and is holding a place of profit as President - Retail | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|------------------------------|--|---|---------------------------|--------------------------------|
| 22-Aug-2013 | Kewal Kiran Clothing Ltd. | AGM | Revision of remuneration payable to Mr. Hitendra Jain, relative of the director and is holding a place of profit as Manager | For | For |
| 22-Aug-2013 | Larsen & Toubro Ltd. | AGM | Adoption of Accounts | For | For |
| 22-Aug-2013 | Larsen & Toubro Ltd. | AGM | Declaration of Dividend | For | For |
| 22-Aug-2013 | Larsen & Toubro Ltd. | AGM | Retirement of Mrs. Bhagyam Ramani from Directorship | For | For |
| 22-Aug-2013 | Larsen & Toubro Ltd. | AGM | Re-appointment of Mr. Subodh Bhargava as Director | For | For |
| 22-Aug-2013 | Larsen & Toubro Ltd. | AGM | Appointment of Mr. Shailendra Roy as Director | For | For |
| 22-Aug-2013 | Larsen & Toubro Ltd. | AGM | Appointment of Mr. R. Shankar Raman as Director | For | For |
| 22-Aug-2013 | Larsen & Toubro Ltd. | AGM | Re-appointment of Mr. M. M. Chitale as Director | For | For |
| 22-Aug-2013 | Larsen & Toubro Ltd. | AGM | Appointment of Mr. M. Damodaran as Director | For | For |
| 22-Aug-2013 | Larsen & Toubro Ltd. | AGM | Appointment of Mr. Vikram Singh Mehta as Director | For | For |
| 22-Aug-2013 | Larsen & Toubro Ltd. | AGM | To issue of securities not exceeding US\$ 600 million or ₹ 3,200 crore | For | For |
| 22-Aug-2013 | Larsen & Toubro Ltd. | AGM | Fixing Commissions on the operating net profits after tax for Executive Chairman, CEO & MD, Dep. MD and Wholetime Directors | For | For |
| 22-Aug-2013 | Larsen & Toubro Ltd. | AGM | Re-appointment of M/s Sharp & Tannan, Chartered Accountants as Auditors | For | For |
| 22-Aug-2013 | Tata Communications Ltd. | CRT | To approve the Scheme of Arrangement between Tata Communications Ltd. with S&A Internet Services Private Limited | For | For |
| 23-Aug-2013 | Dish TV India Ltd. | AGM | Adoption of accounts | For | For |
| 23-Aug-2013 | Dish TV India Ltd. | AGM | Appointment of Mr. Subhash Chandra as director | For | For |
| 23-Aug-2013 | Dish TV India Ltd. | AGM | Appointment of Mr. Eric Louis Zinterhofer as director | For | For |
| 23-Aug-2013 | Dish TV India Ltd. | AGM | Appointment of M/s BSR & CO, Chartered accountants as auditors | For | For |
| 23-Aug-2013 | Engineers India Ltd. | AGM | Adoption of accounts | For | For |
| 23-Aug-2013 | Engineers India Ltd. | AGM | Declaration of dividend | For | For |
| 23-Aug-2013 | Engineers India Ltd. | AGM | Appointment of Mr. D. Moudgil as director | For | For |
| 23-Aug-2013 | Engineers India Ltd. | AGM | Appointment of Mr. Sanjay Gupta as director | For | For |
| 23-Aug-2013 | Engineers India Ltd. | AGM | Appointment of Dr. J. P. Gupta as director | For | For |
| 23-Aug-2013 | Engineers India Ltd. | AGM | Appointment of Mr. Binoy Chatterjee as director | For | For |
| 23-Aug-2013 | Engineers India Ltd. | AGM | Appointment of auditors by CAG of India | For | For |
| 23-Aug-2013 | Engineers India Ltd. | AGM | Appointment of Dr. Archana S. Mathur as director | For | For |
| 23-Aug-2013 | Engineers India Ltd. | AGM | Appointment of Ms. Veena Swarup as director | For | For |
| 23-Aug-2013 | Engineers India Ltd. | AGM | Appointment of Mr. Ajay N. Deshpande as director | For | For |
| 23-Aug-2013 | Engineers India Ltd. | AGM | Appointment of Mr. R. N. Choubey as director | For | For |
| 23-Aug-2013 | Gujarat Fluorochemicals Ltd. | AGM | Adoption of accounts | For | For |
| 23-Aug-2013 | Gujarat Fluorochemicals Ltd. | AGM | Declaration of dividend | For | For |
| 23-Aug-2013 | Gujarat Fluorochemicals Ltd. | AGM | Appointment of Mr. Pavan Kumar Jain as director | For | For |
| 23-Aug-2013 | Gujarat Fluorochemicals Ltd. | AGM | Appointment of Mr. Om Prakash Lohia as director | For | For |
| 23-Aug-2013 | Gujarat Fluorochemicals Ltd. | AGM | Appointment of auditors | For | For |
| 23-Aug-2013 | Gujarat Fluorochemicals Ltd. | AGM | Re-appointment of Mr. Dinesh Kumar Sachdeva as wholetime director for 1 year | For | For |
| 23-Aug-2013 | Gujarat Fluorochemicals Ltd. | AGM | Re-appointment of Mr. Jitendra Singh Bedi as wholetime director for 1 year | For | For |
| 27-Aug-2013 | Jubilant Life Sciences Ltd. | AGM | Adoption of Accounts | For | For |
| 27-Aug-2013 | Jubilant Life Sciences Ltd. | AGM | Declaration of Dividend | For | For |
| 27-Aug-2013 | Jubilant Life Sciences Ltd. | AGM | Re-appointment of Mr. Shyam S. Bhartia as Director | For | For |
| 27-Aug-2013 | Jubilant Life Sciences Ltd. | AGM | Re-appointment of Mr. Shardul S. Shroff as Director | For | For |
| 27-Aug-2013 | Jubilant Life Sciences Ltd. | AGM | Appointment of M/s BSR & Co, Chartered Accountants as Auditors | For | For |
| 27-Aug-2013 | Jubilant Life Sciences Ltd. | AGM | Appointment of Mr. Shyamsunder Bang as ED for 5 years effective 01.11.2013 | For | For |
| 27-Aug-2013 | Jubilant Life Sciences Ltd. | AGM | Modification of JLL Employees Stock Option Plan 2005 & JLL Employees Stock Option Plan 2011 | For | For |
| 27-Aug-2013 | Jubilant Life Sciences Ltd. | AGM | Appointment of Mr. S Sridhar as Director | For | For |
| 27-Aug-2013 | Maruti Suzuki India Ltd. | AGM | Adoption of accounts | For | For |
| 27-Aug-2013 | Maruti Suzuki India Ltd. | AGM | Declaration of dividend | For | For |
| 27-Aug-2013 | Maruti Suzuki India Ltd. | AGM | Appointment of Mr. D. S. Brar as director | For | For |
| 27-Aug-2013 | Maruti Suzuki India Ltd. | AGM | Appointment of Mr. Amal Ganugli as director | For | For |
| 27-Aug-2013 | Maruti Suzuki India Ltd. | AGM | Appointment of Mr. Keiichi Asai as director | For | For |
| 27-Aug-2013 | Maruti Suzuki India Ltd. | AGM | Appointment of M/s Price Waterhouse, Chartered accountants as auditors | For | For |
| 27-Aug-2013 | Maruti Suzuki India Ltd. | AGM | Appointment of Mr. Menichi Ayukawa as MD & CEO | For | For |
| 27-Aug-2013 | Maruti Suzuki India Ltd. | AGM | Appointment of Mr. Toshiaki Hasuike as Joint MD | For | For |
| 27-Aug-2013 | Maruti Suzuki India Ltd. | AGM | Appointment of Mr. R. P. Singh as director | For | For |
| 27-Aug-2013 | Maruti Suzuki India Ltd. | AGM | Payment towards stay and other expenses to Mr. Shinzo Nakanishi, Director | For | For |
| 27-Aug-2013 | Reliance Infrastructure Ltd. | AGM | Adoption of accounts | For | For |
| 27-Aug-2013 | Reliance Infrastructure Ltd. | AGM | Declaration of dividend | For | For |
| 27-Aug-2013 | Reliance Infrastructure Ltd. | AGM | Appointment of Mr. R. R. Rai as director | For | For |
| 27-Aug-2013 | Reliance Infrastructure Ltd. | AGM | Appointment of Mr. K. Ravikumar as director | For | For |
| 27-Aug-2013 | Reliance Infrastructure Ltd. | AGM | Appointment of M/s Haribhakti & Co, chartered accountants as auditors | For | For |
| 27-Aug-2013 | Reliance Infrastructure Ltd. | AGM | To issue securities to QIB | For | For |
| 27-Aug-2013 | Reliance Power Ltd. | AGM | Adoption of accounts | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--------------------------------------|--|---|---------------------------|--------------------------------|
| 27-Aug-2013 | Reliance Power Ltd. | AGM | Appointment of Mr. J. L. Bajaj as director | For | For |
| 27-Aug-2013 | Reliance Power Ltd. | AGM | Appointment of M/s Chaturvedi & Shah, Chartered accountants as auditors | For | For |
| 27-Aug-2013 | Reliance Power Ltd. | AGM | To issue securities to QIB | For | For |
| 29-Aug-2013 | Bayer Cropscience Ltd. | AGM | Adoption of Accounts | For | For |
| 29-Aug-2013 | Bayer Cropscience Ltd. | AGM | Declaration of Dividend | For | For |
| 29-Aug-2013 | Bayer Cropscience Ltd. | AGM | Re-appointment of Dr. Vijay Mallya as Director | For | For |
| 29-Aug-2013 | Bayer Cropscience Ltd. | AGM | Re-appointment of Mr. A. K. R. Nedungadi as Director | For | For |
| 29-Aug-2013 | Bayer Cropscience Ltd. | AGM | Appointment of Auditors | For | For |
| 29-Aug-2013 | Bayer Cropscience Ltd. | AGM | Appointment of Dr. Thomas Hoffmann as Wholetime Director for 5 years effective 02.04.2013 | For | For |
| 30-Aug-2013 | APL Apollo Tubes Ltd. | AGM | Adoption of Accounts | For | For |
| 30-Aug-2013 | APL Apollo Tubes Ltd. | AGM | Declaration of Dividend | For | For |
| 30-Aug-2013 | APL Apollo Tubes Ltd. | AGM | Re-appointment of Mr. Sameer Gupta as a Director | For | For |
| 30-Aug-2013 | APL Apollo Tubes Ltd. | AGM | Re-appointment of Mr. Aniq Husain as a Director | For | For |
| 30-Aug-2013 | APL Apollo Tubes Ltd. | AGM | Appointment of M/s VAPS & Co, Chartered Accountants as Auditors | For | For |
| 30-Aug-2013 | APL Apollo Tubes Ltd. | AGM | Appointment of Mr. Rakesh Jinsi as a Director | For | For |
| 30-Aug-2013 | APL Apollo Tubes Ltd. | AGM | Amendment of Object Clause of MOA | For | For |
| 30-Aug-2013 | APL Apollo Tubes Ltd. | AGM | Revision of remuneration payable to Mr. Sanjay Gupta, chairman | For | For |
| 30-Aug-2013 | APL Apollo Tubes Ltd. | AGM | Revision of remuneration payable to Mr. Ashok K. Gupta, Managing Director | For | For |
| 30-Aug-2013 | City Union Bank Ltd. | AGM | Adoption of Accounts | For | For |
| 30-Aug-2013 | City Union Bank Ltd. | AGM | Re-appointment of Prof. V. Kamakoti as a Director | For | For |
| 30-Aug-2013 | City Union Bank Ltd. | AGM | Re-appointment of Justice S. R. Singharavelu as a Director | For | For |
| 30-Aug-2013 | City Union Bank Ltd. | AGM | Re-appointment of Mr. C. R. Muralidharan as a Director | For | For |
| 30-Aug-2013 | City Union Bank Ltd. | AGM | Re-appointment of M/s P. Chandrasekar, Chartered Accountants as Auditors | For | For |
| 30-Aug-2013 | City Union Bank Ltd. | AGM | Appointment of Branch Auditors | For | For |
| 30-Aug-2013 | City Union Bank Ltd. | AGM | Appointment of Mr. S. Mahalingam as a Director | For | For |
| 30-Aug-2013 | City Union Bank Ltd. | AGM | Amendment of AoA | For | For |
| 30-Aug-2013 | City Union Bank Ltd. | AGM | To issue Securities by way of QIP to QIB | For | For |
| 30-Aug-2013 | Siti Cable Network Ltd. | AGM | Adoption of Accounts | For | For |
| 30-Aug-2013 | Siti Cable Network Ltd. | AGM | Re-appointment of Mr. Subhash Chandra as Director | For | For |
| 30-Aug-2013 | Siti Cable Network Ltd. | AGM | Appointment of M/s Walker Chandio & Co, Chartered Accountants as Auditors | For | For |
| 30-Aug-2013 | Siti Cable Network Ltd. | AGM | Appointment of Mr. Subodh Kumar, IAS (Retd.) as Director | For | For |
| 30-Aug-2013 | Siti Cable Network Ltd. | AGM | Appointment of Mr. V. D. Wadhwa as Director | For | For |
| 30-Aug-2013 | Siti Cable Network Ltd. | AGM | Appointment of Mr. V. D. Wadhwa as the Whole Time Director of the Company, subject to the superintendence, control and direction of the Board of Directors from time to time, for a period of three years with effect from 1.6.2013 | For | For |
| 31-Aug-2013 | Motherson Sumi Systems Ltd. | AGM | Adoption of accounts | For | For |
| 31-Aug-2013 | Motherson Sumi Systems Ltd. | AGM | Declaration of dividend | For | For |
| 31-Aug-2013 | Motherson Sumi Systems Ltd. | AGM | Appointment of Maj Gen Amarjit Singh (Retd.) as director | For | For |
| 31-Aug-2013 | Motherson Sumi Systems Ltd. | AGM | Appointment of Mr. Arjun Puri as director | For | For |
| 31-Aug-2013 | Motherson Sumi Systems Ltd. | AGM | Appointment of M/s Price Waterhouse, Chartered accountants as auditors | For | For |
| 31-Aug-2013 | Motherson Sumi Systems Ltd. | AGM | Appointment of Mr. Sushil Chandra Tripathi, IAS (Retd) as director | For | For |
| 31-Aug-2013 | Motherson Sumi Systems Ltd. | AGM | Appointment of Mr. Gautam Mukherjee as director | For | For |
| 2-Sep-2013 | Indraprastha Gas Ltd. | AGM | Adoption of Accounts | For | FOR |
| 2-Sep-2013 | Indraprastha Gas Ltd. | AGM | Declaration of Dividend | For | FOR |
| 2-Sep-2013 | Indraprastha Gas Ltd. | AGM | Re-appointment of Prof V. Ranganathan as Director | For | FOR |
| 2-Sep-2013 | Indraprastha Gas Ltd. | AGM | Appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | FOR |
| 2-Sep-2013 | Indraprastha Gas Ltd. | AGM | Appointment of Mr. Narendra Kumar as Director | For | FOR |
| 2-Sep-2013 | Indraprastha Gas Ltd. | AGM | Appointment of Mr. Narendra Kumar as Managing Director for 3 years effective 18.04.2013 | For | FOR |
| 2-Sep-2013 | Indraprastha Gas Ltd. | AGM | Appointment of Mr. Rajesh Chaturvedi as Director | For | FOR |
| 2-Sep-2013 | Indraprastha Gas Ltd. | AGM | Appointment of Mr. Rajesh Chaturvedi as Director (Commercial) effective 1.12.2012 | For | FOR |
| 2-Sep-2013 | Indraprastha Gas Ltd. | AGM | Appointment of Mr. Rajeev Kumar Mathur as Director | For | FOR |
| 2-Sep-2013 | Indraprastha Gas Ltd. | AGM | Appointment of Mr. Puneet Kumar Goel as Director | For | FOR |
| 2-Sep-2013 | Indraprastha Gas Ltd. | AGM | Appointment of Mr. Santosh Kumar Bajpai as Director | For | FOR |
| 2-Sep-2013 | Somany Ceramics Ltd. | AGM | Adoption of Accounts | For | FOR |
| 2-Sep-2013 | Somany Ceramics Ltd. | AGM | Declaration of Dividend | For | FOR |
| 2-Sep-2013 | Somany Ceramics Ltd. | AGM | To appoint a Director in place of Shri Salil Singhal, who retires by rotation and being eligible, offers himself for re-appointment | For | FOR |
| 2-Sep-2013 | Somany Ceramics Ltd. | AGM | To appoint a Director in place of Dr. Y. K. Alagh, who retires by rotation and being eligible, offers himself for re-appointment | For | FOR |
| 2-Sep-2013 | Somany Ceramics Ltd. | AGM | To appoint Auditors and to fix their remuneration | For | FOR |
| 2-Sep-2013 | Somany Ceramics Ltd. | AGM | Remuneration by way of Commission to Non-Executive Directors | For | FOR |
| 5-Sep-2013 | Hindustan Petroleum Corporation Ltd. | AGM | Adoption of Accounts | For | FOR |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--------------------------------------|--|--|---------------------------|--------------------------------|
| 5-Sep-2013 | Hindustan Petroleum Corporation Ltd. | AGM | Declaration of Dividend | For | FOR |
| 5-Sep-2013 | Hindustan Petroleum Corporation Ltd. | AGM | Re-appointment of Mr. S. K. Roongta as Director | For | FOR |
| 5-Sep-2013 | Hindustan Petroleum Corporation Ltd. | AGM | Re-appointment of Mrs. Nishi Vasudeva as Director | For | FOR |
| 5-Sep-2013 | Hindustan Petroleum Corporation Ltd. | AGM | Re-appointment of Mr. Anil Razdan as Director | For | FOR |
| 5-Sep-2013 | Hindustan Petroleum Corporation Ltd. | AGM | Re-appointment of Mr. G. K. Pillai as Director | For | FOR |
| 5-Sep-2013 | Hindustan Petroleum Corporation Ltd. | AGM | Appointment of Dr. Gitesh K. Shah as Director | For | FOR |
| 5-Sep-2013 | Hindustan Petroleum Corporation Ltd. | AGM | Appointment of Mr. K. V. Rao as Director | For | FOR |
| 5-Sep-2013 | Hindustan Petroleum Corporation Ltd. | AGM | Appointment of Mr. R. K. Singh as Director | For | FOR |
| 5-Sep-2013 | Hindustan Petroleum Corporation Ltd. | AGM | Appointment of Mr. B. K. Namdeo as Director | For | FOR |
| 5-Sep-2013 | Bharti Airtel Ltd. | AGM | Adoption of Accounts | For | FOR |
| 5-Sep-2013 | Bharti Airtel Ltd. | AGM | Declaration of Dividend | For | FOR |
| 5-Sep-2013 | Bharti Airtel Ltd. | AGM | Re-appointment of Mr. Ajay Lal as Director | For | FOR |
| 5-Sep-2013 | Bharti Airtel Ltd. | AGM | Re-appointment of Mr. Tan Yong Choo as Director | For | FOR |
| 5-Sep-2013 | Bharti Airtel Ltd. | AGM | Non filling of vacancy cause by retirement of Mr. Pulak Prasad as a Director | For | FOR |
| 5-Sep-2013 | Bharti Airtel Ltd. | AGM | Appointment of M/s S. R. Batliboi & Associates LLP, Chartered Accountants as Auditors | For | FOR |
| 5-Sep-2013 | Bharti Airtel Ltd. | AGM | Appointment of Mr. Manish Kejriwal as Director | For | FOR |
| 5-Sep-2013 | Bharti Airtel Ltd. | AGM | Appointment of Ms. Obiageli Katryn Exekwesili as Director | For | FOR |
| 5-Sep-2013 | Prestige Estates Projects Ltd. | AGM | Adoption of accounts | For | FOR |
| 5-Sep-2013 | Prestige Estates Projects Ltd. | AGM | Declaration of dividend | For | FOR |
| 5-Sep-2013 | Prestige Estates Projects Ltd. | AGM | Appointment of Mr. Rezwan Razack as joint managing director | For | FOR |
| 5-Sep-2013 | Prestige Estates Projects Ltd. | AGM | Appointment of Mr. Noaman Razack as director | For | FOR |
| 5-Sep-2013 | Prestige Estates Projects Ltd. | AGM | Appointment of auditors | For | FOR |
| 5-Sep-2013 | Prestige Estates Projects Ltd. | AGM | Revision of remuneration to Ms. Uzma Irfan, executive director (Corporate Communications) | For | FOR |
| 5-Sep-2013 | Prestige Estates Projects Ltd. | AGM | Revision of remuneration to Mr. Faiz Rezwan, executive director (Contacts & Projects) | For | FOR |
| 5-Sep-2013 | Prestige Estates Projects Ltd. | AGM | Revision of remuneration to Mr. Zaid Sadiq, executive director (Liasoning & Hospitality) | For | FOR |
| 5-Sep-2013 | Prestige Estates Projects Ltd. | AGM | Revision of remuneration to Ms. Sana Rezwan, Executive Director (Retail) | For | FOR |
| 6-Sep-2013 | Aditya Birla Nuvo Ltd. | AGM | Adoption of Accounts | For | FOR |
| 6-Sep-2013 | Aditya Birla Nuvo Ltd. | AGM | Declaration of Dividend on Preference and equity shares | For | FOR |
| 6-Sep-2013 | Aditya Birla Nuvo Ltd. | AGM | Re-appointment of Mr. Kumar Mangalam Birla as a Director | For | FOR |
| 6-Sep-2013 | Aditya Birla Nuvo Ltd. | AGM | Re-appointment of Mr. G. P. Gupta as a Director | For | FOR |
| 6-Sep-2013 | Aditya Birla Nuvo Ltd. | AGM | Re-appointment of Mr. T. Chattopadhyay as a Director | For | FOR |
| 6-Sep-2013 | Aditya Birla Nuvo Ltd. | AGM | Re-appointment of M/s Khimji Kunverji & Co and M/s S R Batliboi & Co LLP, Chartered Accountants as Joint Auditors | For | FOR |
| 6-Sep-2013 | Aditya Birla Nuvo Ltd. | AGM | Appointment of branch auditors | For | FOR |
| 6-Sep-2013 | Aditya Birla Nuvo Ltd. | AGM | Appointment of Mr. Lalit Naik as a Director | For | FOR |
| 6-Sep-2013 | Aditya Birla Nuvo Ltd. | AGM | Introduce and implement the 'Aditya Birla Nuvo Limited Employee Stock Option Scheme 2013' wherein the options exercisable are upto a limit not exceeding 3,50,000 equity shares of ₹ 10 each | For | FOR |
| 6-Sep-2013 | Aditya Birla Nuvo Ltd. | AGM | Extending the benefits of 'Aditya Birla Nuvo Limited Employee Stock Option Scheme 2013' to employees of subsidiary companies | For | FOR |
| 7-Sep-2013 | Bayer Cropscience Ltd. | PB | Buy back of equity shares of the Company not exceeding 28,79,746 at a price of ₹ 1,580 per share | For | FOR |
| 10-Sep-2013 | Hindalco Industries Ltd. | AGM | Adoption of Accounts | For | FOR |
| 10-Sep-2013 | Hindalco Industries Ltd. | AGM | Declaration of Dividend | For | FOR |
| 10-Sep-2013 | Hindalco Industries Ltd. | AGM | Re-appointment of Mr. Kumar Mangalam Birla as a Director | For | FOR |
| 10-Sep-2013 | Hindalco Industries Ltd. | AGM | Re-appointment of Mr. A. K. Agarwala as a Director | For | FOR |
| 10-Sep-2013 | Hindalco Industries Ltd. | AGM | Re-appointment of M/s Singhi & Co, Chartered Accountants as Auditors | For | FOR |
| 10-Sep-2013 | Hindalco Industries Ltd. | AGM | Appointment of Mr. Jagdish Khattar as a Director | For | FOR |
| 10-Sep-2013 | Hindalco Industries Ltd. | AGM | Re-appointment of Mr. D. Bhattacharya as the Managing Director of the Company for a period of five years with effect from 30.9.2013 | For | FOR |
| 10-Sep-2013 | Hindalco Industries Ltd. | AGM | Appointment of Mr. Satish Pai as a Director | For | FOR |
| 10-Sep-2013 | Hindalco Industries Ltd. | AGM | To grant the Hindalco Industries Limited Employee Stock Option Scheme 2013 to the employees of the company and its subsidiaries | For | FOR |
| 11-Sep-2013 | Hero MotoCorp Ltd. | AGM | Adoption of accounts | For | FOR |
| 11-Sep-2013 | Hero MotoCorp Ltd. | AGM | Declaration of dividend | For | FOR |
| 11-Sep-2013 | Hero MotoCorp Ltd. | AGM | Appointment of Dr. Pritam Singh as director | For | FOR |
| 11-Sep-2013 | Hero MotoCorp Ltd. | AGM | Appointment of Mr. M Damodaran as director | For | FOR |
| 11-Sep-2013 | Hero MotoCorp Ltd. | AGM | Appointment of Mr. Paul Edgerley as director | For | FOR |
| 11-Sep-2013 | Hero MotoCorp Ltd. | AGM | Appointment of M/s Deloitte Haskins & Sells, Chartered accountants as auditors | For | FOR |
| 11-Sep-2013 | Hero MotoCorp Ltd. | AGM | Payment of commission to non executive directors | For | FOR |
| 11-Sep-2013 | Solar Industries India Ltd. | AGM | Adoption of Accounts | For | FOR |
| 11-Sep-2013 | Solar Industries India Ltd. | AGM | Declaration of Dividend | For | FOR |
| 11-Sep-2013 | Solar Industries India Ltd. | AGM | Re-appointment of Mr. Anant Saagar as Director | For | FOR |
| 11-Sep-2013 | Solar Industries India Ltd. | AGM | Re-appointment of Mr. Dilip Patel as Director | For | FOR |
| 11-Sep-2013 | Solar Industries India Ltd. | AGM | Re-appointment of Mr. Satish Chander Gupta as Director | For | FOR |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|------------------------------------|--|---|---------------------------|--------------------------------|
| 11-Sep-2013 | Solar Industries India Ltd. | AGM | Re-appointment of Mr. Ajai Nigam as Director | For | FOR |
| 11-Sep-2013 | Solar Industries India Ltd. | AGM | Appointment of M/s Gandhi Rathii & Co, Chartered Accountants as Auditors | For | FOR |
| 11-Sep-2013 | Solar Industries India Ltd. | AGM | Appointment of Mr. Amrendra Verma as Non Executive Director | For | FOR |
| 11-Sep-2013 | Solar Industries India Ltd. | AGM | Borrow up to an amount not exceeding ₹ 1000 crores | For | FOR |
| 11-Sep-2013 | Solar Industries India Ltd. | AGM | To mortgage(s) and/or charge(s) on assets of the Company for a sum not exceeding ₹ 1000 crores | For | FOR |
| 12-Sep-2013 | United Breweries Ltd. | AGM | Adoption of Accounts | For | FOR |
| 12-Sep-2013 | United Breweries Ltd. | AGM | Declaration of Dividend | For | FOR |
| 12-Sep-2013 | United Breweries Ltd. | AGM | Re-appointment of Mr. Chhaganlal Jain as Director | For | FOR |
| 12-Sep-2013 | United Breweries Ltd. | AGM | Re-appointment of Mr. Duco Reinout Hooft Graafland as Director | For | FOR |
| 12-Sep-2013 | United Breweries Ltd. | AGM | Re-appointment of Mr. Stephan Gerlich as Director | For | FOR |
| 12-Sep-2013 | United Breweries Ltd. | AGM | Appointment of Auditors | For | FOR |
| 12-Sep-2013 | United Breweries Ltd. | AGM | Appointment of Mr. Henricus Petrus van Zonas as a Director | For | FOR |
| 12-Sep-2013 | United Breweries Ltd. | AGM | Appointment of Mr. Henricus Petrus van Zonas as a Director and CFO for 3 years with effect from 1.1.2013 | For | FOR |
| 12-Sep-2013 | Havells India Ltd. | PB | Amendment of AOA | For | FOR |
| 12-Sep-2013 | Trent Ltd. | PB | Scheme of amalgamation and arrangement between Landmark Limited and Flora Link Road Properties Limited and Trexa ADMC Private Limited and the Company | For | FOR |
| 12-Sep-2013 | Trent Ltd. | PB | Utilisation of the Securities Premium Account | For | FOR |
| 13-Sep-2013 | BEML Ltd. | AGM | Adoption of Accounts | For | FOR |
| 13-Sep-2013 | BEML Ltd. | AGM | Declaration of Dividend | For | FOR |
| 13-Sep-2013 | BEML Ltd. | AGM | Re-appointment of Mr. Kanwal Nath as Director | For | FOR |
| 13-Sep-2013 | BEML Ltd. | AGM | Re-appointment of Prof. Ramesh Bhat as Director | For | FOR |
| 13-Sep-2013 | BEML Ltd. | AGM | Re-appointment of Prof. S. Sadagopan as Director | For | FOR |
| 13-Sep-2013 | BEML Ltd. | AGM | Re-appointment of Mr. C. N. Durgesh as Director | For | FOR |
| 13-Sep-2013 | BEML Ltd. | AGM | Appointment of Auditors | For | FOR |
| 13-Sep-2013 | BEML Ltd. | AGM | Appointment of Mr. P. Dwarkanath as Chairman and Managing Director, Mr. C. Balakrishnan as Non-official Independent Director, Mr. N. P. Gupta as Non-official Independent Director, Mr. Suhas Anand Bhat as Non-official Independent Director, Mr. P K Mishra as Part Time Governemnt Director, Mr. D. K. Hota as Director (HR) | For | FOR |
| 13-Sep-2013 | BEML Ltd. | AGM | Alteration of AoA for Buy Back of shares | For | FOR |
| 13-Sep-2013 | Siemens Ltd. | PB | To sell and Transfer of its Postal and Parcel Logistics Technologies and airport Logistice Technologies businesses to Siemens Airport Logistics Technologies Private Limited a new 100% subsidiary of Siemens Aktiengesellschaft, Germany | For | FOR |
| 16-Sep-2013 | Idea Cellular Ltd. | AGM | Adoption of Accounts | For | FOR |
| 16-Sep-2013 | Idea Cellular Ltd. | AGM | Declaration of Dividend on equity shares | For | FOR |
| 16-Sep-2013 | Idea Cellular Ltd. | AGM | Re-appointment of Mrs. Rajashree Birla as Director | For | FOR |
| 16-Sep-2013 | Idea Cellular Ltd. | AGM | Re-appointment of Mr. Tarjani Vakil as Director | For | FOR |
| 16-Sep-2013 | Idea Cellular Ltd. | AGM | Re-appointment of Mr. Biswajit A Subramanian as Director | For | FOR |
| 16-Sep-2013 | Idea Cellular Ltd. | AGM | Re-appointment of Dr. Rakesh Jain as Director | For | FOR |
| 16-Sep-2013 | Idea Cellular Ltd. | AGM | Appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | FOR |
| 16-Sep-2013 | Idea Cellular Ltd. | AGM | Appointment of Dr. Shridhar Sariputta Hansa Wijayasuriya as a Director | For | FOR |
| 16-Sep-2013 | Idea Cellular Ltd. | AGM | To issue Securities under Employees Stock Option Scheme, comprising of option exercisable not more than 35,549,000 equity shares of ₹ 10 each | For | FOR |
| 16-Sep-2013 | Idea Cellular Ltd. | AGM | To extend benefits of the ESOP to the employees of subsidiary / holding company(ies) | For | FOR |
| 16-Sep-2013 | Idea Cellular Ltd. | AGM | To issue equity shares for an aggregate amount not exceeding ₹ 3000 crores | For | FOR |
| 16-Sep-2013 | IL&FS Transportation Networks Ltd. | PB | To issue Non Convertible Redeemable Preference Shares aggregating to a nominal amount not exceeding ₹ 1000 crores | For | FOR |
| 16-Sep-2013 | KPIT Technologies Ltd. | PB | Alteration of Object Clause of MoA | For | FOR |
| 16-Sep-2013 | KPIT Technologies Ltd. | PB | To approve the scheme of Amalgamation of Sparta Infotech India Private Limited, a wholly owned subsidiary of the Company with the Company | For | FOR |
| 17-Sep-2013 | Supreme Industries Ltd. | AGM | Adoption of accounts | For | FOR |
| 17-Sep-2013 | Supreme Industries Ltd. | AGM | Declaration of dividend | For | FOR |
| 17-Sep-2013 | Supreme Industries Ltd. | AGM | Appointment of Mr. H. S. Parikh as director | For | FOR |
| 17-Sep-2013 | Supreme Industries Ltd. | AGM | Appointment of Mr. Y. P. Trivedi as director | For | FOR |
| 17-Sep-2013 | Supreme Industries Ltd. | AGM | Appointment of auditors | For | FOR |
| 17-Sep-2013 | Supreme Industries Ltd. | AGM | Appointment of Mr. M. .P Taparia as MD for 5 years | For | FOR |
| 17-Sep-2013 | Supreme Industries Ltd. | AGM | Re-appointment of Mr. S. J. Taparia as executive director for 5 years | For | FOR |
| 17-Sep-2013 | Supreme Industries Ltd. | AGM | Appointment of Mr. V. K. Taparia as executive director for 5 years | For | FOR |
| 17-Sep-2013 | NTPC Ltd. | AGM | Adoption of Accounts | For | FOR |
| 17-Sep-2013 | NTPC Ltd. | AGM | Confirmation of Interim Dividend and declaration of Final Dividend | For | FOR |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--|--|---|---------------------------|--------------------------------|
| 17-Sep-2013 | NTPC Ltd. | AGM | Re-appointment of Mr. A. K. Singhal as a Director | For | FOR |
| 17-Sep-2013 | NTPC Ltd. | AGM | Re-appointment of Mr. N. N. Misra as a Director | For | FOR |
| 17-Sep-2013 | NTPC Ltd. | AGM | Re-appointment of Mr. S. B. Ghosh Dastidar as a Director | For | FOR |
| 17-Sep-2013 | NTPC Ltd. | AGM | Re-appointment of Mr. R. S. Sahoo as a Director | For | FOR |
| 17-Sep-2013 | NTPC Ltd. | AGM | Appointment of Auditors | For | FOR |
| 17-Sep-2013 | NTPC Ltd. | AGM | Appointment of Dr. A. Didar Singh as a Director | For | FOR |
| 17-Sep-2013 | NTPC Ltd. | AGM | Appointment of Mr. U. P. Pani as a Director | For | FOR |
| 17-Sep-2013 | NTPC Ltd. | AGM | Appointment of Mr. Prashant Mehta as a Director | For | FOR |
| 18-Sep-2013 | Coal India Ltd. | AGM | Adoption of Accounts | For | FOR |
| 18-Sep-2013 | Coal India Ltd. | AGM | Confirmation of Interim Dividend and Declaration of Final Dividend | For | FOR |
| 18-Sep-2013 | Coal India Ltd. | AGM | Appointment of Dr. A. K. Dubey as Director | For | FOR |
| 18-Sep-2013 | Coal India Ltd. | AGM | Appointment of Mrs. Sujata Prasad as Director | For | FOR |
| 18-Sep-2013 | Coal India Ltd. | AGM | Appointment of Mr. A. Chatterjee as Director | For | FOR |
| 19-Sep-2013 | Deep Industries Ltd. | AGM | Adoption of accounts | For | FOR |
| 19-Sep-2013 | Deep Industries Ltd. | AGM | Appointment of Mr. Vijaykumar R. Shah as director | For | FOR |
| 19-Sep-2013 | Deep Industries Ltd. | AGM | Appointment of Mr. Dharen S. Savla as director | For | FOR |
| 19-Sep-2013 | Deep Industries Ltd. | AGM | Appointment of auditors | For | FOR |
| 19-Sep-2013 | Deep Industries Ltd. | AGM | Declaration of dividend | For | FOR |
| 19-Sep-2013 | Power Grid Corporation of India Ltd. | AGM | Adoption of Accounts | For | FOR |
| 19-Sep-2013 | Power Grid Corporation of India Ltd. | AGM | Payment of interim Dividend and declaration of Final Dividend | For | FOR |
| 19-Sep-2013 | Power Grid Corporation of India Ltd. | AGM | Re-appointment of Mr. Santosh Saraf as a Director | For | FOR |
| 19-Sep-2013 | Power Grid Corporation of India Ltd. | AGM | Re-appointment of Ms. Rita Sinha as a Director | For | FOR |
| 19-Sep-2013 | Power Grid Corporation of India Ltd. | AGM | Appointment of Auditors | For | FOR |
| 19-Sep-2013 | Power Grid Corporation of India Ltd. | AGM | Appointment of Mr. R. K. Gupta as a Director | For | FOR |
| 19-Sep-2013 | Power Grid Corporation of India Ltd. | AGM | Appointment of Dr. K. Ramalingam as a Director | For | FOR |
| 19-Sep-2013 | Power Grid Corporation of India Ltd. | AGM | Appointment of Mr. R. Krishnamoorthy as a Director | For | FOR |
| 19-Sep-2013 | Power Grid Corporation of India Ltd. | AGM | Appointment of Mr. Ajay Kumar Mittal as a Director | For | FOR |
| 19-Sep-2013 | Power Grid Corporation of India Ltd. | AGM | Appointment of Mr. Mahesh Shah as a Director | For | FOR |
| 19-Sep-2013 | Power Grid Corporation of India Ltd. | AGM | Appointment of Mr. Ravi P. Singh as a Director - Personnel | For | FOR |
| 19-Sep-2013 | Power Grid Corporation of India Ltd. | AGM | Appointment of Mr. R P Sasmal as a Director - Operations | For | FOR |
| 19-Sep-2013 | Power Grid Corporation of India Ltd. | AGM | To offer, issue and allot 69,44,58,802 equity shares subject to the approval of the Government of India | For | FOR |
| 20-Sep-2013 | Bharat Petroleum Corporation Ltd. | AGM | Adoption of Accounts | For | FOR |
| 20-Sep-2013 | Bharat Petroleum Corporation Ltd. | AGM | Declaration of Dividend | For | FOR |
| 20-Sep-2013 | Bharat Petroleum Corporation Ltd. | AGM | Re-appointment of Mr. K. K. Gupta as Director | For | FOR |
| 20-Sep-2013 | Bharat Petroleum Corporation Ltd. | AGM | Re-appointment of Mr. B. K. Datta as Director | For | FOR |
| 20-Sep-2013 | Bharat Petroleum Corporation Ltd. | AGM | Appointment of Mr. Tom Jose as Director | For | FOR |
| 20-Sep-2013 | Bharat Petroleum Corporation Ltd. | AGM | Appointment of Dr. Niraj Mittal as Director | For | FOR |
| 20-Sep-2013 | Zuari Agro Chemicals Ltd. | PB | Alteration of MOA | For | FOR |
| 21-Sep-2013 | Oil India Ltd. | AGM | Adoption of Accounts | For | FOR |
| 21-Sep-2013 | Oil India Ltd. | AGM | Declaration of Dividend | For | FOR |
| 21-Sep-2013 | Oil India Ltd. | AGM | Re-appointment of Mr. T. K. Ananth Kumar as Director | For | FOR |
| 21-Sep-2013 | Oil India Ltd. | AGM | Re-appointment of Mrs. Rashmi Aggarwal as Director | For | FOR |
| 21-Sep-2013 | Oil India Ltd. | AGM | Appointment to Auditors | For | FOR |
| 21-Sep-2013 | Oil India Ltd. | AGM | Appointment of Mr. Anup Mukerji as Non-Official Part-time Director | For | FOR |
| 21-Sep-2013 | Oil India Ltd. | AGM | Appointment of Mr. Suresh Chand Gupta as Non-Official Part-time Director | For | FOR |
| 21-Sep-2013 | Oil India Ltd. | AGM | Appointment of Mr. Bhaskar Ramamurthi as Non-Official Part-time Director | For | FOR |
| 21-Sep-2013 | Oil India Ltd. | AGM | Appointment of Mr. Shekhar Chaudhari as Non-Official Part-time Director | For | FOR |
| 21-Sep-2013 | Oil India Ltd. | AGM | Appointment of Mr. Gautam Barua as Non-Official Part-time Director | For | FOR |
| 21-Sep-2013 | Oil India Ltd. | AGM | Appointment of Mr. Nalin Kumar Srivastava as Governemt and Nominee Director | For | FOR |
| 21-Sep-2013 | Career Point Ltd. | AGM | Adoption of accounts | For | FOR |
| 21-Sep-2013 | Career Point Ltd. | AGM | Appointment of Mr. Pawan Kumar Lalpuna as director | For | FOR |
| 21-Sep-2013 | Career Point Ltd. | AGM | Appointment of Mr. Pritam Kumar Goswami as director | For | FOR |
| 21-Sep-2013 | Career Point Ltd. | AGM | Appointment of M/s Sharp & Tannan, Chartered accountants as auditors | For | FOR |
| 21-Sep-2013 | Career Point Ltd. | AGM | To grant ESOP 2013 to the employees of the company | For | FOR |
| 21-Sep-2013 | Career Point Ltd. | AGM | To grant ESOP 2013 to the employees of the subsidiary company | For | FOR |
| 23-Sep-2013 | Mangalore Refinery and Petrochemicals Ltd. | AGM | Adoption of accounts | For | FOR |
| 23-Sep-2013 | Mangalore Refinery and Petrochemicals Ltd. | AGM | Appointment of Mr. Sudhir Vasudeva as director | For | FOR |
| 23-Sep-2013 | Mangalore Refinery and Petrochemicals Ltd. | AGM | Appointment of Mr. P. Kalyanasundaram as director | For | FOR |
| 23-Sep-2013 | Mangalore Refinery and Petrochemicals Ltd. | AGM | Appointment of auditors | For | FOR |
| 24-Sep-2013 | Mangalore Refinery and Petrochemicals Ltd. | AGM | Adoption of accounts | For | FOR |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--|--|--|---------------------------|--------------------------------|
| 24-Sep-2013 | Mangalore Refinery and Petrochemicals Ltd. | AGM | Declaration of Dividend | For | FOR |
| 24-Sep-2013 | Mangalore Refinery and Petrochemicals Ltd. | AGM | Appointment of Mr. S. R. Gupte as director | For | FOR |
| 24-Sep-2013 | Mangalore Refinery and Petrochemicals Ltd. | AGM | Appointment of Mr. N. Sunder Rajan as director | For | FOR |
| 24-Sep-2013 | Mangalore Refinery and Petrochemicals Ltd. | AGM | Appointment of M/s. K. P. Rao & Company as Auditors | For | FOR |
| 24-Sep-2013 | Mangalore Refinery and Petrochemicals Ltd. | AGM | Reappointment of Mr. Deepak Anand as Managing Director of the Company for a further period of two years from 1.10.2012 or till the date he continues to be the nominee of the UB Group on the Board of the Company, whichever is earlier, on a token salary of ₹1/- per month. | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | Adoption of Accounts | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | Confirm payment of Interim Dividend and Declaration of Final Dividend | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | Re-appointment of Mr. Anuroop Singh as Director | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | Re-appointment of Mr. N. C. Singhal as Director | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | Re-appointment of Dr. Subhash Bijlani as Director | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | Re-appointment of Mr. Aman Mehta as Director | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | Appointment of M/s S. R. Batliboi & Co LLP, Chartered Accountants as Auditors | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | Appointment of Dr. Dipankar Gupta as Director | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | Appointment of Dr. Ajit Singh as Director | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | Appointment of Mr. Ashok Kacker as Director | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | To make investment of an amount up to ₹ 200 crores in equity shares of Max Bupa Health Insurance Company Limited | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | Payment of remuneration to Mr. Rahul Khosla, MD for the period 1.4.2013 till 31.3.2016 | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | To sell Company's property at N-31, 1st Floor, Panchshila Park, New Delhi to Mr. Rahul Khosla, MD | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | Payment of remuneration to Mr. Analjit Singh, Chairman from 30.10.2013 till 29.10.2015 | For | FOR |
| 24-Sep-2013 | Max India Ltd. | AGM | Amendment to AOA | For | FOR |
| 24-Sep-2013 | Kaveri Seed Company Ltd. | AGM | Adoption of Accounts | For | FOR |
| 24-Sep-2013 | Kaveri Seed Company Ltd. | AGM | Declaration of Final Dividend | For | FOR |
| 24-Sep-2013 | Kaveri Seed Company Ltd. | AGM | Re-appointment of Mr. C. Vansheedhar as Director | For | FOR |
| 24-Sep-2013 | Kaveri Seed Company Ltd. | AGM | Re-appointment of Mr. C. Mithun Chand as Director | For | FOR |
| 24-Sep-2013 | Kaveri Seed Company Ltd. | AGM | Re-appointment of Mr. V. Varaprasad Rao as Director | For | FOR |
| 24-Sep-2013 | Kaveri Seed Company Ltd. | AGM | Re-appointment of M/s P. R. Reddy & CO., Chartered Accountants as Auditors | For | FOR |
| 24-Sep-2013 | Kaveri Seed Company Ltd. | AGM | Appointment of Mr. K. Puroshotham as a Director | For | FOR |
| 24-Sep-2013 | Zuari Agro Chemicals Ltd. | AGM | Adoption of Accounts | For | FOR |
| 24-Sep-2013 | Zuari Agro Chemicals Ltd. | AGM | Declaration of Dividend | For | FOR |
| 24-Sep-2013 | Zuari Agro Chemicals Ltd. | AGM | Re-appointment of Mr. S K Poddar as Director | For | FOR |
| 24-Sep-2013 | Zuari Agro Chemicals Ltd. | AGM | Re-appointment of Mr. J N Godbole as Director | For | FOR |
| 24-Sep-2013 | Zuari Agro Chemicals Ltd. | AGM | Re-appointment of Mr. Marco Wadia as Director | For | FOR |
| 24-Sep-2013 | Zuari Agro Chemicals Ltd. | AGM | To re-appoint M/s S. R. Batliboi * Co LLP, Chartered Accountants, as Statutory Auditors of the Company | For | FOR |
| 24-Sep-2013 | Zuari Agro Chemicals Ltd. | AGM | Re-appointment of Mr. Gopal Krishna Pillai as Director | For | FOR |
| 24-Sep-2013 | Zuari Agro Chemicals Ltd. | AGM | To amend the object Clause contained in Clause III (C) of the Memorandum of Association of the Company | For | FOR |
| 24-Sep-2013 | Zuari Agro Chemicals Ltd. | AGM | To accord approval pursuant to Section 149 (2A) and other provisions, if any, of the Companies Act, 1956 to the Company | For | FOR |
| 24-Sep-2013 | Zuari Agro Chemicals Ltd. | AGM | To appoint Mr. N. Suresh Krishnan as Managing Director of the Company for a period of five years from 1st April, 2012 | For | FOR |
| 24-Sep-2013 | Zuari Agro Chemicals Ltd. | AGM | To accord consent to the Board of Directors of the Company to borrow from time to time, as it may consider fit, any sum or sums of money not exceeding ₹ 8000 crores. | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Adoption of accounts | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Declaration of dividend | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Appointment of Dr. Vijay Mallya as director | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Appointment of M/s BSR & Co, Chartered accountants as auditors | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Appointment of Mr. Gilbert Ghostine as director | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Appointment of Mr. Ravi Rajagopal as director | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Appointment of Mr. P. A. Murali as director | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Appointment of Mr. P. A. Murali as executive director | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Appointment of Mr. Arunkumar Ramanlal Gandhi as director | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Appointment of Mr. Sudhakar Rao as director | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Appointment of Mr. Sivanandhan Dhanushkodi as director | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Appointment of Ms. Renu Sud Karnak as director | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Appointment of Mr. Paul Steven Waish as director | For | FOR |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|------------------------------------|--|--|---------------------------|--------------------------------|
| 24-Sep-2013 | United Spirits Ltd. | AGM | Appointment of Mr. Vikram Singh Mehta as director | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Revision of remuneration to Mr. Ashok Capoor, MD | For | FOR |
| 24-Sep-2013 | United Spirits Ltd. | AGM | Alteration of AOA | For | FOR |
| 25-Sep-2013 | GAIL (India) Ltd. | AGM | Adoption of accounts | For | FOR |
| 25-Sep-2013 | GAIL (India) Ltd. | AGM | Declaration of dividend | For | FOR |
| 25-Sep-2013 | GAIL (India) Ltd. | AGM | Appointment of Mr. S. Venkatraman as director | For | FOR |
| 25-Sep-2013 | GAIL (India) Ltd. | AGM | Appointment of Mr. P. K. Jain as director | For | FOR |
| 25-Sep-2013 | GAIL (India) Ltd. | AGM | Appointment of Mr. Arun Agrawal as director | For | FOR |
| 25-Sep-2013 | GAIL (India) Ltd. | AGM | Appointment of auditors | For | FOR |
| 25-Sep-2013 | GAIL (India) Ltd. | AGM | Appointment of Mr. P. K. Singh as director | For | FOR |
| 25-Sep-2013 | GAIL (India) Ltd. | AGM | Appointment of Mr. M. Ravindran as director | For | FOR |
| 25-Sep-2013 | GAIL (India) Ltd. | AGM | Appointment of Mr. Rajive Kumar as director | For | FOR |
| 25-Sep-2013 | Oil & Natural Gas Corporation Ltd. | AGM | Adoption of accounts | For | FOR |
| 25-Sep-2013 | Oil & Natural Gas Corporation Ltd. | AGM | Declaration of dividend | For | FOR |
| 25-Sep-2013 | Oil & Natural Gas Corporation Ltd. | AGM | Appointment of Dr. D. Chandrasekharam as director | For | FOR |
| 25-Sep-2013 | Oil & Natural Gas Corporation Ltd. | AGM | Appointment of Mr. K. S. Jamestin as director | For | FOR |
| 25-Sep-2013 | Oil & Natural Gas Corporation Ltd. | AGM | Appointment of auditors | For | FOR |
| 25-Sep-2013 | Oil & Natural Gas Corporation Ltd. | AGM | Appointment of Mr. Pronip Kumar Borthakur as director | For | FOR |
| 25-Sep-2013 | Oil & Natural Gas Corporation Ltd. | AGM | Appointment of Mr. Shashi Shanker as director | For | FOR |
| 25-Sep-2013 | Oil & Natural Gas Corporation Ltd. | AGM | Appointment of Mr. Narasimha Murthy as director | For | FOR |
| 25-Sep-2013 | Oil & Natural Gas Corporation Ltd. | AGM | Appointment of Mr. Narendra kumar Verma as director | For | FOR |
| 25-Sep-2013 | NMDC Ltd. | AGM | Adoption of Accounts | For | FOR |
| 25-Sep-2013 | NMDC Ltd. | AGM | Declaration of Dividend | For | FOR |
| 25-Sep-2013 | NMDC Ltd. | AGM | Re-appointment of Mr. C. S. Verma as Chaiman & MD, Mr. N. K. Nanda as Director (Technical), Mr. S. Thiagarajan as Director (Finance), Mr. S. Bose as Director (Production), Mr. S. K Das as Director (Commercial), Mr. Rabindra Singh as Director (Personnel), Mr. R. N. Aga as Director, Mrs. Parminder H. Mathur as Director (Commercial), Mr. D. Rath as Director and Mr. S. J. Sibal as Director | For | FOR |
| 25-Sep-2013 | NMDC Ltd. | AGM | Appointment of Auditors | For | FOR |
| 25-Sep-2013 | NMDC Ltd. | AGM | Appointment of Mr. Vinod Kumar Thakral as Director | For | FOR |
| 25-Sep-2013 | NMDC Ltd. | AGM | Appointment of Mr. Syedain Abbasi as Director | For | FOR |
| 25-Sep-2013 | NMDC Ltd. | AGM | Appointment of Dr. Noor Mohammad as Director | For | FOR |
| 25-Sep-2013 | NMDC Ltd. | AGM | Appointment of Mr. Vinai Kumar Agarwal as Director | For | FOR |
| 25-Sep-2013 | NMDC Ltd. | AGM | Appointment of Mr. Mahesh Shah as Director | For | FOR |
| 25-Sep-2013 | Spicejet | AGM | Adoption of Accounts | For | FOR |
| 25-Sep-2013 | Spicejet | AGM | Re-appointment of Mr. Nicholas Martin as Director | For | FOR |
| 25-Sep-2013 | Spicejet | AGM | Re-appointment of Mr. R. Ravivenkatesh as Director | For | FOR |
| 25-Sep-2013 | Spicejet | AGM | Re-appointment of M/s S. R. Batliboi & Associates LLP, Chartered Accountants as Auditors | For | FOR |
| 26-Sep-2013 | Power Finance Corporation Ltd. | AGM | Adoption of accounts | For | FOR |
| 26-Sep-2013 | Power Finance Corporation Ltd. | AGM | Declaration of dividend | For | FOR |
| 26-Sep-2013 | Power Finance Corporation Ltd. | AGM | Appointment of Mr. M. K. Goel as director | For | FOR |
| 26-Sep-2013 | Power Finance Corporation Ltd. | AGM | Appointment of Mr. Krishna Mohan Sahni as director | For | FOR |
| 26-Sep-2013 | Power Finance Corporation Ltd. | AGM | Appointment of auditors | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Adoption of Accounts | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Declaration of Dividend | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Re-appointment of Mr. Anupam Puri as Director | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Re-appointment of Mr. Paul Zuckerman as Director | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Re-appointment of Mr. Ulhas N Yargop as Director | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Appointment of Mr. C. P. Gurnani as Director | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Appointment of Mr. C. P. Gurnani as Managing Director for 5 years with effect from 10.8.2012 | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Appointment of Mr. Vineet Nayyar as Executive Vice Chairman for 3 years with effect from 10.8.2012 | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Appointment of Mr. Anand G Mahindra as Director | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Appointment of Mr. Bharat N Doshi as Director | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Appointment of Mr. T. N. Manoharan as Director | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Appointment of Mrs. M. Rajyalakshmi Rao as Director | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Amendment of AOA | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | Increase the investment by FIs to a limit not exceeding 49% | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | To increase the maximum number of restricted stock units per Associate / Employees/ Director from existing 0.5% to 2% in any financial year and from existing 2% to 4% in aggregate, of the total number of RSUs reserved under Restricted Stock Unit plan, with effect from 1.1.2013 | For | FOR |
| 26-Sep-2013 | Tech Mahindra Ltd. | AGM | To amend the exercise price for the purposes of the grant of Options as decided by the Compensation & Nominations Committee at the time of grant of Options to the employees / directors | For | FOR |
| 26-Sep-2013 | Hathway Cable & Datacom Ltd. | EGM | To issue equity shares on preferential basis | For | FOR |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--|--|---|---------------------------|--------------------------------|
| 26-Sep-2013 | Lupin Ltd. | PB | Approving the terms of appointments of Dr. Kamal K Sharma, as Vice Chairman of the Company, with effect from 1.9.2013 | For | FOR |
| 26-Sep-2013 | Lupin Ltd. | PB | Approving the terms of appointments of Ms. Vinita Gupta, as Chief Executive Officer of the Company, with effect from 01.09.2013 | For | FOR |
| 26-Sep-2013 | Lupin Ltd. | PB | Approving the terms of appointments of Mr. Nilesh Gupta, as Managing Director of the Company with effect from 1.9.2013 | For | FOR |
| 27-Sep-2013 | Gujarat Mineral Development Corporation Ltd. | AGM | Adoption of accounts | For | FOR |
| 27-Sep-2013 | Gujarat Mineral Development Corporation Ltd. | AGM | Declaration of dividend | For | FOR |
| 27-Sep-2013 | Gujarat Mineral Development Corporation Ltd. | AGM | Appointment of auditors | For | FOR |
| 27-Sep-2013 | Gujarat State Petronet Ltd. | AGM | Adoption of Accounts | For | FOR |
| 27-Sep-2013 | Gujarat State Petronet Ltd. | AGM | Declaration of Dividend | For | FOR |
| 27-Sep-2013 | Gujarat State Petronet Ltd. | AGM | Re-appointment of Dr. Vaidyanathan as Director | For | FOR |
| 27-Sep-2013 | Gujarat State Petronet Ltd. | AGM | Appointment of Auditors | For | FOR |
| 27-Sep-2013 | Gujarat State Petronet Ltd. | AGM | Appointment of Dr. Has Mukh Adhia IAS as Director | For | FOR |
| 27-Sep-2013 | Gujarat State Petronet Ltd. | AGM | Appointment of Prof. Yogesh Singh as Director | For | FOR |
| 27-Sep-2013 | Gujarat State Petronet Ltd. | AGM | Appointment of Mr. Yogesh B. Sinha as Director | For | FOR |
| 27-Sep-2013 | Gujarat State Petronet Ltd. | AGM | Payment of monthly salary derived on the basis of 'last pay drawn minus pension' to Shri M. M. Srivastava, IAS (Retd) with effect from 27.8.2012 | For | FOR |
| 27-Sep-2013 | Gateway Distriparks Ltd. | AGM | Adoption of Accounts | For | FOR |
| 27-Sep-2013 | Gateway Distriparks Ltd. | AGM | Declaration of Interim Dividend | For | FOR |
| 27-Sep-2013 | Gateway Distriparks Ltd. | AGM | Re-appointment of Mr. Shabbir Hassanbhai as Director | For | FOR |
| 27-Sep-2013 | Gateway Distriparks Ltd. | AGM | Re-appointment of Mr. SatPal Khattar as Director | For | FOR |
| 27-Sep-2013 | Gateway Distriparks Ltd. | AGM | Re-appointment of Mr. Arun Agarwal as Director | For | FOR |
| 27-Sep-2013 | Gateway Distriparks Ltd. | AGM | Re-appointment of M/s Price Waterhouse, Chartered Accountants as Auditors | For | FOR |
| 27-Sep-2013 | NCC Ltd. | AGM | Adoption of Accounts | For | FOR |
| 27-Sep-2013 | NCC Ltd. | AGM | Declaration of Dividend on equity shares | For | FOR |
| 27-Sep-2013 | NCC Ltd. | AGM | Re-appointment of Mr. P. Abraham as Director | For | FOR |
| 27-Sep-2013 | NCC Ltd. | AGM | Re-appointment of Mr. A. V. N. Raju as Director | For | FOR |
| 27-Sep-2013 | NCC Ltd. | AGM | Re-appointment of M/s M. Bhaskara Rao & CO. and M/s Deloitte Haskins & Sells, Chartered Accountants as Joint Auditors | For | FOR |
| 27-Sep-2013 | NCC Ltd. | AGM | Non filling of vacancy cause by retirement of Dr. Rakesh R. Jhunjhunwala as a Director | For | FOR |
| 27-Sep-2013 | NCC Ltd. | AGM | Appointment of Mr. Anil P. Gupta as a Director | For | FOR |
| 27-Sep-2013 | NCC Ltd. | AGM | Appointment of Mr. T. N. Manoharan as a Director | For | FOR |
| 28-Sep-2013 | Natco Pharma Ltd. | AGM | Adoption of accounts | For | FOR |
| 28-Sep-2013 | Natco Pharma Ltd. | AGM | Declaration of dividend | For | FOR |
| 28-Sep-2013 | Natco Pharma Ltd. | AGM | Appointment of Mr. Rajeev Nannapaneni as director | For | FOR |
| 28-Sep-2013 | Natco Pharma Ltd. | AGM | Appointment of Dr. P. Bhaskara Narayana as director | For | FOR |
| 28-Sep-2013 | Natco Pharma Ltd. | AGM | Appointment of Dr. A. K. S. Bhujanga Rao as director | For | FOR |
| 28-Sep-2013 | Natco Pharma Ltd. | AGM | Appointment of M/s Walker, Chandiook & Co, Chartered Accountants as auditors | For | FOR |
| 28-Sep-2013 | Natco Pharma Ltd. | AGM | To reclassify and increase the authorized share capital of the company | For | FOR |
| 28-Sep-2013 | Natco Pharma Ltd. | AGM | To issue and allot securities | For | FOR |
| 28-Sep-2013 | Natco Pharma Ltd. | AGM | To revise the remuneration to Dr. P. Bhaskara Narayana, Director & CFO | For | FOR |
| 28-Sep-2013 | Natco Pharma Ltd. | AGM | Revision of remuneration to Dr. A. K. S. Bhujanga Rao, President (R&D and Technical) | For | FOR |
| 28-Sep-2013 | Bharti Airtel Ltd. | PB | To approve slump Sale of Data Center and Managed Services Business to Nextra Data Limited, a Wholly Owned Subsidiary of Bharti Airtel Limited for a consideration not less than ₹ 1,771 million | For | FOR |
| 30-Sep-2013 | Cadila Healthcare Ltd. | AGM | Adoption of Accounts | For | FOR |
| 30-Sep-2013 | Cadila Healthcare Ltd. | AGM | Confirmation of Interim Dividend | For | FOR |
| 30-Sep-2013 | Cadila Healthcare Ltd. | AGM | Re-appointment of Mr. Mukesh M. Patel as Director | For | FOR |
| 30-Sep-2013 | Cadila Healthcare Ltd. | AGM | Appointment of Auditors | For | FOR |
| 30-Sep-2013 | Cadila Healthcare Ltd. | AGM | Payment of commission to Non-Executive Directors | For | FOR |
| 30-Sep-2013 | Sun Pharmaceuticals Industries Ltd. | AGM | Adoption of Accounts | For | FOR |
| 30-Sep-2013 | Sun Pharmaceuticals Industries Ltd. | AGM | Declaration of Dividend | For | FOR |
| 30-Sep-2013 | Sun Pharmaceuticals Industries Ltd. | AGM | Re-appointment of Mr. Dilip S. Sanghvi as Director | For | FOR |
| 30-Sep-2013 | Sun Pharmaceuticals Industries Ltd. | AGM | Re-appointment of Mr. Shailesh T. Desai as Director | For | FOR |
| 30-Sep-2013 | Sun Pharmaceuticals Industries Ltd. | AGM | Re-appointment of Mr. Has Mukh S. Shah as Director | For | FOR |
| 30-Sep-2013 | Sun Pharmaceuticals Industries Ltd. | AGM | Re-appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | FOR |
| 30-Sep-2013 | Sun Pharmaceuticals Industries Ltd. | AGM | Revision in remuneration of Mr. Sudhir V. Valia, Wholetime Director effective 01.04.2013 | For | FOR |
| 30-Sep-2013 | Sun Pharmaceuticals Industries Ltd. | AGM | Re-appointment of Mr. Sudhir V. Valia as Wholetime Director for 5 years effective 01.04.2014 | For | FOR |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|-------------------------------------|--|--|---------------------------|--------------------------------|
| 30-Sep-2013 | Sun Pharmaceuticals Industries Ltd. | AGM | Re-appointment of Mr. Shailesh T. Desai as Wholetime Director for 5 years effective 01.04.2014 | For | FOR |
| 30-Sep-2013 | Sun Pharmaceuticals Industries Ltd. | AGM | Appointment of Mr. Aalok D. Sanghvi as Senior General Manager - International Business | For | FOR |
| 30-Sep-2013 | Sun Pharmaceuticals Industries Ltd. | AGM | To issue, offer and allot securities not exceeding ₹ 120 billion | For | FOR |
| 30-Sep-2013 | Sun Pharmaceuticals Industries Ltd. | AGM | To borrow upto ₹ 500 billion | For | FOR |
| 30-Sep-2013 | Sun Pharmaceuticals Industries Ltd. | AGM | To make loan(s) &/or give guarantee(s) / provide any security(ies) in connection with loan(s) made to and to make investments in Shares, Debentures &/or any other securities of other body corporates upto maximum amount of ₹ 500 billion | For | FOR |
| 30-Sep-2013 | Sadbhav Engineering Ltd. | AGM | Adoption of Accounts | For | FOR |
| 30-Sep-2013 | Sadbhav Engineering Ltd. | AGM | Declaration of Dividend | For | FOR |
| 30-Sep-2013 | Sadbhav Engineering Ltd. | AGM | Re-appointment of Mr. Nitin R. Patel as Director | For | FOR |
| 30-Sep-2013 | Sadbhav Engineering Ltd. | AGM | Re-appointment of Mr. Amarsinh J. Veghela as Director | For | FOR |
| 30-Sep-2013 | Sadbhav Engineering Ltd. | AGM | Re-appointment of Mr. Sanip V. Patel as Director | For | FOR |
| 30-Sep-2013 | Sadbhav Engineering Ltd. | AGM | Appointment of Auditors | For | FOR |
| 30-Sep-2013 | Sadbhav Engineering Ltd. | AGM | Appointment of Mr. Arunbhai S. Patel as Director | For | FOR |
| 30-Sep-2013 | Sadbhav Engineering Ltd. | AGM | Appointment of Mr. Hemendra C. Shah as Director | For | FOR |
| 30-Sep-2013 | Sadbhav Engineering Ltd. | AGM | Appointment of Mr. Vasistha C. Patel as Director | For | FOR |
| 30-Sep-2013 | Sadbhav Engineering Ltd. | AGM | Appointment of Mr. Vasistha C. Patel as Wholetime Director for 5 years with effect from 1.10.2012 | For | FOR |
| 30-Sep-2013 | Sadbhav Engineering Ltd. | AGM | Appointment of Mr. Vikramkumar R Patel as Director | For | FOR |
| 30-Sep-2013 | Sadbhav Engineering Ltd. | AGM | Appointment of Mr. Vikramkumar R Patel as Wholetime Director, designated as Executive Director for 5 years with effect from 1.10.2012 | For | FOR |
| 30-Sep-2013 | Just Dial Ltd. | AGM | Adoption of Accounts | For | FOR |
| 30-Sep-2013 | Just Dial Ltd. | AGM | Re-appointment of Mr. B. Anand as Director | For | FOR |
| 30-Sep-2013 | Just Dial Ltd. | AGM | Re-appointment of Mr. Sanjay Bahadur as Director | For | FOR |
| 30-Sep-2013 | Just Dial Ltd. | AGM | Appointment of M/s S. R. Batliboi & associates LLP, Chartered Accountants as Auditors | For | FOR |
| 30-Sep-2013 | Just Dial Ltd. | AGM | To alter Articles of Association of the Company | For | FOR |
| 30-Sep-2013 | Just Dial Ltd. | AGM | To offer, issue and allot up to 3,50,000 (Three Lakhs Fifty Thousand only) Equity Shares under Equity Stock Options Scheme (ESOS) | For | FOR |
| 30-Sep-2013 | Just Dial Ltd. | AGM | To make investment, in one or more tranches, by way of subscription, purchase or otherwise, in any securities, namely equity shares, preference shares, debentures whether convertible or non-convertible, or any other financial instruments of any body(ies) corporate, government securities or any other securities | For | FOR |
| 30-Sep-2013 | Just Dial Ltd. | AGM | To pay a sum not exceeding ₹ 7,00,000/- per annum to each Non-Executive Director of the Company or 1% (One Per Cent) of the net profit of the Company to all Non-Executive Directors, cumulatively, which ever is lower, be paid to Non-Executive Directors of the Company, for a period of five years starting from April 1, 2013 till March 31, 2018 | For | FOR |
| 30-Sep-2013 | Steel Strips Wheels Ltd. | AGM | Adoption of accounts | For | FOR |
| 30-Sep-2013 | Steel Strips Wheels Ltd. | AGM | Declaration of dividend | For | FOR |
| 30-Sep-2013 | Steel Strips Wheels Ltd. | AGM | Appointment of Mr. R. K. Garg as director | For | FOR |
| 30-Sep-2013 | Steel Strips Wheels Ltd. | AGM | Appointment of Mr. S. S. Grewal as director | For | FOR |
| 30-Sep-2013 | Steel Strips Wheels Ltd. | AGM | Appointment of Mr. S. S. Jha as director | For | FOR |
| 30-Sep-2013 | Steel Strips Wheels Ltd. | AGM | Appointment of M/s S. C. Dewan & Co, Chartered Accountants as auditors | For | FOR |
| 30-Sep-2013 | Steel Strips Wheels Ltd. | AGM | Appointment of Mr. Vijay Narayan Bedekar as director | For | FOR |
| 30-Sep-2013 | Steel Strips Wheels Ltd. | AGM | Appointment of Mr. Ajit Singh Chatha as director | For | FOR |
| 30-Sep-2013 | Steel Strips Wheels Ltd. | AGM | Appointment of Mr. Manohar Lal Jain as director | For | FOR |
| 30-Sep-2013 | Steel Strips Wheels Ltd. | AGM | Appointment of Mr. A. V. Unnikrishnan as Deputy Managing Director for 5 years | For | FOR |
| 30-Sep-2013 | Steel Strips Wheels Ltd. | AGM | To grant DMD ESOS 2013 to the employees of the company | For | FOR |
| 30-Sep-2013 | Tecpro Systems Ltd. | AGM | Adoption of accounts | For | FOR |
| 30-Sep-2013 | Tecpro Systems Ltd. | AGM | Appointment of Mr. Aditya Gabrani as director | For | FOR |
| 30-Sep-2013 | Tecpro Systems Ltd. | AGM | Appointment of Mr. Anunay Kumar as director | For | FOR |
| 30-Sep-2013 | Tecpro Systems Ltd. | AGM | Appointment of Mr. Satvinder Jeet Singh Sodhi as director | For | FOR |
| 30-Sep-2013 | Tecpro Systems Ltd. | AGM | Appointment of M/s BSR & Co, Chartered Accountants as auditors | For | FOR |
| 30-Sep-2013 | Tecpro Systems Ltd. | AGM | Appointment of Mr. Amar Banerjee as Wholetime director for 2 years | For | FOR |
| 30-Sep-2013 | Tecpro Systems Ltd. | AGM | Alteration of MOA | For | FOR |
| 3-Oct-13 | Jaiprakash Associates Ltd. | PB | To provide security to lenders of Jaiprakash Ventures Ltd, a subsidiary of the Company | For | For |
| 3-Oct-13 | Jaiprakash Associates Ltd. | PB | To provide security / guarantee / undertaking to lender of MP Jaypee Coal Ltd, a joint venture Company | For | For |
| 3-Oct-13 | Jaiprakash Associates Ltd. | PB | To make additional investment of upto ₹ 40 crore in Bhilai Jaypee Cement Ltd. and Bokaro Jaypee Cement Ltd, joint venture subsidiaries of the Company | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|------------------------------------|--|--|---------------------------|--------------------------------|
| 3-Oct-13 | Jaiprakash Associates Ltd. | PB | To provide undertaking to lenders of Kanpur Fertilizers & Cement Ltd, A joint venture Company | For | For |
| 3-Oct-13 | The Karur Vysya Bank Ltd. | PB | To issue Equity Shares under Qualified Institutions Placement (QIP) to Qualified Institutional Buyers (QIBs) | For | For |
| 3-Oct-13 | The Karur Vysya Bank Ltd. | PB | To increase the FII holding limit in the Bank upto 40% of the Paid-up Capital | For | For |
| 4-Oct-13 | Castrol India Ltd. | PB | To approve Capital reduction from the present face values of ₹ 10 to ₹ 5 per equity share | For | For |
| 4-Oct-13 | Castrol India Ltd. | PB | Alteration of Capital Clause in MOA & AOA | For | For |
| 4-Oct-13 | Castrol India Ltd. | PB | Alteration of Clause V of MOA | For | For |
| 4-Oct-13 | Castrol India Ltd. | PB | Alteration of Article 3 of AOA | For | For |
| 7-Oct-13 | Godrej Industries Ltd. | PB | To invest in the securities of Godrej Consumer Products Limited upto a sum of ₹ 150 crore | For | For |
| 7-Oct-13 | DCB BANK Ltd. | PB | To approve change of name of the Bank from Development Credit Bank Ltd. to DCB Bank Ltd | For | For |
| 14-Oct-13 | Zee Entertainment Enterprises Ltd. | CRT | To approve the Scheme of Arrangement under Sections 391 read with Sections 16, 94 and any other applicable provisions of the Companies Act, 1956 between the Company and its equity shareholders for issuance of Bonus Preference Shares | For | For |
| 24-Oct-13 | KEC International Ltd. | PB | Re-appointment of Mr. Ramesh D Chandak as Managing director | For | For |
| 25-Oct-13 | Shree Cements Ltd. | AGM | Adoption of accounts | For | For |
| 25-Oct-13 | Shree Cements Ltd. | AGM | Payment of interim dividend | For | For |
| 25-Oct-13 | Shree Cements Ltd. | AGM | Declaration of dividend | For | For |
| 25-Oct-13 | Shree Cements Ltd. | AGM | Appointment of Dr. Y. A. Alagh as Director | For | For |
| 25-Oct-13 | Shree Cements Ltd. | AGM | Appointment of Mr. Shreekanth Somany as Director | For | For |
| 25-Oct-13 | Shree Cements Ltd. | AGM | Appointment of M/s B. R. Maheswari & Company, chartered accountants as Auditors | For | For |
| 25-Oct-13 | Shree Cements Ltd. | AGM | Appointment of Dr. Leena Srivastava as director | For | For |
| 25-Oct-13 | Shree Cements Ltd. | AGM | Payment of remuneration to Mr. Prashant Bangur, Wholetime Director | For | For |
| 25-Oct-13 | Shree Cements Ltd. | AGM | Payment of remuneration to Mr. Mahendra Singhi, Executive Director | For | For |
| 26-Oct-13 | DLF Ltd. | PB | Alteration of AOA | For | For |
| 26-Oct-13 | DLF Ltd. | PB | Re-appointment of Dr. K. P. Singh as Wholetime Director designated as Chairman for 5 years effective 01.10.2013 | For | For |
| 5-Nov-2013 | Kennametal India Ltd. | AGM | Adoption of accounts | For | For |
| 5-Nov-2013 | Kennametal India Ltd. | AGM | Appointment of Mr. John Chang as Director | For | For |
| 5-Nov-2013 | Kennametal India Ltd. | AGM | Appointment of Mr. Vinayak K. Deshpande as Director | For | For |
| 5-Nov-2013 | Kennametal India Ltd. | AGM | Appointment of M/s Price Waterhouse & Co, Chartered Accountants as Auditors | For | For |
| 5-Nov-2013 | Kennametal India Ltd. | AGM | Payment of remuneration to Mr. Santanoo Medhi, MD | For | For |
| 5-Nov-2013 | Kennametal India Ltd. | AGM | To increase the number of directors to 7 | For | For |
| 8-Nov-2013 | Godrej Properties Ltd. | PB | Sub-division of each equity share of nominal value of ₹ 10 of the Company into 2 (two) equity shares of nominal value of ₹ 5 each | For | For |
| 8-Nov-2013 | Godrej Properties Ltd. | PB | Alteration of the MOA and AOA | For | For |
| 11-Nov-2013 | Tecpro Systems Ltd. | EGM | Appointment of M/s M. S. Krishnaswami & Rajan, Chartered Accountants as Auditors | For | For |
| 14-Nov-2013 | Natco Pharma Ltd. | EGM | To issue of equity shares of ₹ 10 each on preferential allotment basis | For | For |
| 14-Nov-2013 | Natco Pharma Ltd. | EGM | To increase the limit of shareholding by NRI/ PIO and Registered Foreign Institutional Investors (FIIs) upto 24/49% of the paidup capital of the Company | For | For |
| 19-Nov-2013 | Jyothy Laboratories Ltd. | EGM | To create, offer, issue and allot 1,50,00,000 equity shares of Re 1 each on preferential allotment basis | For | For |
| 29-Nov-2013 | IPCA Laboratories Ltd. | EGM | Re-appointment of Mr. Pranay Godha as Executive Director for 5 years with effect from 11.11.2013 | For | For |
| 29-Nov-2013 | IPCA Laboratories Ltd. | EGM | To increase the investment limit by FIIs in the equity share capital of the Company | For | For |
| 2-Dec-2013 | Just Dial Ltd. | PB | Alteration of MoA | For | For |
| 2-Dec-2013 | Just Dial Ltd. | PB | Renumeration to Non-Executive Directors of the Company | For | For |
| 3-Dec-2013 | Oriental Bank of Commerce | EGM | To create, offer, issue and allot 80,87,561 equity shares of ₹ 10 each for cash at an Issue Price, including premium of ₹ 185.47 by way of preferential allotment to the Government of India (President of India) | For | For |
| 3-Dec-2013 | SKS Microfinance Ltd. | AGM | Adoption of Accounts | For | For |
| 3-Dec-2013 | SKS Microfinance Ltd. | AGM | Re-appointment of Dr. Tarun Khanna as Director | For | For |
| 3-Dec-2013 | SKS Microfinance Ltd. | AGM | Re-appointment of Mr. Geoffery Tanner Wolley as Director | For | For |
| 3-Dec-2013 | SKS Microfinance Ltd. | AGM | Re-appointment of M/s S. R. Batliboi & Co LLP, Chartered Accountants as Auditors | For | For |
| 3-Dec-2013 | SKS Microfinance Ltd. | AGM | Re-appointment of Mr. M. Ramachandra Rao (M R Rao) as MD and CEO for 3 years with effect from 4.10.2013 | For | For |
| 3-Dec-2013 | SKS Microfinance Ltd. | AGM | Variation of terms of SKS Microfinance Employee Stock Option Plan 2008/ 2009/ 2010 ('SKS ESOP Plans') | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|---|--|---|---------------------------|--------------------------------|
| 3-Dec-2013 | SKS Microfinance Ltd. | AGM | Payment of commission to Non-Executive Directors not exceeding ₹ 10 lacs per Independent Director in a financial year or collectively upto 1% of the net profits of the Company | For | For |
| 5-Dec-2013 | Max India Ltd. | EGM | To approve resolution under Sections 198,269,309,310, Schedule XIII and other applicable provisions of the Companies Act, 1956 and provisions of the Companies Act,1956 and provisions of the Companies Act, 2013 for grant Stock Options to Mr. Rahul Khosla, Managing Director under Max Employee Stock Option Plan - 2003 for a value not exceeding ₹ 7,60,00,000/- per annum, in two tranches for the financial year 2014-15 & 2015-16 and authorize the Remuneration Committee to determine and regulate grant of stock options within the aforesaid limit. | For | For |
| 5-Dec-2013 | Max India Ltd. | EGM | To approve resolution under sections 198,269,309,310, Schedule XIII and other applicable provisions of the Companies Act, 1956 and provisions of the Companies Act, 2013 for the revised remuneration limit to Mr. Mohit Talwar, Deputy Managing Director of the Company for the three year period from November 1, 2013 until October 31, 2016 and authorize the Remuneration Committee to determine and regulate such remuneration within the approved limit. | For | For |
| 6-Dec-2013 | Adhunik Metaliks Ltd. | AGM | Adoption of accounts | For | For |
| 6-Dec-2013 | Adhunik Metaliks Ltd. | AGM | Appointment of Mr. Mukesh Kumar Agarwal as Director | For | For |
| 6-Dec-2013 | Adhunik Metaliks Ltd. | AGM | Appointment of Mr. Mohan Lal Agarwal as Director | For | For |
| 6-Dec-2013 | Adhunik Metaliks Ltd. | AGM | Appointment of Dr. Ramgopal Agarwala as Director | For | For |
| 6-Dec-2013 | Adhunik Metaliks Ltd. | AGM | Appointment of Mr. Raghav Sharan Pandey as Director | For | For |
| 6-Dec-2013 | Adhunik Metaliks Ltd. | AGM | Appointment of M/s Das & Prasad, Chartered Accountants as auditors | For | For |
| 9-Dec-2013 | Procter & Gamble Hygiene and Health Care Ltd. | AGM | Adoption of Accounts | For | For |
| 9-Dec-2013 | Procter & Gamble Hygiene and Health Care Ltd. | AGM | Declaration of Dividend | For | For |
| 9-Dec-2013 | Procter & Gamble Hygiene and Health Care Ltd. | AGM | Re-appointment of Mr. B. S. Mehta as a Director | For | For |
| 9-Dec-2013 | Procter & Gamble Hygiene and Health Care Ltd. | AGM | Appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | For |
| 9-Dec-2013 | Procter & Gamble Hygiene and Health Care Ltd. | AGM | Appointment of Mr. Anil Kumar Gupta as a Director | For | For |
| 10-Dec-2013 | Motherson Sumi Systems Ltd. | PB | To issue bonus shares in the ratio of 1:2 | For | For |
| 10-Dec-2013 | Motherson Sumi Systems Ltd. | PB | To make investments in the form of capital and/or provide loan/guarantee up to ₹ 350 Crores in Samvardhana Motherson Polymers Limited | For | For |
| 10-Dec-2013 | Motherson Sumi Systems Ltd. | PB | To provide Corporate Guarantee in favour of State Bank of India, Frankfurt Branch, Germany up to Euro 30.60 Million provided to SM Automotive Exterior GmbH, Germany | For | For |
| 10-Dec-2013 | Motherson Sumi Systems Ltd. | PB | To make investments in the form of capital and/or provide loan/guarantee up to ₹ 4.45 Crores in Samvardhana Motherson Nippisun Technology Ltd | For | For |
| 12-Dec-2013 | IL&FS Transportation Networks Ltd. | PB | Increase in the Authorised Share Capital from ₹ 1250,00,00,000 to ₹ 1500,00,00,000 | For | For |
| 12-Dec-2013 | IL&FS Transportation Networks Ltd. | PB | Alteration of AOA | For | For |
| 14-Dec-2013 | TVS Motor Company Ltd. | PB | To give loan to any body corporate / person | For | For |
| 14-Dec-2013 | TVS Motor Company Ltd. | PB | To give guarantee or provide security in connection with a loan to any body corporate / person | For | For |
| 14-Dec-2013 | TVS Motor Company Ltd. | PB | To acquire by way of subscription, purchase or otherwise, securities of any body corporate | For | For |
| 14-Dec-2013 | Union Bank of India | EGM | To create, offer and allot upto 3,35,12,064 equity shares of ₹ 10 each at an issue price of ₹ 149.20 and to create, issue and allot by conversion of ₹ 11.10 crore PNCPS (Perpetual Non-Cumulative Preferential shares) of ₹ 10 each into 74,39,678 equity shares of ₹ 10 each at an conversion price of ₹ 149.20 to Government of India | For | For |
| 14-Dec-2013 | Union Bank of India | EGM | To create, offer, issue and allot by way of QIP to QIBs for an aggregate amount not exceeding ₹ 1,386 crore | For | For |
| 16-Dec-2013 | Future Lifestyle Fashions Ltd. | EGM | To create, grant, offer, issue and allot to the permanent employees of the Company and also to such eligible employees transferred from Future Value Retail Ltd, Future Retail Ltd. and Future Ventures India Ltd. pursuant to te Composite Scheme of Arrangement and Amalgamation sanctioned by the Hon'ble Bombay High Court on May 10, 2013, and also to the Directors of the Company (excluding director who is promoter or from the promoter group and independent directors) under Employees' Stock Option Scheme, 15,00,000 options convertible into 15,00,000 equity shares of ₹ 2/- each | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--------------------------------------|--|--|---------------------------|--------------------------------|
| 16-Dec-2013 | Future Lifestyle Fashions Ltd. | EGM | To create, grant, offer, issue and allot to the permanent employees of present and future subsidiaries of the Company and also to the Directors of such subsidiaries (excluding its director who is promoter or from the promoter group and its independent directors) under Employees' Stock Option Scheme, within overall ceiling of 15,00,000 options convertible into 15,00,000 equity shares of ₹ 2/- each, mentioned in resolution 1 above | For | For |
| 16-Dec-2013 | Future Lifestyle Fashions Ltd. | EGM | To approve u/s 198, 269, 309 and all other applicable provisions, if any, of the companies Act, 1956 read with Schedule XIII to the said Act, and subject to the approval of the Central Government, appointment of and payment of remuneration upto ₹ 2,23,20,000/- to Mr. Kishore Biyani as MD of the Company, for a period of 3 years effective June 25, 2013 | For | For |
| 16-Dec-2013 | Future Lifestyle Fashions Ltd. | EGM | To approve u/s 198, 269, 309 and all other applicable provisions, if any, of the companies Act, 1956 read with Schedule XIII to the said Act, and subject to the approval of the Central Government, appointment of and payment of remuneration in the scale of ₹ 1,70,00,000/- to ₹ 2,10,00,000/- per annum to Mr. C. P. Toshniwal as Executive Director & CFO of the Company, for a period of 3 years effective Nov 1, 2013 | For | For |
| 17-Dec-2013 | Symphony Ltd. | AGM | To receive, consider and adopt audited Statement of Profit and Loss for the financial year ended 30th June 2013, Balance Sheet as at that date and the Report of the Board of Directors and Auditors thereon | For | For |
| 17-Dec-2013 | Symphony Ltd. | AGM | To declare a dividend of ₹ 6.50/- on Equity Shares (325%) | For | For |
| 17-Dec-2013 | Symphony Ltd. | AGM | To appoint a Director in place of Mr. Himanshu Shah, who retires by rotation, and being eligible, offers himself for re-appointment. | For | For |
| 17-Dec-2013 | Symphony Ltd. | AGM | To appoint Auditor M/s. Shah & Dalal, and to fix their remuneration | For | For |
| 17-Dec-2013 | Symphony Ltd. | AGM | To appoint Auditor of company and / or any person qualified, in consultation with Auditor of the company, as Branch Auditor of any branch of the company whether existing and / or to be opened hereinafter, in India or outside India, on such remuneration including any other terms and conditions for carrying out audit. | For | For |
| 17-Dec-2013 | Symphony Ltd. | AGM | To approve investment through subscription, purchase or otherwise in subsidiary companies / body corporate, in any securities including mutual fund schemes and / or provide loan to subsidiary companies, any person or body corporate and / or provide guarantee or security in favour of any lender who have granted loans to subsidiary companies, any person or body corporate not exceeding ₹ 500 crore at any point of time notwithstanding that the aggregate amount of the investments, loans, guarantees and securities whether present or future may exceed the limits prescribed under the said section. | For | For |
| 17-Dec-2013 | Symphony Ltd. | AGM | To create, offer, issue, allot and grant at any time or from time to time such number of options, exercisable into equity shares (hereinafter collectively referred to as "Shares") and / or equity shares to or to the benefit of such person(s) who are in permanent employment of the company, or directors of the company, under 'Symphony Employee Stock Option Plan – 2013' ('ESOP') not exceeding 27,98,280 Shares and / or 'Symphony Employee Stock Purchase Plan – 2013' ('ESPP') not exceeding 6,99,570 Shares. | For | For |
| 23-Dec-2013 | Power Grid Corporation of India Ltd. | PB | To increase the borrowing limits from ₹ 1,00,000 to ₹ 1,30,000 | For | For |
| 23-Dec-2013 | Power Grid Corporation of India Ltd. | PB | To increase the shareholding limit for FIs from 24% to 30% of the paid up capital of POWERGRID | For | For |
| 26-Dec-2013 | Idea Cellular Ltd. | EGM | Increase in the aggregate investment limit of Foreign Institutional Investors (FIIs) from 24% to 49% of the paid-up equity capital of the Company | For | For |
| 26-Dec-2013 | Idea Cellular Ltd. | EGM | Increase in remuneration of MD | For | For |
| 27-Dec-2013 | HCL Technologies Ltd. | AGM | Adoption of Accounts | For | For |
| 27-Dec-2013 | HCL Technologies Ltd. | AGM | Re-appointment of Mr. Amal Ganguli as Director | For | For |
| 27-Dec-2013 | HCL Technologies Ltd. | AGM | Re-appointment of Mr. Vineet Nayar as Director | For | For |
| 27-Dec-2013 | HCL Technologies Ltd. | AGM | Retirement of Mr. Subroto Bhattacharya as Director | For | For |
| 27-Dec-2013 | HCL Technologies Ltd. | AGM | Re-appointment of M/s S. R. Batliboi & Co LLP, Chartered Accountants as Auditors | For | For |
| 27-Dec-2013 | HCL Technologies Ltd. | AGM | Declaration of Dividend | For | For |
| 27-Dec-2013 | HCL Technologies Ltd. | AGM | Appointment of Mr. Subramanian Madhavan as a Director | For | For |
| 27-Dec-2013 | HCL Technologies Ltd. | AGM | Appointment of Mr. Keki Mistry as a Director | For | For |
| 27-Dec-2013 | HCL Technologies Ltd. | AGM | Appointment of Ms. Roshni Nadar Malhotra as a Director | For | For |
| 27-Dec-2013 | HCL Technologies Ltd. | AGM | Approval for payment of Commission to Non-Executive Directors | For | For |
| 2-Jan-2014 | Cairn India Ltd. | PB | To approve purchase by the Company of its fully paid-up equity shares with face value of INR 10 each (hereinafter referred to as 'equity shares') at a price not exceeding INR 335 ('Maximum Buy-back Price') per equity share out of free reserves and/or securities premium account, from the open market through the Stock Exchanges (hereinafter referred to as 'Buy-Back'). | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|---|--|---|---------------------------|--------------------------------|
| 8-Jan-2014 | Kaveri Seed Company Ltd. | PB | To approve Sub division of 1 equity share of ₹ 10 each into 5 equity shares of ₹ 2 each | For | For |
| 8-Jan-2014 | Kaveri Seed Company Ltd. | PB | Alteration of Clause V of MoA | For | For |
| 8-Jan-2014 | Kaveri Seed Company Ltd. | PB | Alteration of Article 3 of AoA | For | For |
| 10-Jan-2014 | Coromandel International Ltd. | CRT | To consider and approve the Scheme of Amalgamation for merger of Liberty Phosphate Limited and Liberty Urvarak Limited, with Coromandel International Limited. | For | For |
| 15-Jan-2014 | Bank of Baroda | EGM | To approve Issue of Equity Shares of face value of ₹ 10 each, for cash at a premium to be determined in accordance with SEBI (ICDR) Regulations aggregating upto ₹ 550 crores, on preferential basis to Government of India | For | For |
| 17-Jan-2014 | The Great Eastern Shipping Company Ltd. | PB | Increase in investment limit by FII upto 33% of the paid up equity share capital of the Company | For | For |
| 17-Jan-2014 | The Great Eastern Shipping Company Ltd. | PB | Appointment of Ms. Ketaki Sheth to hod office or place of profit with effect from 1.9.2013. | For | For |
| 18-Jan-2014 | Sesa Sterlite Ltd. | PB | To increase the borrowing powers of the Company for an aggregate amount not exceeding ₹ 60,000 crore | For | For |
| 18-Jan-2014 | Sesa Sterlite Ltd. | PB | To create charge on the properties of the Company | For | For |
| 18-Jan-2014 | Sesa Sterlite Ltd. | PB | Appointment and remuneration of Mr. Navin Agarwal as Wholetme Director designated as Executive Vice Chairman of the Company | For | For |
| 18-Jan-2014 | Sesa Sterlite Ltd. | PB | Appointment and remuneration of Mr. Mahendra Singh Mehta as Wholetime Director designated as CEO of the Company | For | For |
| 18-Jan-2014 | Sesa Sterlite Ltd. | PB | To change the designation of Mr. P. K. Mukherjee from MD to ED, Iron Ore Business and change in the terms of remuneration | For | For |
| 29-Jan-2014 | IDFC Ltd. | PB | Reduction in the ceiling limit on the aggregate shareholding of Foreign Institutional Investors (FIIs) / SEBI approved sub-accounts of FIIs, Foreign Direct Investment (FDI), Foreign Nationals, Non-resident Indians (NRIs) and Overseas Corporate Bodies (OCBs) [collectively referred to as the 'Foreign Shareholding'] from 54% to 49.90% of the total paid-up equity capital of the Company. | For | For |
| 30-Jan-2014 | Siemens Ltd. | AGM | Adoption of Accounts | For | For |
| 30-Jan-2014 | Siemens Ltd. | AGM | Declaration of Dividend | For | For |
| 30-Jan-2014 | Siemens Ltd. | AGM | Re-appointment of Mr. Deepak S. Parekh as a Director | For | For |
| 30-Jan-2014 | Siemens Ltd. | AGM | Re-appointment of Mr. Keki B. Dadiseth as a Director | For | For |
| 30-Jan-2014 | Siemens Ltd. | AGM | Re-appointment of Mr. Pradip V. Nayak as a Director | For | For |
| 30-Jan-2014 | Siemens Ltd. | AGM | Re-appointment of M/s S. R. Batliboi & Associates LLP, Chartered Accountants as Auditors | For | For |
| 30-Jan-2014 | Siemens Ltd. | AGM | Appointment of Ms. Mariel von Drathen as a Director | For | For |
| 30-Jan-2014 | Siemens Ltd. | AGM | Appointment of Mr. Johannes Apitzsch as a Director | For | For |
| 30-Jan-2014 | Siemens Ltd. | AGM | Re-appointment of Mr. Sunil Mathur as Executive Director & CFO with effect from 22.7.2013 till 31.12.2013 | For | For |
| 30-Jan-2014 | Siemens Ltd. | AGM | Appointment of Mr. Sunil Mathur as Managing Director & CFO with effect from 1.1.2014 till 31.12.2018 | For | For |
| 5-Feb-2014 | Mphasis Ltd. | AGM | Adoption of Accounts | For | For |
| 5-Feb-2014 | Mphasis Ltd. | AGM | Declaration of Dividend on equity share | For | For |
| 5-Feb-2014 | Mphasis Ltd. | AGM | Re-appointment of Dr. Friedrich Froeschl as Director | For | For |
| 5-Feb-2014 | Mphasis Ltd. | AGM | Re-appointment of Mr. V. Ravichandran as Director | For | For |
| 5-Feb-2014 | Mphasis Ltd. | AGM | Re-appointment of Mr. Chandrakant D. Patel as Director | For | For |
| 5-Feb-2014 | Mphasis Ltd. | AGM | Re-appointment of M/s S. R. Batliboi & Associates LLP, Chartered Accountants as Auditors | For | For |
| 5-Feb-2014 | Mphasis Ltd. | AGM | Appointment of Mr. Narayanan Kumar as Director | For | For |
| 5-Feb-2014 | Mphasis Ltd. | AGM | Appointment of Mr. James Mark Merritt as Director | For | For |
| 5-Feb-2014 | Mphasis Ltd. | AGM | Appointment of Mr. Lakshmikanth K. Ananth as Director | For | For |
| 5-Feb-2014 | Mphasis Ltd. | AGM | Appointment of Mr. Shankar Maitra as a Director of the Company, whose period of office shall be liable for retirement by rotation. | For | For |
| 6-Feb-2014 | MRF Ltd. | AGM | Adoption of Accounts | For | For |
| 6-Feb-2014 | MRF Ltd. | AGM | Declaration of Dividend | For | For |
| 6-Feb-2014 | MRF Ltd. | AGM | Re-appointment of Mr. Vijay R Kirloskar as Director | For | For |
| 6-Feb-2014 | MRF Ltd. | AGM | Re-appointment of Mr. K. M Philip as Director | For | For |
| 6-Feb-2014 | MRF Ltd. | AGM | Re-appointment of Mr. S. S. Vaidya as Director | For | For |
| 6-Feb-2014 | MRF Ltd. | AGM | Re-appointment of Mr. N. Kumar as Director | For | For |
| 6-Feb-2014 | MRF Ltd. | AGM | Re-appointment of M/s Sastri & Shah and M. M. Nissim & Co as Auditors | For | For |
| 6-Feb-2014 | MRF Ltd. | AGM | To approve payment of revised remuneration with effect from 01.04.2014 to Mrs. Meera Mammen, a relative if some of the Directors holding Office of Profit under the Company as Vice President, Welfare | For | For |
| 6-Feb-2014 | MRF Ltd. | AGM | To approve appointment of Mr. Samir Thariyan Mappillai, a relative of some of the Directors holding and Office or Place of Profit under Company as General Manager, Marketing | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|-------------------------------------|--|---|---------------------------|--------------------------------|
| 10-Feb-2014 | Indian Bank | EGM | To approve conversion of the Perpetual Non-Cumulative Preference Shares (PNCPS) (4,00,00,000 Perpetual Non-Cumulative Preference Shares of ₹ 100 each aggregating to ₹ 400.00 crore), held by Government of India, into such number of equity shares in favour of Government of India pursuant to compliance with Chapter VII of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009 and subject to approval of shareholders and other regulatory/statutory authorities. | For | For |
| 10-Feb-2014 | Somany Ceramics Ltd. | EGM | Issue and allotment of Equity shares on preferential basis | For | For |
| 14-Feb-2014 | IndusInd Bank Ltd. | PB | Alteration of MoA | For | For |
| 21-Feb-2014 | Financial Technologies (India) Ltd. | AGM | To receive, consider and adopt the audited Balance Sheet as at March 31st, 2013 and the Profit & Loss for the financial year ended on that date together with the schedules attached thereto, and the reports of the board of Directors' and Auditors' thereon. | For | For |
| 21-Feb-2014 | Financial Technologies (India) Ltd. | AGM | To declare a final dividend ₹ 3/- Per Equity Share | For | For |
| 21-Feb-2014 | Financial Technologies (India) Ltd. | AGM | To appoint M/s Deloitte Haskins & sells LLP as Statutory Auditors of the company and to fix their remuneration | For | Against |
| 21-Feb-2014 | Financial Technologies (India) Ltd. | AGM | Payment of Commission to Non-Executive Directors of the Company upto 1% of the company's net profits. | For | Against |
| 3-Mar-2014 | Bajaj Finance Ltd. | PB | Increase in borrowing limit upto ₹ 30,000 crores. | For | For |
| 3-Mar-2014 | Bajaj Finance Ltd. | PB | Creating charge on the assets of the Company upto a maximum limit of ₹ 30,000 crores. | For | For |
| 6-Mar-2014 | HDFC Bank Ltd. | PB | Appointment of Mr. Paresh Sukthankar as Deputy Managing Director | For | For |
| 6-Mar-2014 | HDFC Bank Ltd. | PB | Appointment of Mr. Kaizad Bharucha as Executive Director | For | For |
| 6-Mar-2014 | HDFC Bank Ltd. | PB | Appointment of Mr. C. M. Vasudev as part time Chairman | For | For |
| 6-Mar-2014 | HDFC Bank Ltd. | PB | To borrow money pursuant to section 180(1)(c) of the Companies Act, 2013 by way of special resolution. | For | For |
| 6-Mar-2014 | Muthoot Finance Ltd. | PB | To approve special resolution under Section 81 A of the Companies Act, 1956 for further issue of Shares/ Securities | For | For |
| 7-Mar-2014 | Godrej Properties Ltd. | PB | To make investment(s) in/acquire, by way of subscription, purchase or otherwise, any security(ies) of and/or to make loan(s) to or place inter-corporate deposit(s) with and/or provide any other form of debt and/or to provide any security(ies) in connection with loan(s) and/or give guarantee(s) in connection with loan(s) given by any other person to a body corporate or any other permissible form of entity(ies) or organisation(s) to be formed or incorporated for the development of the proposed project of the Company located at Keshav Nagar, Mundhwa, Pune, for an amount not exceeding ₹ 100 Crore. | For | For |
| 7-Mar-2014 | Godrej Properties Ltd. | PB | To make investment(s) in/acquire, by way of subscription, purchase or otherwise, any security(ies) of and/or to make loan(s) to or place inter-corporate deposit(s) with and/or provide any other form of debt and/or to provide any security(ies) in connection with loan(s) and/or give guarantee(s) in connection with loan(s) given by any other person to a body corporate or any other permissible form of entity(ies) or organisation(s) to be formed or incorporated for the development of the proposed project of the Company located at Village Khanawale, Panvel and Village Talegaon, Khalapur, for an amount not exceeding ₹ 100 Crore. | For | For |
| 7-Mar-2014 | Godrej Properties Ltd. | PB | To make investment(s) in/acquire, by way of subscription, purchase or otherwise, any security(ies) of and/or to make loan(s) to or place inter-corporate deposit(s) with and/or provide any other form of debt and/or to provide any security(ies) in connection with loan(s) and/or give guarantee(s) in connection with loan(s) given by any other person to a body corporate or any other permissible form of entity(ies) or organisation(s) to be formed or incorporated for the development of the proposed project of the Company located at Padur and Kazhipattur Villages, Kanchipuram District, Tamilnadu, for an amount not exceeding ₹ 45 Crore. | For | For |
| 7-Mar-2014 | Godrej Properties Ltd. | PB | To make investment(s) in/acquire, by way of subscription, purchase or otherwise, any security(ies) of and/or to make loan(s) to or place inter-corporate deposit(s) with and/or provide any other form of debt and/or to provide any security(ies) in connection with loan(s) and/or give guarantee(s) in connection with loan(s) given by any other person to a body corporate or any other permissible form of entity(ies) or organisation(s) to be formed or incorporated for the development of the proposed project of the Company located at Devanahalli, Near Bengaluru International Airport, Bengaluru, for an amount not exceeding ₹ 70 Crore. | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|-----------------------------------|--|---|---------------------------|--------------------------------|
| 7-Mar-2014 | Godrej Properties Ltd. | PB | To make investment(s) in any security(ies) of and/or to make loan(s) to or place inter-corporate deposit(s) with and/or provide any other form of debt and/or provide any security(ies) in connection with loan(s) and/or give guarantee(s) in connection with loan(s) given by any other person to Happy Highrises Limited, a subsidiary of the Company for an amount of ₹ 200 Crore, in addition to an amount of ₹ 250 Crore approved by members of the Company vide resolution dated 17.5.2010, with the cumulative approved amount not exceeding ₹ 450 Crore. | For | For |
| 7-Mar-2014 | Godrej Properties Ltd. | PB | To make investment(s) in any security(ies) of and/or to make loan(s) to or place inter-corporate deposit(s) with and/or provide any other form of debt and/or provide any security(ies) in connection with loan(s) and/or give guarantee(s) in connection with loan(s) given by any other person to Godrej Buildwell Private Limited, a subsidiary of the Company for an amount of ₹ 50 Crore, in addition to an amount of ₹ 200 Crore approved by members of the Company vide resolution dated 17.6.2013, with the cumulative approved amount not exceeding ₹ 250 Crore. | For | For |
| 11-Mar-2014 | Manappuram Finance Ltd. | EGM | Appointment of Mr. Sooraj Nandan as Senior Vice President effective 07.02.2014. | For | For |
| 11-Mar-2014 | Credit Analysis And Research Ltd. | EGM | To approve resolution for increasing the limit of investment by FIIL including their sub- accounts in the shares and convertible debentures of the Company by subscription or acquisition up to 74 % of the paid up equity share capital or paid up value of each series of convertible debentures of the Company | For | For |
| 18-Mar-2014 | Piramal Glass Ltd. | PB | Voluntary delisting of shares from BSE Ltd. and National Stock Exchange of India Ltd | For | For |
| 18-Mar-2014 | United Spirits Ltd. | EGM | Scheme of Amalgamation between Tern Distilleries Private Limited and the Company. | For | For |
| 18-Mar-2014 | Bharti Infratel Ltd. | PB | Appointment of Akhil Gupta as Executive Chairman of the company w.e.f. April 1, 2014 | For | For |
| 18-Mar-2014 | Bharti Infratel Ltd. | PB | Appointment of Devendra Singh Rawat as MD and CEO of the company for a period of 3 years w.e.f April 1, 2014 | For | For |
| 19-Mar-2014 | ITC Ltd. | PB | To approve scheme of Arrangement between Wimco Limited and ITC Limited and their respective shareholders. | For | For |
| 20-Mar-2014 | Jubilant Life Sciences Ltd. | PB | To approve transfer and vesting of two business undertakings namely (i) Active Pharmaceuticals Ingredients (API) Business and (ii) Dosage Forms Business of the Company to Jubilant Generics Ltd, a wholly owned subsidiary of Jubilant Pharma Ltd, Singapore (which is wholly owned subsidiary of the Company) on a going concern basis, by way of a slump sale for a lump sum consideration of ₹ 929.30 crore | For | For |
| 20-Mar-2014 | Jubilant Life Sciences Ltd. | PB | To approve transfer of 200 shares representing 18% share capital of Jubilant Pharma Holdings Inc, USA and 1,39,00,000 shares representing 77.65% share capital of Jubilant Pharma NV, Belgium held by the Company to Jubilant Generics Ltd, a wholly owned subsidiary of Jubilant Pharma Ltd, Singapore (which is wholly owned subsidiary of the Company) for a cash consideration (net of debt of ₹ 189.70 crores) of ₹ 215.80 crore | For | For |
| 20-Mar-2014 | Jubilant Life Sciences Ltd. | PB | Alteration of AOA | For | For |
| 20-Mar-2014 | Jubilant Life Sciences Ltd. | PB | To approve Contribution to charitable funds for an amount upto ₹ 10 crores | For | For |
| 20-Mar-2014 | GMR Infrastructure Ltd. | EGM | To approve Increase in Authorized Share Capital from ₹ 750 crore to ₹ 1,950 crore and consequential alteration of MOA | For | For |
| 20-Mar-2014 | GMR Infrastructure Ltd. | EGM | To create, offer, issue and allot (a) 56,83,351 Compulsory Convertible Preference Shares of ₹ 1,000 each carrying a coupon of 0.001% per annum and having a term of 17 months from the date of allotment (Series A CCPS) and (b) 56,83,353 Compulsory Convertible Preference Shares of ₹ 1,000 each carrying a coupon of 0.001% per annum and having a term of 18 months from the date of allotment (Series B CCPS) by way of Preferential allotment | For | For |
| 20-Mar-2014 | GMR Infrastructure Ltd. | EGM | To approve Issue of securities for an amount aggregating upto ₹ 2,500 crore | For | For |
| 21-Mar-2014 | Eicher Motors Ltd. | AGM | Adoption of Accounts | For | For |
| 21-Mar-2014 | Eicher Motors Ltd. | AGM | Declaration of Dividend on equity shares | For | For |
| 21-Mar-2014 | Eicher Motors Ltd. | AGM | Re-appointment of Mr. S. Sandilya as a Director | For | For |
| 21-Mar-2014 | Eicher Motors Ltd. | AGM | Re-appointment of M/s Deloitte Haskins & Sells, Chartered Accountants as Auditors | For | For |
| 21-Mar-2014 | Eicher Motors Ltd. | AGM | Revise terms and conditions of appointment of Mr. Siddhartha Lal, MD with effect from 1.4.2014 as a Director. | For | For |
| 22-Mar-2014 | EID Parry India Ltd. | PB | Appointment of Mr. V. Ramesh as MD for 3 years effective 30.01.2014 | For | For |
| 22-Mar-2014 | EID Parry India Ltd. | PB | Appointment of Mr. V. Suri as the Manager for 2 years effective 19.10.2013 | For | For |

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|----------------------------|--|--|---------------------------|--------------------------------|
| 22-Mar-2014 | EID Parry India Ltd. | PB | Remuneration to Mr. Ravindra S. Singhvi as MD for the period from 01.04.2013 to 10.04.2013 | For | For |
| 22-Mar-2014 | EID Parry India Ltd. | PB | Remuneration to Mr. P. Gopalakrishnan, Manager for the period from 11.04.2013 to 18.10.2013 | For | For |
| 24-Mar-2014 | Jaiprakash Associates Ltd. | PB | To create security by way of Guarantee and extension of exclusive charge over Company's specific property mortgaged and extension of Non-Disposal Agreement over 12 crore equity shares of Jaypee Infratech Limited in favour of IndusInd Bank Limited, a lender of Jaypee Sports International Limited (JPSI), a subsidiary of the Company notwithstanding the fact that the aggregate of the investments so far made, securities so far provided, loans/guarantees so far given by the Company along with the proposed creation of security may exceed 60% of the Paid-up Capital and free reserves of the Company or 100% of its free reserves, whichever is more. | For | For |
| 24-Mar-2014 | Jaiprakash Associates Ltd. | PB | Raising of funds upto an aggregate of USD 250 million | For | For |
| 31-Mar-2014 | CRISIL Ltd. | PB | To Create, issue, offer or allot, to present and future employees, as may be decided by the Board, who are in the permanent employment of the Company and the Whole-time Directors of the Company, at any time, equity shares of the Company (including the equity shares issued pursuant to the Resolution at item no 2 of the Notice), at such price, in such manner, during such period, in one or more tranches and on such terms and conditions, as Company equivalent to 5.09 percent of the issued and paid-up equity share capital of the Company as on the date of this notice. | For | For |
| 31-Mar-2014 | CRISIL Ltd. | PB | To Create, issue, offer or allot, to present and future employees, as may be decided by the Board, who are in the permanent employment of the subsidiary companies in India and outside India (both present and future) and the Whole-time Directors of the subsidiary companies in India and outside India (both present and future), at any time, equity shares of the Company (including the equity shares issued pursuant to the Resolution at item no 1 of the Notice), at such price, in such manner, during such period, in one or more tranches and on such terms and shares of the Company equivalent to 5.09 per cent of the issued and paid-up equity share capital of the Company as on the date of this notice. | For | For |

Shareholder Proposals

| Meeting Date | Company Name | Type of Meeting [AGM/EGM/ Court Convened (CRT)/Postal Ballot (PB)] | Resolution/Proposal | Management recommendation | Vote (For / Against / Abstain) |
|--------------|--------------|--|---------------------|---------------------------|--------------------------------|
| NIL | | | | | |

INDEPENDENT AUDITOR'S REPORT

To the Trustees of
DSP BlackRock Mutual Fund

Report on the Financial Statements

We have audited the accompanying financial statements of the Schemes of DSP BlackRock Mutual Fund mentioned below (collectively 'the Schemes'), which comprise the Balance Sheet as at 31 March 2014, and the Revenue Account and Cash Flow Statement (where applicable) for the period 01 April 2013 or date of launch of the respective Schemes to 31 March 2014 ('the period'), and a summary of significant accounting policies and other explanatory information. Presentation of these separate Balance Sheets, Revenue Accounts and Cash Flow Statements in a columnar form is not intended to indicate that they bear any relation to each other, or are interdependent or comparable in any way:

- DSP BlackRock Dual Advantage Fund - Series 1 - 36M
- DSP BlackRock Dual Advantage Fund - Series 2 - 36M
- DSP BlackRock Dual Advantage Fund - Series 3 - 36M
- DSP BlackRock Dual Advantage Fund - Series 4 - 36M
- DSP BlackRock Dual Advantage Fund - Series 5 - 36M
- DSP BlackRock Dual Advantage Fund - Series 11 - 36M
- DSP BlackRock Dual Advantage Fund - Series 13 - 35M
- DSP BlackRock RGESS Fund - Series 1
- DSP BlackRock Dual Advantage Fund - Series 14 - 33M
- DSP BlackRock Dual Advantage Fund - Series 15 - 36M
- DSP BlackRock Dual Advantage Fund - Series 16 - 36M
- DSP BlackRock Dual Advantage Fund - Series 17 - 35M
- DSP BlackRock Dual Advantage Fund - Series 18 - 34M
- DSP BlackRock Dual Advantage Fund - Series 19 - 36M

Management's Responsibility for the Financial Statements

Management of DSP BlackRock Investment Managers Private Limited ('the Company'), the scheme's asset manager, is responsible for the preparation of these financial statements that give a true and fair view of the financial position, financial performance and cash flows of the Scheme in accordance with accounting principles generally accepted in India, including the accounting policies and standards specified in the Ninth Schedule to the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996 ('the SEBI Regulations'). This responsibility includes the design, implementation and maintenance of internal control relevant to the preparation and presentation of the financial statements that give a true and fair view and are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with the Standards on Auditing issued by the Institute of Chartered Accountants of India. Those Standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts

and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Scheme's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of the accounting estimates made by management, as well as evaluating the overall presentation of the financial statements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion and to the best of our information and according to the explanations given to us, the financial statements give the information required by the SEBI Regulations in the manner so required and give a true and fair view in conformity with the accounting principles generally accepted in India:

- (a) in the case of the Balance Sheet, of the state of affairs of the Schemes as at the 31 March 2014;
- (b) in the case of the Revenue Account, of the surplus/deficit (as applicable) for the period 01 April 2013/date of launch till 31 March 2014; and
- (c) in the case of the Cash Flow Statement, of the cash flows (as applicable) for the period 01 April 2013/date of launch till 31 March 2014.

Report on Other Legal and Regulatory Requirements

1. We have obtained all the information and explanations, which to the best of our knowledge and belief were necessary for the purposes of our audit.
2. The balance sheet, revenue account and cash flow statement dealt with by this report are in agreement with the books of account.
3. In our opinion, the balance sheet and revenue account dealt with by this report have been prepared in conformity with the accounting policies and standards specified in the Ninth Schedule to the SEBI Regulations.
4. In our opinion, and on the basis of information and explanations given to us, the methods used to value non traded securities as at 31 March 2014 are in accordance with the SEBI Regulations and other guidelines issued by the Securities and Exchange Board of India, as applicable, and approved by the Board of Trustees, and are fair and reasonable.

For **S.R. BATLIBOI & CO. LLP**
Chartered Accountants
ICAI Firm Registration Number: 301003E

Sd/-
per Shrawan Jalan
Partner
Membership Number: 102102
Mumbai
Dated: 19 June 2014

DSP BLACKROCK MUTUAL FUND
BALANCE SHEET AS AT MARCH 31, 2014

| | Schedule | DSP BlackRock Dual Advantage Fund - Series 1 - 36M (DSPBRDAF - S1 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 2 - 36M (DSPBRDAF - S2 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 3 - 36M (DSPBRDAF - S3 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 4 - 36M (DSPBRDAF - S4 - 36M) | |
|----------------------------------|----------|--|--------------------|--|--------------------|--|--------------------|--|--------------------|
| | | As at 31.03.14 | As at 31.03.13 |
| | | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ |
| LIABILITIES | | | | | | | | | |
| Unit Capital | 1 | 918,567,877 | 918,567,877 | 747,913,113 | 747,913,113 | 548,983,048 | 548,983,048 | 862,168,857 | 862,168,857 |
| Reserves & Surplus | 2 | 194,855,143 | 37,421,689 | 188,534,212 | 40,195,429 | 97,064,011 | 39,784,310 | 237,800,103 | 112,252,860 |
| Current Liabilities & Provisions | 3 | 2,860,876 | 29,080,185 | 2,361,076 | 13,331,830 | 6,163,845 | 1,621,769 | 2,790,760 | 2,711,317 |
| | | 1,116,283,896 | 985,069,751 | 938,808,401 | 801,440,372 | 652,210,904 | 590,389,127 | 1,102,759,720 | 977,133,034 |
| ASSETS | | | | | | | | | |
| Investments | 4 | 815,848,957 | 786,336,322 | 656,352,159 | 635,638,200 | 582,130,716 | 528,788,221 | 715,059,990 | 677,163,175 |
| Deposits | 5 | - | - | - | - | - | 5,232,427 | - | - |
| Other Current Assets | 6 | 300,434,939 | 198,733,429 | 282,456,242 | 165,802,172 | 70,080,188 | 56,368,479 | 387,699,730 | 299,969,859 |
| Net Deficit | 2 | - | - | - | - | - | - | - | - |
| | | 1,116,283,896 | 985,069,751 | 938,808,401 | 801,440,372 | 652,210,904 | 590,389,127 | 1,102,759,720 | 977,133,034 |
| Notes to Accounts | 8 | | | | | | | | |

| | Schedule | DSP BlackRock Dual Advantage Fund - Series 5 - 36M (DSPBRDAF - S5 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 11 - 36M (DSPBRDAF - S11 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 13 - 35M (DSPBRDAF - S13 - 35M) | | DSP BlackRock RGESS Fund - Series 1 (DSPBRRGESS - Series 1) | |
|----------------------------------|----------|--|----------------------|--|----------------------|--|--------------------|---|--------------------|
| | | As at 31.03.14 | As at 31.03.13 | As at 31.03.14 | As at 31.03.13 | As at 31.03.14 | As at 31.03.13 | As at 31.03.14 | As at 31.03.13 |
| | | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ |
| LIABILITIES | | | | | | | | | |
| Unit Capital | 1 | 1,036,241,586 | 1,036,241,586 | 1,053,107,956 | 1,053,107,956 | 655,454,488 | 655,454,488 | 420,239,414 | 420,239,414 |
| Reserves & Surplus | 2 | 238,372,674 | 53,512,178 | 133,172,200 | 8,355,725 | 83,371,094 | - | 55,311,029 | - |
| Current Liabilities & Provisions | 3 | 5,317,271 | 3,263,748 | 1,963,435 | 6,274,888 | 1,578,230 | 24,731,749 | 34,823,807 | 4,163,909 |
| | | 1,279,931,531 | 1,093,017,512 | 1,188,243,591 | 1,067,738,569 | 740,403,812 | 680,186,237 | 510,374,250 | 424,403,323 |
| ASSETS | | | | | | | | | |
| Investments | 4 | 874,186,097 | 803,310,335 | 898,141,945 | 841,062,340 | 550,666,570 | 527,260,520 | 458,654,189 | 359,669,871 |
| Deposits | 5 | - | - | 100,000 | 60,095 | 2,300,000 | 2,235,850 | - | - |
| Other Current Assets | 6 | 405,745,434 | 289,707,177 | 290,001,646 | 226,616,134 | 187,437,242 | 132,860,983 | 51,720,061 | 50,347,196 |
| Net Deficit | 2 | - | - | - | - | - | 17,828,884 | - | 14,386,256 |
| | | 1,279,931,531 | 1,093,017,512 | 1,188,243,591 | 1,067,738,569 | 740,403,812 | 680,186,237 | 510,374,250 | 424,403,323 |
| Notes to Accounts | 8 | | | | | | | | |

| | Schedule | DSP BlackRock Dual Advantage Fund - Series 14 - 33M (DSPBRDAF - S14 - 33M) | DSP BlackRock Dual Advantage Fund - Series 15 - 36M (DSPBRDAF - S15 - 36M) | DSP BlackRock Dual Advantage Fund - Series 16 - 36M (DSPBRDAF - S16 - 36M) | DSP BlackRock Dual Advantage Fund - Series 17 - 35M (DSPBRDAF - S17 - 35M) | DSP BlackRock Dual Advantage Fund - Series 18 - 34M (DSPBRDAF - S18 - 34M) | DSP BlackRock Dual Advantage Fund - Series 19 - 36M (DSPBRDAF - S19 - 36M) |
|----------------------------------|----------|--|--|--|--|--|--|
| | | As at 31.03.14 |
| | | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ |
| LIABILITIES | | | | | | | |
| Unit Capital | 1 | 649,011,400 | 704,721,201 | 742,805,019 | 419,898,444 | 569,414,712 | 577,569,202 |
| Reserves & Surplus | 2 | 88,834,385 | 79,560,926 | 118,740,375 | 87,600,351 | 64,057,967 | 26,628,164 |
| Current Liabilities & Provisions | 3 | 1,304,245 | 1,360,037 | 1,439,707 | 831,635 | 1,176,105 | 1,101,501 |
| | | 739,150,030 | 785,642,164 | 862,985,101 | 508,330,430 | 634,648,784 | 605,298,867 |
| ASSETS | | | | | | | |
| Investments | 4 | 543,985,174 | 564,955,805 | 595,140,030 | 338,421,410 | 454,532,010 | 584,723,886 |
| Deposits | 5 | 100,000 | 28,710 | 18,520 | 472,355 | 58,185 | - |
| Other Current Assets | 6 | 195,064,856 | 220,657,649 | 267,826,551 | 169,436,665 | 180,058,589 | 20,574,981 |
| Net Deficit | 2 | - | - | - | - | - | - |
| | | 739,150,030 | 785,642,164 | 862,985,101 | 508,330,430 | 634,648,784 | 605,298,867 |
| Notes to Accounts | 8 | | | | | | |

The schedules referred to the above and the notes to accounts form an integral part of the accounts.

As per our attached report of even date

For S. R. BATLIBOI & CO. LLP
 Chartered Accountants
 ICAI Firm Registration No.: 301003E
 Sd/-
per Shrawan Jalan
 Partner
 Membership No.: 102102

For and on behalf of Board of DSP BlackRock Trustee Company Private Limited

Sd/-
Shitin D. Desai
 Chairman

Sd/-
S. S. Thakur
 Director

For and on behalf of Board of DSP BlackRock Investment Managers Private Limited

Sd/-
Hemendra Kothari
 Chairman
 Sd/-
Naganath Sundaresan*
 President & Chief Investment Officer

Sd/-
K. R. V. Subrahmanian
 Director
 Sd/-
Apoorva Shah*
 Fund Manager

Sd/-
Vinit Sambre
 Fund Manager
 Sd/-
Dhawal Dalal**
 Fund Manager

Mumbai, 19 June 2014

Mumbai, 19 June 2014

Mumbai, 13 June 2014

* Signed on June 11, 2014

** Signed on June 5, 2014

DSP BLACKROCK MUTUAL FUND
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

| | Schedule | DSP BlackRock Dual Advantage Fund - Series 1 - 36M (DSPBRDAF - S1 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 2 - 36M (DSPBRDAF - S2 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 3 - 36M (DSPBRDAF - S3 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 4 - 36M (DSPBRDAF - S4 - 36M) | |
|---|----------|--|---------------------|--|---------------------|--|-----------------------|--|-----------------------|
| | | Year ended 31.03.14 | Year ended 31.03.13 | Year ended 31.03.14 | Year ended 31.03.13 | Year ended 31.03.14 | Period ended 31.03.13 | Year ended 31.03.14 | Period ended 31.03.13 |
| | | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ |
| INCOME & GAINS | | | | | | | | | |
| Dividend | | - | - | - | - | 2,072,408 | 1,987,628 | - | - |
| Interest | 7 | 77,132,508 | 78,773,680 | 62,526,410 | 62,634,413 | 42,984,965 | 34,795,366 | 68,666,852 | 50,100,731 |
| Profit on sale/redemption of investments (net) | | - | - | - | 15,350 | 16,418,654 | 16,546,410 | - | - |
| Profit on inter-scheme sale of Investments (net) | | - | - | - | 39,003 | 230,451 | 82,299 | - | - |
| Miscellaneous income | | - | - | - | - | 306 | - | - | - |
| Write back of provision for unrealised loss in the value of investments | | - | 755,849 | - | 5,400 | 1,318,493 | - | 121,478 | - |
| Write back of marked to market loss on derivative trade | | 26,295,342 | - | 11,035,300 | - | - | - | - | - |
| Change in net unrealised gain in the value of investments | | 80,722,936 | 1,334,140 | 95,257,537 | (69,139,090) | 7,856,231 | 3,503,100 | 80,237,874 | 77,751,950 |
| | | 184,150,786 | 80,863,669 | 168,819,247 | (6,444,924) | 70,881,508 | 56,914,803 | 149,026,204 | 127,852,681 |
| EXPENSES & LOSSES | | | | | | | | | |
| Management fees | | 13,612,538 | 12,069,917 | 11,240,446 | 9,945,741 | 7,955,639 | 6,162,554 | 13,276,059 | 9,170,440 |
| Service tax on management fees | | 1,682,510 | 1,491,842 | 1,389,319 | 1,229,294 | 983,317 | 761,692 | 1,640,921 | 1,133,466 |
| Transfer agents fees and expenses | | 116,898 | 109,650 | 96,619 | 90,148 | 68,880 | 55,843 | 113,994 | 83,124 |
| Custodian fees and transaction charges | | 46,648 | 44,597 | 38,375 | 36,178 | 73,173 | 51,874 | 41,909 | 29,046 |
| Trusteeship fees | | 24,494 | 24,000 | 24,494 | 22,000 | 12,248 | 10,000 | 24,494 | 17,000 |
| Marketing expenses | | 5,052,709 | 5,582,146 | 4,173,347 | 4,566,178 | 2,974,982 | 3,272,619 | 4,926,105 | 4,821,134 |
| Payment to auditor's | | | | | | | | | |
| Audit fees (including Service Tax) | | 60,674 | 60,674 | 60,674 | 60,674 | 60,674 | 50,562 | 60,674 | 45,506 |
| Other operating expenses | | 3,153 | 9,533 | 2,863 | 9,314 | 9,004 | 25,012 | 3,772 | 20,019 |
| Investor Education and Awareness expenses | | 208,077 | 100,295 | 171,981 | 82,809 | 122,605 | 58,690 | 202,908 | 98,208 |
| Loss on sale/redemption of investments (net) | | - | - | - | - | - | - | - | - |
| Loss on inter-scheme sale of Investments (net) | | 5,085,414 | 665,949 | 2,631,284 | - | - | - | 141,245 | - |
| Loss on derivatives transactions (net) | | - | - | - | - | - | 5,363,154 | - | 60,400 |
| Change in net unrealised loss in the value of investments | | 824,217 | - | 651,062 | 925,923 | 1,341,285 | 1,318,493 | 3,046,880 | 121,478 |
| Marked to market loss on derivative trade | | - | 18,752,350 | - | 11,035,300 | - | - | - | - |
| | | 26,717,332 | 38,910,953 | 20,480,464 | 28,003,559 | 13,601,807 | 17,130,493 | 23,478,961 | 15,599,821 |
| Net income as per Revenue Account | | 157,433,454 | 41,952,716 | 148,338,783 | (34,448,483) | 57,279,701 | 39,784,310 | 125,547,243 | 112,252,860 |
| Retained surplus brought forward | | 36,087,549 | (4,531,027) | 36,063,529 | 1,372,922 | 36,281,210 | - | 34,500,910 | - |
| Less : Transfer to unrealised appreciation reserve | | | | | | | | | |
| - Balance unrealised appreciation reserve, end of year/period | | 82,057,076 | 1,334,140 | 99,389,437 | 4,131,900 | 11,359,331 | 3,503,100 | 157,989,824 | 77,751,950 |
| - Balance unrealised appreciation reserve, beginning of year/period | | 1,334,140 | - | 4,131,900 | 73,270,990 | 3,503,100 | - | 77,751,950 | - |
| Net change in unrealised appreciation in value of investments transferred (to)/from unrealised appreciation reserve | | (80,722,936) | (1,334,140) | (95,257,537) | 69,139,090 | (7,856,231) | (3,503,100) | (80,237,874) | (77,751,950) |
| Retained Surplus / (Deficit) carried forward to Balance sheet | | 112,798,067 | 36,087,549 | 89,144,775 | 36,063,529 | 85,704,680 | 36,281,210 | 79,810,279 | 34,500,910 |
| Notes to Accounts | 8 | | | | | | | | |

The schedules referred to the above and the notes to accounts form an integral part of the accounts.

As per our attached report of even date

For S. R. BATLIBOI & CO. LLP
Chartered Accountants
ICAI Firm Registration No.: 301003E
Sd/-
per Shrawan Jalan
Partner
Membership No.: 102102

For and on behalf of Board of DSP BlackRock Trustee Company Private Limited
Sd/-
Shitin D. Desai
Chairman

Sd/-
S. S. Thakur
Director

For and on behalf of Board of DSP BlackRock Investment Managers Private Limited
Sd/-
Hemendra Kothari
Chairman
Sd/-
Naganath Sundaresan*
President & Chief Investment Officer

Sd/-
K. R. V. Subrahmanian
Director
Sd/-
Apoorva Shah*
Fund Manager

Sd/-
Vinit Sambre
Fund Manager
Sd/-
Dhawal Dalal**
Fund Manager

Mumbai, 19 June 2014

Mumbai, 19 June 2014

Mumbai, 13 June 2014

* Signed on June 11, 2014

** Signed on June 5, 2014

DSP BLACKROCK MUTUAL FUND
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

| | Schedule | DSP BlackRock Dual Advantage Fund - Series 5 - 36M (DSPBRDAF - S5 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 11 - 36M (DSPBRDAF - S11 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 13 - 35M (DSPBRDAF - S13 - 35M) | | DSP BlackRock RGESS Fund - Series 1 (DSPBRREGSS - Series 1) | |
|---|----------|---|-----------------------|---|-----------------------|---|-----------------------|--|-----------------------|
| | | Year ended 31.03.14 | Period ended 31.03.13 | Year ended 31.03.14 | Period ended 31.03.13 | Year ended 31.03.14 | Period ended 31.03.13 | Year ended 31.03.14 | Period ended 31.03.13 |
| | | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ |
| INCOME & GAINS | | | | | | | | | |
| Dividend | | - | - | - | - | - | - | 5,819,684 | - |
| Interest | 7 | 83,285,450 | 54,587,437 | 81,913,292 | 14,946,615 | 51,865,009 | 5,102,278 | 759,668 | 786,864 |
| Profit on sale/redemption of investments (net) | | - | - | - | - | - | - | 18,472,037 | - |
| Profit on inter-scheme sale of Investments (net) | | - | 76,080 | - | - | - | - | - | - |
| Miscellaneous income | | - | - | - | - | - | - | 1,097 | - |
| Write back of provision for unrealised loss in the value of investments | | - | - | 19,963 | - | - | - | 13,044,810 | - |
| Write back of marked to market loss on derivative trade | | - | - | 3,767,500 | - | 23,654,850 | - | - | - |
| Change in net unrealised gain in the value of investments | | 129,144,486 | 15,438,700 | 64,447,251 | 285,370 | 42,081,637 | 1,859,730 | 44,281,539 | - |
| | | 212,429,936 | 70,102,217 | 150,148,006 | 15,231,985 | 117,601,496 | 6,962,008 | 82,378,835 | 786,864 |
| EXPENSES & LOSSES | | | | | | | | | |
| Management fees | | 15,688,338 | 9,661,657 | 10,288,058 | 928,681 | 7,969,297 | 230,342 | 9,033,056 | 242,411 |
| Service tax on management fees | | 1,939,079 | 1,194,181 | 1,271,604 | 114,785 | 985,005 | 28,470 | 1,116,487 | 29,962 |
| Transfer agents fees and expenses | | 134,897 | 89,723 | 125,247 | 21,358 | 79,214 | 6,647 | 249,812 | 1,372 |
| Custodian fees and transaction charges | | 50,438 | 33,202 | 49,886 | 8,833 | 31,300 | 2,536 | 71,311 | 1,272 |
| Trusteeship fees | | 31,494 | 21,000 | 25,494 | 3,000 | 17,248 | - | 11,248 | - |
| Marketing expenses | | 5,862,499 | 5,339,864 | 5,729,893 | 1,881,862 | 3,749,661 | 797,225 | 2,001,655 | 60,865 |
| Payment to auditor's | | | | | | | | | |
| Audit fees (including Service Tax) | | 60,674 | 45,506 | 60,674 | 10,112 | 60,674 | 5,056 | 60,674 | - |
| Other operating expenses | | 4,099 | 16,671 | 3,960 | 15,710 | 2,649 | 10,895 | 51,003 | 19,869 |
| Investor Education and Awareness expenses | | 240,116 | 115,935 | 222,940 | 38,016 | 141,000 | 11,831 | 86,304 | 2,441 |
| Loss on sale/redemption of investments (net) | | - | - | - | - | - | - | - | 1,770,118 |
| Loss on inter-scheme sale of Investments (net) | | 849,490 | - | 90,815 | - | - | 2,820 | - | - |
| Loss on derivatives transactions (net) | | - | 72,300 | - | 66,440 | - | 40,220 | - | - |
| Change in net unrealised loss in the value of investments | | 2,708,316 | - | 7,462,960 | 19,963 | 3,365,470 | - | - | 13,044,810 |
| Marked to market loss on derivative trade | | - | - | - | 3,767,500 | - | 23,654,850 | - | - |
| | | 27,569,440 | 16,590,039 | 25,331,531 | 6,876,260 | 16,401,518 | 24,790,892 | 12,681,550 | 15,173,120 |
| Net income as per Revenue Account | | 184,860,496 | 53,512,178 | 124,816,475 | 8,355,725 | 101,199,978 | (17,828,884) | 69,697,285 | (14,386,256) |
| Retained surplus brought forward | | 38,073,478 | - | 8,070,355 | - | (19,688,614) | - | (14,386,256) | - |
| Less :Transfer to unrealised appreciation reserve | | | | | | | | | |
| - Balance unrealised appreciation reserve, end of year/period | | 144,583,186 | 15,438,700 | 64,732,621 | 285,370 | 43,941,367 | 1,859,730 | 44,281,539 | - |
| - Balance unrealised appreciation reserve, beginning of year/period | | 15,438,700 | - | 285,370 | - | 1,859,730 | - | - | - |
| Net change in unrealised appreciation in value of investments transferred (to)/from unrealised appreciation reserve | | (129,144,486) | (15,438,700) | (64,447,251) | (285,370) | (42,081,637) | (1,859,730) | (44,281,539) | - |
| Retained Surplus / (Deficit) carried forward to Balance sheet | | 93,789,488 | 38,073,478 | 68,439,579 | 8,070,355 | 39,429,727 | (19,688,614) | 11,029,490 | (14,386,256) |
| Notes to Accounts | 8 | | | | | | | | |

The schedules referred to the above and the notes to accounts form an integral part of the accounts.

As per our attached report of even date

For S. R. BATLIBOI & CO. LLP

Chartered Accountants

ICAI Firm Registration No: 301003E

Sd/-

per Shrawan Jalan

Partner

Membership No.: 102102

For and on behalf of Board of

DSP BlackRock Trustee Company Private Limited

Sd/-

Shitin D. Desai

Chairman

Sd/-

S. S. Thakur

Director

For and on behalf of Board of

DSP BlackRock Investment Managers Private Limited

Sd/-

Hemendra Kothari

Chairman

Sd/-
Naganath Sundaresan*

President & Chief Investment Officer

Sd/-

K. R. V. Subrahmanian

Director

Sd/-
Apoorva Shah*

Fund Manager

Sd/-

Vinit Sambre

Fund Manager

Sd/-
Dhawal Dalal**

Fund Manager

Mumbai, 19 June 2014

Mumbai, 19 June 2014

Mumbai, 13 June 2014

* Signed on June 11, 2014

** Signed on June 5, 2014

DSP BLACKROCK MUTUAL FUND
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

| | Schedule | DSP BlackRock Dual Advantage Fund - Series 14 - 33M (DSPBRDAF - S14 - 33M) | DSP BlackRock Dual Advantage Fund - Series 15 - 36M (DSPBRDAF - S15 - 36M) | DSP BlackRock Dual Advantage Fund - Series 16 - 36M (DSPBRDAF - S16 - 36M) | DSP BlackRock Dual Advantage Fund - Series 17 - 35M (DSPBRDAF - S17 - 35M) | DSP BlackRock Dual Advantage Fund - Series 18 - 34M (DSPBRDAF - S18 - 34M) | DSP BlackRock Dual Advantage Fund - Series 19 - 36M (DSPBRDAF - S19 - 36M) |
|---|----------|--|--|--|--|--|--|
| | | Period ended 31.03.14 |
| | | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ |
| INCOME & GAINS | | | | | | | |
| Dividend | | - | - | - | - | - | 160,820 |
| Interest | 7 | 46,707,278 | 45,025,754 | 41,637,155 | 19,475,313 | 20,535,855 | 17,601,634 |
| Profit on sale/redemption of investments (net) | | - | - | - | - | - | - |
| Profit on inter-scheme sale of Investments (net) | | - | 12,855 | - | 108,175 | 23,516 | - |
| Miscellaneous income | | - | - | - | - | - | - |
| Write back of provision for unrealised loss in the value of investments | | - | - | - | - | - | - |
| Write back of marked to market loss on derivative trade | | - | - | - | - | - | - |
| Change in net unrealised gain in the value of investments | | 62,161,436 | 58,677,710 | 95,486,835 | 72,884,272 | 49,260,952 | 14,452,527 |
| | | 108,868,714 | 103,716,319 | 137,123,990 | 92,467,760 | 69,820,323 | 32,214,981 |
| EXPENSES & LOSSES | | | | | | | |
| Management fees | | 6,578,227 | 6,329,621 | 6,073,769 | 2,914,876 | 3,712,394 | 2,974,568 |
| Service tax on management fees | | 813,069 | 782,341 | 750,718 | 360,279 | 458,852 | 367,657 |
| Transfer agents fees and expenses | | 72,968 | 69,371 | 66,790 | 32,053 | 33,214 | 26,304 |
| Custodian fees and transaction charges | | 28,753 | 27,736 | 26,052 | 12,163 | 13,326 | 17,330 |
| Trusteeship fees | | 13,248 | 16,248 | 16,494 | 6,248 | 5,248 | 4,248 |
| Marketing expenses | | 3,189,509 | 3,065,992 | 2,959,083 | 1,419,741 | 1,411,929 | 1,151,369 |
| Payment to auditor's | | - | - | - | - | - | - |
| Audit fees (including Service Tax) | | 55,618 | 50,562 | 45,506 | 35,393 | 30,337 | 25,281 |
| Other operating expenses | | 11,482 | 9,456 | 10,447 | 5,174 | 6,876 | 4,067 |
| Investor Education and Awareness expenses | | 129,883 | 123,480 | 118,887 | 57,054 | 59,120 | 46,821 |
| Loss on sale/redemption of investments (net) | | - | - | - | - | - | 74,372 |
| Loss on inter-scheme sale of Investments (net) | | 288,735 | - | 79,490 | - | - | 39,525 |
| Loss on derivatives transactions (net) | | 40,380 | 41,100 | 42,740 | 24,428 | 31,060 | - |
| Change in net unrealised loss in the value of investments | | 8,812,457 | 13,639,486 | 8,193,639 | - | - | 855,275 |
| Marked to market loss on derivative trade | | - | - | - | - | - | - |
| | | 20,034,329 | 24,155,393 | 18,383,615 | 4,867,409 | 5,762,356 | 5,586,817 |
| Net income as per Revenue Account | | 88,834,385 | 79,560,926 | 118,740,375 | 87,600,351 | 64,057,967 | 26,628,164 |
| Retained surplus brought forward | | - | - | - | - | - | - |
| Less :Transfer to unrealised appreciation reserve | | - | - | - | - | - | - |
| - Balance unrealised appreciation reserve, end of year/period | | 62,161,436 | 58,677,710 | 95,486,835 | 72,884,272 | 49,260,952 | 14,452,527 |
| - Balance unrealised appreciation reserve, beginning of year/period | | - | - | - | - | - | - |
| Net change in unrealised appreciation in value of investments transferred (to)/from unrealised appreciation reserve | | (62,161,436) | (58,677,710) | (95,486,835) | (72,884,272) | (49,260,952) | (14,452,527) |
| Retained Surplus / (Deficit) carried forward to Balance sheet | | 26,672,949 | 20,883,216 | 23,253,540 | 14,716,079 | 14,797,015 | 12,175,637 |
| Notes to Accounts | 8 | | | | | | |

The schedules referred to the above and the notes to accounts form an integral part of the accounts.

As per our attached report of even date

For S. R. BATLIBOI & CO. LLP

Chartered Accountants
ICAI Firm Registration No: 301003E
Sd/-

per Shrawan Jalan

Partner
Membership No.: 102102

Mumbai, 19 June 2014

For and on behalf of Board of

DSP BlackRock Trustee Company Private Limited

Sd/-

Shitin D. Desai

Chairman

Mumbai, 19 June 2014

Sd/-

S. S. Thakur

Director

For and on behalf of Board of

DSP BlackRock Investment Managers Private Limited

Sd/-

Hemendra Kothari

Chairman

Sd/-

Naganath Sundaresan*

President & Chief Investment Officer

Mumbai, 13 June 2014

Sd/-

K. R. V. Subrahmanian

Director

Sd/-

Apoorva Shah*

Fund Manager

* Signed on June 11, 2014

Sd/-

Vinit Sambre

Fund Manager

Sd/-

Dhawal Dalal**

Fund Manager

** Signed on June 5, 2014

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF BALANCE SHEET AS AT MARCH 31, 2014

| Schedules | DSP BlackRock Dual Advantage Fund - Series 1 - 36M (DSPBRDAF - S1 - 36M) | | | | |
|--|---|-----------------------|--------------------------|--------------------|--------------------------|
| | As at 31.03.14 | | As at 31.03.13 | | |
| | Units | ₹ | Units | ₹ | |
| 1 UNIT CAPITAL Initial capital issued and subscribed: Units of ₹ 10 each fully paid up Outstanding: Opening balance New fund / plan offer Unit Capital at the end of the period Regular: Growth plan Regular: Dividend plan Direct: Growth plan Direct: Dividend plan Unit capital at the end of the period | | | | | |
| | 91,856,777.681 | 918,567,777 | 91,856,777.681 | 918,567,777 | |
| | 91,856,787.681 | 918,567,877 | 91,856,787.681 | 918,567,877 | |
| | - | - | - | - | |
| | 91,856,787.681 | 918,567,877 | 91,856,787.681 | 918,567,877 | |
| | 66,613,978.721 | 666,139,787 | 66,613,978.721 | 666,139,787 | |
| | 25,242,808.960 | 252,428,090 | 25,242,808.960 | 252,428,090 | |
| | - | - | - | - | |
| | - | - | - | - | |
| | 91,856,787.681 | 918,567,877 | 91,856,787.681 | 918,567,877 | |
| As at 31.03.14 | | As at 31.03.13 | | | |
| ₹ | | ₹ | | | |
| 2 RESERVES & SURPLUS / (NET DEFICIT) Unit Premium Reserve Opening balance Net premium on issue /redemption of units during the period Closing balance Retained Surplus Opening balance Less: (Surplus)/Deficit transferred to Revenue Account Add: Surplus/(Deficit) transferred from Revenue Account Closing balance Unrealised Appreciation on Investments Opening balance Net change in unrealised appreciation in value of investments transferred (to)/from revenue account Closing balance | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | 36,087,549 | | (4,531,027) | |
| | | (36,087,549) | | 4,531,027 | |
| | | 112,798,067 | | 36,087,549 | |
| | | 112,798,067 | | 36,087,549 | |
| | | | | | |
| | 1,334,140 | | - | | |
| | 80,722,936 | | 1,334,140 | | |
| | 82,057,076 | | 1,334,140 | | |
| | 194,855,143 | | 37,421,689 | | |
| As at 31.03.14 | | As at 31.03.13 | | | |
| ₹ | | ₹ | | | |
| 3 CURRENT LIABILITIES & PROVISIONS Sundry creditors Contracts for purchase of investments Provision for marked-to-market on equity derivatives Other liabilities | | | | | |
| | | 2,671,936 | | 2,664,921 | |
| | | - | | - | |
| | | - | | 26,295,334 | |
| | | 188,940 | | 119,930 | |
| | | 2,860,876 | | 29,080,185 | |
| | As at 31.03.14 | | As at 31.03.13 | | |
| | ₹ | | ₹ | | |
| | 4 INVESTMENTS (Refer Note 12 to Schedule 8) Equity Shares Listed or awaiting listing Preference Shares Listed or awaiting listing Bonds & Debentures Listed or awaiting listing Private placement / unlisted Certificate of Deposits (CD) | Cost | Market/Fair value | Cost | Market/Fair value |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| 715,421,151 | | 714,673,519 | 665,002,182 | 665,024,722 | |
| 85,000,000 | | 84,923,415 | 120,000,000 | 121,311,600 | |
| 16,181,980 | | 16,252,023 | - | - | |
| 816,603,131 | | 815,848,957 | 785,002,182 | 786,336,322 | |
| As at 31.03.14 | | As at 31.03.13 | | | |
| ₹ | | ₹ | | | |
| 5 DEPOSITS Initial margin money for equity derivatives | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| As at 31.03.14 | | As at 31.03.13 | | | |
| ₹ | | ₹ | | | |
| 6 OTHER CURRENT ASSETS Balances with banks in current account Contracts for sale of investments Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) Marked-to-market receivable for equity derivatives on Options Equity stock option premium Outstanding and accrued income Other receivable | | | | | |
| | | 265,959 | | 285,157 | |
| | | - | | - | |
| | | 2,196,149 | | 4,291,476 | |
| | | 81,987,042 | | - | |
| | | 181,458,592 | | 181,458,592 | |
| | | 34,527,197 | | 12,698,204 | |
| | | - | | - | |
| | | 300,434,939 | | 198,733,429 | |
| | As at 31.03.14 | | As at 31.03.13 | | |
| ₹ | | ₹ | | | |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF BALANCE SHEET AS AT MARCH 31, 2014

| Schedules | DSP BlackRock Dual Advantage Fund - Series 2 - 36M (DSPBRDAF - S2 - 36M) | | | |
|---|---|-----------------------|-----------------------|--------------------|
| | As at 31.03.14 | | As at 31.03.13 | |
| | Units | ₹ | Units | ₹ |
| 1 UNIT CAPITAL | | | | |
| Initial capital issued and subscribed: | | | | |
| Units of ₹ 10 each fully paid up | 74,791,311.334 | 747,913,113 | 74,791,311.334 | 747,913,113 |
| Outstanding: | | | | |
| Opening balance | 74,791,311.334 | 747,913,113 | 74,791,311.334 | 747,913,113 |
| New fund / plan offer | - | - | - | - |
| Unit Capital at the end of the period | 74,791,311.334 | 747,913,113 | 74,791,311.334 | 747,913,113 |
| Regular: Growth plan | 52,183,170.785 | 521,831,708 | 52,183,170.785 | 521,831,708 |
| Regular: Dividend plan | 22,608,140.549 | 226,081,405 | 22,608,140.549 | 226,081,405 |
| Direct: Growth plan | - | - | - | - |
| Direct: Dividend plan | - | - | - | - |
| Unit capital at the end of the period | 74,791,311.334 | 747,913,113 | 74,791,311.334 | 747,913,113 |
| | As at 31.03.14 | As at 31.03.13 | | |
| | ₹ | ₹ | | |
| 2 RESERVES & SURPLUS / (NET DEFICIT) | | | | |
| Unit Premium Reserve | | | | |
| Opening balance | - | - | - | - |
| Net premium on issue /redemption of units during the period | - | - | - | - |
| Closing balance | - | - | - | - |
| Retained Surplus | | | | |
| Opening balance | 36,063,529 | 1,372,922 | | |
| Less: (Surplus)/Deficit transferred to Revenue Account | (36,063,529) | (1,372,922) | | |
| Add: Surplus/(Deficit) transferred from Revenue Account | 89,144,775 | 36,063,529 | | |
| Closing balance | 89,144,775 | 36,063,529 | | |
| Unrealised Appreciation on Investments | | | | |
| Opening balance | 4,131,900 | 73,270,990 | | |
| Net change in unrealised appreciation in value of investments transferred (to)/from revenue account | 95,257,537 | (69,139,090) | | |
| Closing balance | 99,389,437 | 4,131,900 | | |
| | 188,534,212 | 40,195,429 | | |
| | As at 31.03.14 | As at 31.03.13 | | |
| | ₹ | ₹ | | |
| 3 CURRENT LIABILITIES & PROVISIONS | | | | |
| Sundry creditors | 2,205,886 | 2,197,290 | | |
| Contracts for purchase of investments | - | - | | |
| Provision for marked-to-market on equity derivatives | - | 11,035,300 | | |
| Other liabilities | 155,190 | 99,240 | | |
| | 2,361,076 | 13,331,830 | | |
| | As at 31.03.14 | As at 31.03.13 | | |
| | ₹ | ₹ | | |
| 4 INVESTMENTS | | | | |
| (Refer Note 12 to Schedule 8) | | | | |
| Equity Shares | | | | |
| Listed or awaiting listing | - | - | - | - |
| Preference Shares | | | | |
| Listed or awaiting listing | - | - | - | - |
| Bonds & Debentures | | | | |
| Listed or awaiting listing | 488,856,907 | 487,279,923 | 532,466,023 | 531,540,100 |
| Private placement / unlisted | 151,659,736 | 157,115,648 | 99,966,200 | 104,098,100 |
| Certificate of Deposits (CD) | 11,921,175 | 11,956,588 | - | - |
| | 652,437,818 | 656,352,159 | 632,432,223 | 635,638,200 |
| | As at 31.03.14 | As at 31.03.13 | | |
| | ₹ | ₹ | | |
| 5 DEPOSITS | | | | |
| Initial margin money for equity derivatives | - | - | - | - |
| | As at 31.03.14 | As at 31.03.13 | | |
| | ₹ | ₹ | | |
| 6 OTHER CURRENT ASSETS | | | | |
| Balances with banks in current account | 3,108,879 | 3,120,767 | | |
| Contracts for sale of investments | - | - | | |
| Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) | 2,695,274 | 12,375,419 | | |
| Marked-to-market receivable for equity derivatives on Options | 93,898,113 | - | | |
| Equity stock option premium | 149,891,800 | 149,891,800 | | |
| Outstanding and accrued income | 32,862,176 | 414,186 | | |
| Other receivable | - | - | | |
| | 282,456,242 | 165,802,172 | | |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF BALANCE SHEET AS AT MARCH 31, 2014

| Schedules | DSP BlackRock Dual Advantage Fund - Series 3 - 36M (DSPBRDAF - S3 - 36M) | | | |
|---|---|--------------------|-----------------------|--------------------|
| | As at 31.03.14 | | As at 31.03.13 | |
| | Units | ₹ | Units | ₹ |
| 1 UNIT CAPITAL | | | | |
| Initial capital issued and subscribed: | | | | |
| Units of ₹ 10 each fully paid up | 54,898,304.759 | 548,983,048 | 54,898,304.759 | 548,983,048 |
| Outstanding: | | | | |
| Opening balance | 54,898,304.759 | 548,983,048 | - | - |
| New fund / plan offer | - | - | 54,898,304.759 | 548,983,048 |
| Unit Capital at the end of the period | 54,898,304.759 | 548,983,048 | 54,898,304.759 | 548,983,048 |
| Regular: Growth plan | 43,142,899.665 | 431,428,997 | 43,142,899.665 | 431,428,997 |
| Regular: Dividend plan | 11,755,405.094 | 117,554,051 | 11,755,405.094 | 117,554,051 |
| Direct: Growth plan | - | - | - | - |
| Direct: Dividend plan | - | - | - | - |
| Unit capital at the end of the period | 54,898,304.759 | 548,983,048 | 54,898,304.759 | 548,983,048 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 2 RESERVES & SURPLUS / (NET DEFICIT) | | | | |
| Unit Premium Reserve | | | | |
| Opening balance | - | - | - | - |
| Net premium on issue /redemption of units during the period | - | - | - | - |
| Closing balance | - | - | - | - |
| Retained Surplus | | | | |
| Opening balance | | 36,281,210 | | - |
| Less: (Surplus)/Deficit transferred to Revenue Account | | (36,281,210) | | - |
| Add: Surplus/(Deficit) transferred from Revenue Account | | 85,704,680 | | 36,281,210 |
| Closing balance | | 85,704,680 | | 36,281,210 |
| Unrealised Appreciation on Investments | | | | |
| Opening balance | | 3,503,100 | | - |
| Net change in unrealised appreciation in value of investments transferred (to)/from revenue account | | 7,856,231 | | 3,503,100 |
| Closing balance | | 11,359,331 | | 3,503,100 |
| | | 97,064,011 | | 39,784,310 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 3 CURRENT LIABILITIES & PROVISIONS | | | | |
| Sundry creditors | | 1,507,803 | | 1,550,943 |
| Contracts for purchase of investments | | 4,552,195 | | - |
| Provision for marked-to-market on equity derivatives | | - | | - |
| Other liabilities | | 103,847 | | 70,826 |
| | | 6,163,845 | | 1,621,769 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 4 INVESTMENTS | | | | |
| (Refer Note 12 to Schedule 8) | | | | |
| Equity Shares | | | | |
| Listed or awaiting listing | 117,084,784 | 128,404,091 | 129,045,876 | 127,789,221 |
| Preference Shares | | | | |
| Listed or awaiting listing | - | - | - | - |
| Bonds & Debentures | | | | |
| Listed or awaiting listing | 445,781,040 | 444,439,755 | 299,150,900 | 302,654,000 |
| Private placement / unlisted | - | - | - | - |
| Certificate of Deposits (CD) | 9,246,846 | 9,286,870 | 98,406,839 | 98,345,000 |
| | 572,112,670 | 582,130,716 | 526,603,615 | 528,788,221 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 5 DEPOSITS | | | | |
| Initial margin money for equity derivatives | - | - | - | 5,232,427 |
| | - | - | - | 5,232,427 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 6 OTHER CURRENT ASSETS | | | | |
| Balances with banks in current account | | 1,304,050 | | 412,011 |
| Contracts for sale of investments | | 4,007,910 | | 5,110,121 |
| Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) | | 37,234,712 | | 30,738,943 |
| Marked-to-market receivable for equity derivatives on Options | | - | | - |
| Equity stock option premium | | - | | - |
| Outstanding and accrued income | | 27,533,516 | | 20,107,404 |
| Other receivable | | - | | - |
| | | 70,080,188 | | 56,368,479 |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF BALANCE SHEET AS AT MARCH 31, 2014

| Schedules | DSP BlackRock Dual Advantage Fund - Series 4 - 36M (DSPBRDAF - S4 - 36M) | | | | |
|--|--|-----------------------|--------------------------|--------------------|--------------------------|
| | As at 31.03.14 | | As at 31.03.13 | | |
| | Units | ₹ | Units | ₹ | |
| 1 UNIT CAPITAL Initial capital issued and subscribed: Units of ₹ 10 each fully paid up Outstanding: Opening balance New fund / plan offer Unit Capital at the end of the period Regular: Growth plan Regular: Dividend plan Direct: Growth plan Direct: Dividend plan Unit capital at the end of the period | | | | | |
| | 86,216,885.688 | 862,168,857 | 86,216,885.688 | 862,168,857 | |
| | 86,216,885.688 | 862,168,857 | - | - | |
| | - | - | 86,216,885.688 | 862,168,857 | |
| | 86,216,885.688 | 862,168,857 | 86,216,885.688 | 862,168,857 | |
| | 61,447,622.622 | 614,476,226 | 61,447,622.622 | 614,476,226 | |
| | 24,769,263.066 | 247,692,631 | 24,769,263.066 | 247,692,631 | |
| | - | - | - | - | |
| | - | - | - | - | |
| | 86,216,885.688 | 862,168,857 | 86,216,885.688 | 862,168,857 | |
| | As at 31.03.14 | ₹ | As at 31.03.13 | ₹ | |
| 2 RESERVES & SURPLUS / (NET DEFICIT) Unit Premium Reserve Opening balance Net premium on issue /redemption of units during the period Closing balance Retained Surplus Opening balance Less: (Surplus)/Deficit transferred to Revenue Account Add: Surplus/(Deficit) transferred from Revenue Account Closing balance Unrealised Appreciation on Investments Opening balance Net change in unrealised appreciation in value of investments transferred (to)/from revenue account Closing balance | | | | | |
| | - | - | - | - | |
| | - | - | - | - | |
| | - | - | - | - | |
| | | 34,500,910 | | - | |
| | | (34,500,910) | | - | |
| | | 79,810,279 | | 34,500,910 | |
| | Closing balance | 79,810,279 | | 34,500,910 | |
| | | 77,751,950 | | - | |
| | | 80,237,874 | | 77,751,950 | |
| | Closing balance | 157,989,824 | | 77,751,950 | |
| | 237,800,103 | | 112,252,860 | | |
| As at 31.03.14 | ₹ | As at 31.03.13 | ₹ | | |
| 3 CURRENT LIABILITIES & PROVISIONS Sundry creditors Contracts for purchase of investments Provision for marked-to-market on equity derivatives Other liabilities | | 2,606,580 | | 2,593,267 | |
| | | - | | - | |
| | | - | | - | |
| | | 184,180 | | 118,050 | |
| | Closing balance | 2,790,760 | | 2,711,317 | |
| | As at 31.03.14 | ₹ | As at 31.03.13 | ₹ | |
| | 4 INVESTMENTS (Refer Note 12 to Schedule 8) Equity Shares Listed or awaiting listing Preference Shares Listed or awaiting listing Bonds & Debentures Listed or awaiting listing Private placement / unlisted Certificate of Deposits (CD) | Cost | Market/Fair value | Cost | Market/Fair value |
| | | | | | |
| | | - | - | - | - |
| | | - | - | - | - |
| | | 708,820,000 | 705,773,120 | 525,817,725 | 530,534,725 |
| - | | - | - | - | |
| 9,246,846 | | 9,286,870 | 146,749,928 | 146,628,450 | |
| 718,066,846 | | 715,059,990 | 672,567,653 | 677,163,175 | |
| As at 31.03.14 | | ₹ | As at 31.03.13 | ₹ | |
| 5 DEPOSITS Initial margin money for equity derivatives | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | As at 31.03.14 | ₹ | As at 31.03.13 | ₹ | |
| | 6 OTHER CURRENT ASSETS Balances with banks in current account Contracts for sale of investments Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) Marked-to-market receivable for equity derivatives on Options Equity stock option premium Outstanding and accrued income Other receivable | | 301,566 | | 287,578 |
| | | | - | | - |
| | | | 3,094,574 | | 13,573,040 |
| | | | 157,949,800 | | 73,034,950 |
| | | | 171,992,750 | | 171,992,750 |
| | | | 54,361,040 | | 41,081,541 |
| | | - | | - | |
| Closing balance | | 387,699,730 | | 299,969,859 | |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF BALANCE SHEET AS AT MARCH 31, 2014

| Schedules | DSP BlackRock Dual Advantage Fund - Series 5 - 36M (DSPBRDAF - S5 - 36M) | | | |
|---|---|----------------------|------------------------|----------------------|
| | As at 31.03.14 | | As at 31.03.13 | |
| | Units | ₹ | Units | ₹ |
| 1 UNIT CAPITAL | | | | |
| Initial capital issued and subscribed: | | | | |
| Units of ₹ 10 each fully paid up | 103,624,158.582 | 1,036,241,586 | 103,624,158.582 | 1,036,241,586 |
| Outstanding: | | | | |
| Opening balance | 103,624,158.582 | 1,036,241,586 | - | - |
| New fund / plan offer | - | - | 103,624,158.582 | 1,036,241,586 |
| Unit Capital at the end of the period | 103,624,158.582 | 1,036,241,586 | 103,624,158.582 | 1,036,241,586 |
| Regular: Growth plan | 83,509,991.776 | 835,099,918 | 83,509,991.776 | 835,099,918 |
| Regular: Dividend plan | 20,114,166.806 | 201,141,668 | 20,114,166.806 | 201,141,668 |
| Direct: Growth plan | - | - | - | - |
| Direct: Dividend plan | - | - | - | - |
| Unit capital at the end of the period | 103,624,158.582 | 1,036,241,586 | 103,624,158.582 | 1,036,241,586 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 2 RESERVES & SURPLUS / (NET DEFICIT) | | | | |
| Unit Premium Reserve | | | | |
| Opening balance | - | - | - | - |
| Net premium on issue /redemption of units during the period | - | - | - | - |
| Closing balance | - | - | - | - |
| Retained Surplus | | | | |
| Opening balance | 38,073,478 | - | - | - |
| Less: (Surplus)/Deficit transferred to Revenue Account | (38,073,478) | - | - | - |
| Add: Surplus/(Deficit) transferred from Revenue Account | 93,789,488 | - | 38,073,478 | - |
| Closing balance | 93,789,488 | | 38,073,478 | |
| Unrealised Appreciation on Investments | | | | |
| Opening balance | 15,438,700 | - | - | - |
| Net change in unrealised appreciation in value of investments transferred (to)/from revenue account | 129,144,486 | - | 15,438,700 | - |
| Closing balance | 144,583,186 | | 15,438,700 | |
| | 238,372,674 | | 53,512,178 | |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 3 CURRENT LIABILITIES & PROVISIONS | | | | |
| Sundry creditors | 5,096,911 | - | 3,128,708 | - |
| Contracts for purchase of investments | - | - | - | - |
| Provision for marked-to-market on equity derivatives | - | - | - | - |
| Other liabilities | 220,360 | - | 135,040 | - |
| | 5,317,271 | | 3,263,748 | |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 4 INVESTMENTS | | | | |
| (Refer Note 12 to Schedule 8) | | | | |
| Equity Shares | | | | |
| Listed or awaiting listing | - | - | - | - |
| Preference Shares | | | | |
| Listed or awaiting listing | - | - | - | - |
| Bonds & Debentures | | | | |
| Listed or awaiting listing | 862,964,108 | 860,255,792 | 797,482,985 | 803,310,335 |
| Private placement / unlisted | - | - | - | - |
| Certificate of Deposits (CD) | | | | |
| | 13,870,269 | 13,930,305 | - | - |
| | 876,834,377 | 874,186,097 | 797,482,985 | 803,310,335 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 5 DEPOSITS | | | | |
| Initial margin money for equity derivatives | - | - | - | - |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 6 OTHER CURRENT ASSETS | | | | |
| Balances with banks in current account | 347,377 | - | 330,921 | - |
| Contracts for sale of investments | - | - | - | - |
| Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) | 399,300 | - | 20,658,965 | - |
| Marked-to-market receivable for equity derivatives on Options | 144,523,150 | - | 9,611,350 | - |
| Equity stock option premium | 205,842,650 | - | 205,842,650 | - |
| Outstanding and accrued income | 54,632,957 | - | 53,263,291 | - |
| Other receivable | - | - | - | - |
| | 405,745,434 | | 289,707,177 | |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF BALANCE SHEET AS AT MARCH 31, 2014

| Schedules | DSP BlackRock Dual Advantage Fund - Series 11 - 36M (DSPBRDAF - S11 - 36M) | | | |
|---|---|----------------------|------------------------|----------------------|
| | As at 31.03.14 | | As at 31.03.13 | |
| | Units | ₹ | Units | ₹ |
| 1 UNIT CAPITAL Initial capital issued and subscribed: Units of ₹ 10 each fully paid up Outstanding: Opening balance New fund / plan offer Unit Capital at the end of the period Regular: Growth plan Regular: Dividend plan Direct: Growth plan Direct: Dividend plan Unit capital at the end of the period | | | | |
| | 105,310,795.488 | 1,053,107,956 | 105,310,795.488 | 1,053,107,956 |
| | 105,310,795.488 | 1,053,107,956 | - | - |
| | - | - | 105,310,795.488 | 1,053,107,956 |
| | 105,310,795.488 | 1,053,107,956 | 105,310,795.488 | 1,053,107,956 |
| | 85,296,957.376 | 852,969,574 | 85,296,957.376 | 852,969,574 |
| | 18,973,619.861 | 189,736,199 | 18,973,619.861 | 189,736,199 |
| | 988,518.251 | 9,885,183 | 988,518.251 | 9,885,183 |
| | 51,700.000 | 517,000 | 51,700.000 | 517,000 |
| | 105,310,795.488 | 1,053,107,956 | 105,310,795.488 | 1,053,107,956 |
| | | | | |
| | 2 RESERVES & SURPLUS / (NET DEFICIT) Unit Premium Reserve Opening balance Net premium on issue / redemption of units during the period Closing balance Retained Surplus Opening balance Less: (Surplus)/Deficit transferred to Revenue Account Add: Surplus/(Deficit) transferred from Revenue Account Closing balance Unrealised Appreciation on Investments Opening balance Net change in unrealised appreciation in value of investments transferred (to)/from revenue account Closing balance | | | |
| | | | | |
| - | | - | - | - |
| - | | - | - | - |
| | | | | |
| | | 8,070,355 | | - |
| | | (8,070,355) | | - |
| | | 68,439,579 | | 8,070,355 |
| | | 68,439,579 | | 8,070,355 |
| | | | | |
| | | 285,370 | | - |
| | | 64,447,251 | | 285,370 |
| | 64,732,621 | | 285,370 | |
| | 133,172,200 | | 8,355,725 | |
| | | | | |
| 3 CURRENT LIABILITIES & PROVISIONS Sundry creditors Contracts for purchase of investments Provision for marked-to-market on equity derivatives Other liabilities | | | | |
| | | 1,854,265 | | 2,449,818 |
| | | - | | - |
| | | - | | 3,767,500 |
| | | 109,170 | | 57,570 |
| | | 1,963,435 | | 6,274,888 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | 4 INVESTMENTS (Refer Note 12 to Schedule 8) Equity Shares Listed or awaiting listing Preference Shares Listed or awaiting listing Bonds & Debentures Listed or awaiting listing Private placement / unlisted Certificate of Deposits (CD) | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | 851,911,860 | 844,448,900 | 821,978,430 |
| | | - | - | - |
| | | 53,642,734 | 53,693,045 | 18,818,503 |
| | | 905,554,594 | 898,141,945 | 840,796,933 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| 5 DEPOSITS Initial margin money for equity derivatives | | | | |
| | | 100,000 | | 60,095 |
| | | 100,000 | | 60,095 |
| | | | | |
| 6 OTHER CURRENT ASSETS Balances with banks in current account Contracts for sale of investments Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) Marked-to-market receivable for equity derivatives on Options Equity stock option premium Outstanding and accrued income Other receivable | | | | |
| | | 262,322 | | 278,212 |
| | | - | | - |
| | | 1,796,849 | | 4,990,088 |
| | | 64,682,310 | | - |
| | | 199,939,905 | | 199,939,905 |
| | | 23,320,260 | | 21,407,929 |
| | | - | | - |
| | | 290,001,646 | | 226,616,134 |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF BALANCE SHEET AS AT MARCH 31, 2014

| Schedules | DSP BlackRock Dual Advantage Fund - Series 13 - 35M (DSPBRDAF - S13 - 35M) | | | |
|---|---|--------------------|-----------------------|---------------------|
| | As at 31.03.14 | | As at 31.03.13 | |
| | Units | ₹ | Units | ₹ |
| 1 UNIT CAPITAL | | | | |
| Initial capital issued and subscribed: | | | | |
| Units of ₹ 10 each fully paid up | 65,545,448.747 | 655,454,488 | 65,545,448.747 | 655,454,488 |
| Outstanding: | | | | |
| Opening balance | 65,545,448.747 | 655,454,488 | - | - |
| New fund / plan offer | - | - | 65,545,448.747 | 655,454,488 |
| Unit Capital at the end of the period | 65,545,448.747 | 655,454,488 | 65,545,448.747 | 655,454,488 |
| Regular: Growth plan | 53,826,007.666 | 538,260,077 | 53,826,007.666 | 538,260,077 |
| Regular: Dividend plan | 11,607,062.406 | 116,070,624 | 11,607,062.406 | 116,070,624 |
| Direct: Growth plan | 108,378.675 | 1,083,787 | 108,378.675 | 1,083,787 |
| Direct: Dividend plan | 4,000.000 | 40,000 | 4,000.000 | 40,000 |
| Unit capital at the end of the period | 65,545,448.747 | 655,454,488 | 65,545,448.747 | 655,454,488 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 2 RESERVES & SURPLUS / (NET DEFICIT) | | | | |
| Unit Premium Reserve | | | | |
| Opening balance | - | - | - | - |
| Net premium on issue /redemption of units during the period | - | - | - | - |
| Closing balance | - | - | - | - |
| Retained Surplus | | | | |
| Opening balance | (19,688,614) | - | - | - |
| Less: (Surplus)/Deficit transferred to Revenue Account | 19,688,614 | - | - | - |
| Add: Surplus/(Deficit) transferred from Revenue Account | 39,429,727 | (19,688,614) | (19,688,614) | (19,688,614) |
| Closing balance | 39,429,727 | | (19,688,614) | |
| Unrealised Appreciation on Investments | | | | |
| Opening balance | | 1,859,730 | | - |
| Net change in unrealised appreciation in value of investments transferred (to)/from revenue account | | 42,081,637 | | 1,859,730 |
| Closing balance | | 43,941,367 | | 1,859,730 |
| | | 83,371,094 | | (17,828,884) |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 3 CURRENT LIABILITIES & PROVISIONS | | | | |
| Sundry creditors | | 1,479,990 | | 1,050,739 |
| Contracts for purchase of investments | | - | | - |
| Provision for marked-to-market on equity derivatives | | - | | 23,654,850 |
| Other liabilities | | 98,240 | | 26,160 |
| | | 1,578,230 | | 24,731,749 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 4 INVESTMENTS | | | | |
| (Refer Note 12 to Schedule 8) | | | | |
| Equity Shares | | | | |
| Listed or awaiting listing | - | - | - | - |
| Preference Shares | | | | |
| Listed or awaiting listing | - | - | - | - |
| Bonds & Debentures | | | | |
| Listed or awaiting listing | 535,378,600 | 532,013,130 | 525,400,790 | 527,260,520 |
| Private placement / unlisted | - | - | - | - |
| Certificate of Deposits (CD) | | | | |
| | 18,616,768 | 18,653,440 | - | - |
| | 553,995,368 | 550,666,570 | 525,400,790 | 527,260,520 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 5 DEPOSITS | | | | |
| Initial margin money for equity derivatives | | 2,300,000 | | 2,235,850 |
| | | 2,300,000 | | 2,235,850 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 6 OTHER CURRENT ASSETS | | | | |
| Balances with banks in current account | | 341,053 | | 358,806 |
| Contracts for sale of investments | | - | | - |
| Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) | | 2,695,274 | | 1,297,423 |
| Marked-to-market receivable for equity derivatives on Options | | 43,904,695 | | - |
| Equity stock option premium | | 116,764,150 | | 116,764,150 |
| Outstanding and accrued income | | 23,732,070 | | 14,440,154 |
| Other receivable | | - | | 450 |
| | | 187,437,242 | | 132,860,983 |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF BALANCE SHEET AS AT MARCH 31, 2014

| Schedules | DSP BlackRock RGESS Fund - Series 1 (DSPBRRGESS - Series 1) | | | |
|---|--|--------------------|-----------------------|---------------------|
| | As at 31.03.14 | | As at 31.03.13 | |
| | Units | ₹ | Units | ₹ |
| 1 UNIT CAPITAL | | | | |
| Initial capital issued and subscribed: | | | | |
| Units of ₹ 10 each fully paid up | 42,023,941.426 | 420,239,414 | 42,023,941.426 | 420,239,414 |
| Outstanding: | | | | |
| Opening balance | 42,023,941.426 | 420,239,414 | - | - |
| New fund / plan offer | - | - | 42,023,941.426 | 420,239,414 |
| Unit Capital at the end of the period | 42,023,941.426 | 420,239,414 | 42,023,941.426 | 420,239,414 |
| Regular: Growth plan | 30,160,481.023 | 301,604,810 | 30,160,481.023 | 301,604,810 |
| Regular: Dividend plan | 11,748,759.649 | 117,487,596 | 11,748,759.649 | 117,487,596 |
| Direct: Growth plan | 88,700.754 | 887,008 | 88,700.754 | 887,008 |
| Direct: Dividend plan | 26,000.000 | 260,000 | 26,000.000 | 260,000 |
| Unit capital at the end of the period | 42,023,941.426 | 420,239,414 | 42,023,941.426 | 420,239,414 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 2 RESERVES & SURPLUS / (NET DEFICIT) | | | | |
| Unit Premium Reserve | | | | |
| Opening balance | | - | | - |
| Net premium on issue /redemption of units during the period | | - | | - |
| Closing balance | | - | | - |
| Retained Surplus | | | | |
| Opening balance | | (14,386,256) | | - |
| Less: (Surplus)/Deficit transferred to Revenue Account | | 14,386,256 | | - |
| Add: Surplus/(Deficit) transferred from Revenue Account | | 11,029,490 | | (14,386,256) |
| Closing balance | | 11,029,490 | | (14,386,256) |
| Unrealised Appreciation on Investments | | | | |
| Opening balance | | - | | - |
| Net change in unrealised appreciation in value of investments transferred (to)/from revenue account | | 44,281,539 | | - |
| Closing balance | | 44,281,539 | | - |
| | | 55,311,029 | | (14,386,256) |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 3 CURRENT LIABILITIES & PROVISIONS | | | | |
| Sundry creditors | | 1,315,036 | | 328,545 |
| Contracts for purchase of investments | | 33,393,903 | | 3,629,817 |
| Provision for marked-to-market on equity derivatives | | - | | - |
| Other liabilities | | 114,868 | | 205,547 |
| | | 34,823,807 | | 4,163,909 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 4 INVESTMENTS | | | | |
| (Refer Note 12 to Schedule 8) | | | | |
| Equity Shares | | | | |
| Listed or awaiting listing | 414,372,650 | 458,272,490 | 372,714,681 | 359,669,871 |
| Preference Shares | | | | |
| Listed or awaiting listing | - | 381,699 | - | - |
| Bonds & Debentures | | | | |
| Listed or awaiting listing | - | - | - | - |
| Private placement / unlisted | - | - | - | - |
| Certificate of Deposits (CD) | | | | |
| | - | - | - | - |
| | 414,372,650 | 458,654,189 | 372,714,681 | 359,669,871 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 5 DEPOSITS | | | | |
| Initial margin money for equity derivatives | | - | | - |
| | | - | | - |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 6 OTHER CURRENT ASSETS | | | | |
| Balances with banks in current account | | 8,907,640 | | 1,516,626 |
| Contracts for sale of investments | | 27,966,348 | | 3,845,660 |
| Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) | | 14,480,408 | | 44,924,551 |
| Marked-to-market receivable for equity derivatives on Options | | - | | - |
| Equity stock option premium | | - | | - |
| Outstanding and accrued income | | 365,665 | | 60,359 |
| Other receivable | | - | | - |
| | | 51,720,061 | | 50,347,196 |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF BALANCE SHEET AS AT MARCH 31, 2014

| Schedules | DSP BlackRock Dual Advantage Fund - Series 14 - 33M (DSPBRDAF - S14 - 33M) | | DSP BlackRock Dual Advantage Fund - Series 15 - 36M (DSPBRDAF - S15 - 36M) | |
|---|--|--------------------|--|--------------------|
| | As at 31.03.14 | | As at 31.03.14 | |
| | Units | ₹ | Units | ₹ |
| 1 UNIT CAPITAL | | | | |
| Initial capital issued and subscribed: Units of ₹ 10 each fully paid up | 64,901,140.035 | 649,011,400 | 70,472,120.060 | 704,721,201 |
| Outstanding: | | | | |
| Opening balance | - | - | - | - |
| New fund / plan offer | 64,901,140.035 | 649,011,400 | 70,472,120.060 | 704,721,201 |
| Unit Capital at the end of the period | 64,901,140.035 | 649,011,400 | 70,472,120.060 | 704,721,201 |
| Regular: Growth plan | 58,591,793.820 | 585,917,938 | 65,303,948.605 | 653,039,486 |
| Regular: Dividend plan | 5,903,096.215 | 59,030,962 | 4,693,596.291 | 46,935,963 |
| Direct: Growth plan | 378,250.000 | 3,782,500 | 462,867.009 | 4,628,670 |
| Direct: Dividend plan | 28,000.000 | 280,000 | 11,708.155 | 117,082 |
| Unit capital at the end of the period | 64,901,140.035 | 649,011,400 | 70,472,120.060 | 704,721,201 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 2 RESERVES & SURPLUS / (NET DEFICIT) | | | | |
| Unit Premium Reserve | | | | |
| Opening balance | - | - | - | - |
| Net premium on issue / redemption of units during the period | - | - | - | - |
| Closing balance | - | - | - | - |
| Retained Surplus | | | | |
| Opening balance | - | - | - | - |
| Less: (Surplus)/Deficit transferred to Revenue Account | - | - | - | - |
| Add: Surplus/(Deficit) transferred from Revenue Account | | 26,672,949 | | 20,883,216 |
| Closing balance | | 26,672,949 | | 20,883,216 |
| Unrealised Appreciation on Investments | | | | |
| Opening balance | - | - | - | - |
| Net change in unrealised appreciation in value of investments transferred (to)/from revenue account | | 62,161,436 | | 58,677,710 |
| Closing balance | | 62,161,436 | | 58,677,710 |
| | | 88,834,385 | | 79,560,926 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 3 CURRENT LIABILITIES & PROVISIONS | | | | |
| Sundry creditors | | 1,228,615 | | 1,280,317 |
| Contracts for purchase of investments | | - | | - |
| Provision for marked-to-market on equity derivatives | | - | | - |
| Other liabilities | | 75,630 | | 79,720 |
| | | 1,304,245 | | 1,360,037 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 4 INVESTMENTS | | | | |
| (Refer Note 12 to Schedule 8) | | | | |
| Equity Shares | | | | |
| Listed or awaiting listing | - | - | - | - |
| Preference Shares | | | | |
| Listed or awaiting listing | - | - | - | - |
| Bonds & Debentures | | | | |
| Listed or awaiting listing | 545,832,478 | 537,020,021 | 578,595,291 | 564,955,805 |
| Private placement / unlisted | - | - | - | - |
| Certificate of Deposits (CD) | | | | |
| | 6,935,134 | 6,965,153 | - | - |
| | 552,767,612 | 543,985,174 | 578,595,291 | 564,955,805 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 5 DEPOSITS | | | | |
| Initial margin money for equity derivatives | | 100,000 | | 28,710 |
| | | 100,000 | | 28,710 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 6 OTHER CURRENT ASSETS | | | | |
| Balances with banks in current account | | 324,373 | | 279,149 |
| Contracts for sale of investments | | - | | - |
| Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) | | 2,395,799 | | 4,392,298 |
| Marked-to-market receivable for equity derivatives on Options | | 62,131,418 | | 58,677,710 |
| Equity stock option premium | | 109,957,358 | | 129,971,290 |
| Outstanding and accrued income | | 20,255,908 | | 27,337,202 |
| Other receivable | | - | | - |
| | | 195,064,856 | | 220,657,649 |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF BALANCE SHEET AS AT MARCH 31, 2014

| Schedules | DSP BlackRock Dual Advantage Fund - Series 16 - 36M (DSPBRDAF - S16 - 36M) As at 31.03.14 | | DSP BlackRock Dual Advantage Fund - Series 17 - 35M (DSPBRDAF - S17 - 35M) As at 31.03.14 | |
|---|---|--------------------|---|--------------------|
| | Units | ₹ | Units | ₹ |
| | | | | |
| 1 UNIT CAPITAL | | | | |
| Initial capital issued and subscribed: | | | | |
| Units of ₹ 10 each fully paid up | 74,280,501.939 | 742,805,019 | 41,989,844.365 | 419,898,444 |
| Outstanding: | | | | |
| Opening balance | - | - | - | - |
| New fund / plan offer | 74,280,501.939 | 742,805,019 | 41,989,844.365 | 419,898,444 |
| Unit Capital at the end of the period | 74,280,501.939 | 742,805,019 | 41,989,844.365 | 419,898,444 |
| Regular: Growth plan | 69,048,048.305 | 690,480,483 | 38,966,221.408 | 389,662,214 |
| Regular: Dividend plan | 5,160,853.634 | 51,608,536 | 2,834,062.957 | 28,340,630 |
| Direct: Growth plan | 71,600.000 | 716,000 | 189,560.000 | 1,895,600 |
| Direct: Dividend plan | - | - | - | - |
| Unit capital at the end of the period | 74,280,501.939 | 742,805,019 | 41,989,844.365 | 419,898,444 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 2 RESERVES & SURPLUS / (NET DEFICIT) | | | | |
| Unit Premium Reserve | | | | |
| Opening balance | - | - | - | - |
| Net premium on issue / redemption of units during the period | - | - | - | - |
| Closing balance | - | - | - | - |
| Retained Surplus | | | | |
| Opening balance | - | - | - | - |
| Less: (Surplus)/Deficit transferred to Revenue Account | - | - | - | - |
| Add: Surplus/(Deficit) transferred from Revenue Account | | 23,253,540 | | 14,716,079 |
| Closing balance | | 23,253,540 | | 14,716,079 |
| Unrealised Appreciation on Investments | | | | |
| Opening balance | - | - | - | - |
| Net change in unrealised appreciation in value of investments transferred (to)/from revenue account | | 95,486,835 | | 72,884,272 |
| Closing balance | | 95,486,835 | | 72,884,272 |
| | 118,740,375 | | 87,600,351 | |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 3 CURRENT LIABILITIES & PROVISIONS | | | | |
| Sundry creditors | | 1,355,437 | | 783,265 |
| Contracts for purchase of investments | | - | | - |
| Provision for marked-to-market on equity derivatives | | - | | - |
| Other liabilities | | 84,270 | | 48,370 |
| | | 1,439,707 | | 831,635 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 4 INVESTMENTS | | | | |
| (Refer Note 12 to Schedule 8) | | | | |
| Equity Shares | | | | |
| Listed or awaiting listing | - | - | - | - |
| Preference Shares | | | | |
| Listed or awaiting listing | - | - | - | - |
| Bonds & Debentures | | | | |
| Listed or awaiting listing | 603,333,669 | 595,140,030 | 328,873,316 | 338,421,410 |
| Private placement / unlisted | - | - | - | - |
| Certificate of Deposits (CD) | | | | |
| | 603,333,669 | 595,140,030 | 328,873,316 | 338,421,410 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 5 DEPOSITS | | | | |
| Initial margin money for equity derivatives | | 18,520 | | 472,355 |
| | | 18,520 | | 472,355 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 6 OTHER CURRENT ASSETS | | | | |
| Balances with banks in current account | | 354,878 | | 287,872 |
| Contracts for sale of investments | | - | | - |
| Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) | | 3,394,049 | | 3,992,999 |
| Marked-to-market receivable for equity derivatives on Options | | 95,486,835 | | 63,336,178 |
| Equity stock option premium | | 129,981,480 | | 82,027,645 |
| Outstanding and accrued income | | 38,609,309 | | 19,791,971 |
| Other receivable | | - | | - |
| | | 267,826,551 | | 169,436,665 |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF BALANCE SHEET AS AT MARCH 31, 2014

| Schedules | DSP BlackRock Dual Advantage Fund - Series 18 - 34M (DSPBRDAF - S18 - 34M) As at 31.03.14 | | DSP BlackRock Dual Advantage Fund - Series 19 - 36M (DSPBRDAF - S19 - 36M) As at 31.03.14 | |
|---|--|--------------------|--|--------------------|
| | Units | ₹ | Units | ₹ |
| | | | | |
| 1 UNIT CAPITAL | | | | |
| Initial capital issued and subscribed: | | | | |
| Units of ₹ 10 each fully paid up | 56,941,471.183 | 569,414,712 | 57,756,920.179 | 577,569,202 |
| Outstanding: | | | | |
| Opening balance | - | - | - | - |
| New fund / plan offer | 56,941,471.183 | 569,414,712 | 57,756,920.179 | 577,569,202 |
| Unit Capital at the end of the period | 56,941,471.183 | 569,414,712 | 57,756,920.179 | 577,569,202 |
| Regular: Growth plan | 52,780,832.812 | 527,808,328 | 53,723,972.338 | 537,239,723 |
| Regular: Dividend plan | 3,185,356.895 | 31,853,569 | 3,870,497.169 | 38,704,972 |
| Direct: Growth plan | 974,281.476 | 9,742,815 | 161,650.672 | 1,616,507 |
| Direct: Dividend plan | 1,000.000 | 10,000 | 800.000 | 8,000 |
| Unit capital at the end of the period | 56,941,471.183 | 569,414,712 | 57,756,920.179 | 577,569,202 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 2 RESERVES & SURPLUS / (NET DEFICIT) | | | | |
| Unit Premium Reserve | | | | |
| Opening balance | - | - | - | - |
| Net premium on issue /redemption of units during the period | - | - | - | - |
| Closing balance | - | - | - | - |
| Retained Surplus | | | | |
| Opening balance | - | - | - | - |
| Less: (Surplus)/Deficit transferred to Revenue Account | - | - | - | - |
| Add: Surplus/(Deficit) transferred from Revenue Account | - | 14,797,015 | - | 12,175,637 |
| Closing balance | - | 14,797,015 | - | 12,175,637 |
| Unrealised Appreciation on Investments | | | | |
| Opening balance | - | - | - | - |
| Net change in unrealised appreciation in value of investments transferred (to)/from revenue account | - | 49,260,952 | - | 14,452,527 |
| Closing balance | - | 49,260,952 | - | 14,452,527 |
| | | 64,057,967 | | 26,628,164 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 3 CURRENT LIABILITIES & PROVISIONS | | | | |
| Sundry creditors | | 1,102,065 | | 1,032,129 |
| Contracts for purchase of investments | | - | | - |
| Provision for marked-to-market on equity derivatives | | - | | - |
| Other liabilities | | 74,040 | | 69,372 |
| | | 1,176,105 | | 1,101,501 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 4 INVESTMENTS | | | | |
| (Refer Note 12 to Schedule 8) | | | | |
| Equity Shares | | | | |
| Listed or awaiting listing | - | - | 134,945,850 | 149,378,671 |
| Preference Shares | | | | |
| Listed or awaiting listing | - | - | - | - |
| Bonds & Debentures | | | | |
| Listed or awaiting listing | 450,919,093 | 454,532,010 | 426,611,550 | 425,756,275 |
| Private placement / unlisted | - | - | - | - |
| Certificate of Deposits (CD) | | | | |
| | - | - | 9,569,234 | 9,588,940 |
| | 450,919,093 | 454,532,010 | 571,126,634 | 584,723,886 |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 5 DEPOSITS | | | | |
| Initial margin money for equity derivatives | | 58,185 | | - |
| | | 58,185 | | - |
| | As at 31.03.14 | | As at 31.03.13 | |
| | ₹ | | ₹ | |
| 6 OTHER CURRENT ASSETS | | | | |
| Balances with banks in current account | | 336,150 | | 284,100 |
| Contracts for sale of investments | | - | | - |
| Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) | | 2,495,624 | | 399,300 |
| Marked-to-market receivable for equity derivatives on Options | | 45,648,035 | | - |
| Equity stock option premium | | 102,441,815 | | - |
| Outstanding and accrued income | | 29,136,965 | | 19,891,581 |
| Other receivable | | - | | - |
| | | 180,058,589 | | 20,574,981 |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF BALANCE SHEET AS AT MARCH 31, 2014

| | | DSP BlackRock Dual Advantage Fund - Series 1 - 36M (DSPBRDAF - S1 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 2 - 36M (DSPBRDAF - S2 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 3 - 36M (DSPBRDAF - S3 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 4 - 36M (DSPBRDAF - S4 - 36M) | |
|----------|-------------------------------|--|---------------------|--|---------------------|--|-----------------------|--|-----------------------|
| | | Year ended 31.03.14 | Year ended 31.03.13 | Year ended 31.03.14 | Year ended 31.03.13 | Year ended 31.03.14 | Period ended 31.03.13 | Year ended 31.03.14 | Period ended 31.03.13 |
| | | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ |
| 7 | INTEREST | | | | | | | | |
| | Reverse Repo / CBLO | 462,314 | 277,490 | 389,425 | 573,319 | 1,680,823 | 3,248,303 | 703,533 | 3,498,940 |
| | Securities and fixed deposits | 76,670,194 | 78,496,190 | 62,136,985 | 62,061,094 | 41,304,142 | 31,547,063 | 67,963,319 | 46,601,791 |
| | | 77,132,508 | 78,773,680 | 62,526,410 | 62,634,413 | 42,984,965 | 34,795,366 | 68,666,852 | 50,100,731 |

| | | DSP BlackRock Dual Advantage Fund - Series 5 - 36M (DSPBRDAF - S5 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 11 - 36M (DSPBRDAF - S11 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 13 - 35M (DSPBRDAF - S13 - 35M) | | DSP BlackRock RGESS Fund - Series 1 (DSPBRRGESS - Series 1) | |
|----------|-------------------------------|--|-----------------------|--|-----------------------|--|-----------------------|---|-----------------------|
| | | Year ended 31.03.14 | Period ended 31.03.13 | Year ended 31.03.14 | Period ended 31.03.13 | Year ended 31.03.14 | Period ended 31.03.13 | Year ended 31.03.14 | Period ended 31.03.13 |
| | | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ |
| 7 | INTEREST | | | | | | | | |
| | Reverse Repo / CBLO | 625,686 | 1,331,422 | 576,351 | 732,038 | 275,631 | 725,218 | 759,668 | 786,864 |
| | Securities and fixed deposits | 82,659,764 | 53,256,015 | 81,336,941 | 14,214,577 | 51,589,378 | 4,377,060 | - | - |
| | | 83,285,450 | 54,587,437 | 81,913,292 | 14,946,615 | 51,865,009 | 5,102,278 | 759,668 | 786,864 |

| | | DSP BlackRock Dual Advantage Fund - Series 14 - 33M (DSPBRDAF - S14 - 33M) | DSP BlackRock Dual Advantage Fund - Series 15 - 36M (DSPBRDAF - S15 - 36M) | DSP BlackRock Dual Advantage Fund - Series 16 - 36M (DSPBRDAF - S16 - 36M) | DSP BlackRock Dual Advantage Fund - Series 17 - 35M (DSPBRDAF - S17 - 35M) | DSP BlackRock Dual Advantage Fund - Series 18 - 34M (DSPBRDAF - S18 - 34M) | DSP BlackRock Dual Advantage Fund - Series 19 - 36M (DSPBRDAF - S19 - 36M) |
|----------|-------------------------------|--|--|--|--|--|--|
| | | Period ended 31.03.14 |
| | | ₹ | ₹ | ₹ | ₹ | ₹ | ₹ |
| 7 | INTEREST | | | | | | |
| | Reverse Repo / CBLO | 1,064,264 | 488,178 | 641,647 | 439,047 | 372,747 | 936,577 |
| | Securities and fixed deposits | 45,643,014 | 44,537,576 | 40,995,508 | 19,036,266 | 20,163,108 | 16,665,057 |
| | | 46,707,278 | 45,025,754 | 41,637,155 | 19,475,313 | 20,535,855 | 17,601,634 |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

SCHEDULE 8: NOTES TO ACCOUNTS**1. Organisation:**

DSP BlackRock Mutual Fund ('Fund') has been constituted as a trust on December 16, 1996 in accordance with the provisions of the Indian Trusts Act, 1882. DSP ADIKO Holdings Private Limited & DSP HMK Holdings Private Limited (collectively) and BlackRock Inc. (BlackRock) are the Sponsors, and DSP BlackRock Trustee Company Private Limited ('Trustee') is the Trustee to the Fund. The Trust Deed has been registered under the Indian Registration Act, 1908. The Fund was registered with SEBI on January 30, 1997 under the Registration Code MF/036/97/7.

DSP BlackRock Investment Managers Private Limited ('AMC') has been appointed as the asset management company to the Fund by the Trustee, vide Investment Management Agreement (IMA) dated December 16, 1996, as amended from time to time executed between the Trustee and the AMC.

List of Schemes of DSP BlackRock Mutual Fund:

| Scheme Name | Date of Launch | Date of Allotment | Maturity date | Investment objective |
|----------------------|-----------------------|--------------------------|----------------------|---|
| DSPBRDAF - S1 - 36M | February 16, 2012 | March 06, 2012 | March 02, 2015 | The primary investment objective of the scheme is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. The scheme also seeks to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the scheme will invest only in securities which mature on or before the date of maturity of the scheme. There is no assurance that the investment objective of the scheme will be realised. |
| DSPBRDAF - S2 - 36M | March 12, 2012 | March 26, 2012 | April 02, 2015 | The primary investment objective of the scheme is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. The scheme also seeks to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the scheme will invest only in securities which mature on or before the date of maturity of the scheme. There is no assurance that the investment objective of the scheme will be realised. |
| DSPBRDAF - S3 - 36M | May 02, 2012 | May 18, 2012 | May 19, 2015 | The primary investment objective of the scheme is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. The scheme also seeks to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the scheme will invest only in securities which mature on or before the date of maturity of the scheme. There is no assurance that the investment objective of the scheme will be realised. |
| DSPBRDAF - S4 - 36M | June 07, 2012 | June 22, 2012 | June 29, 2015 | The primary investment objective of the scheme is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. The scheme also seeks to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the scheme will invest only in securities which mature on or before the date of maturity of the scheme. There is no assurance that the investment objective of the scheme will be realised. |
| DSPBRDAF - S5 - 36M | July 02, 2012 | July 19, 2012 | July 22, 2015 | The primary investment objective of the scheme is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. The scheme also seeks to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the scheme will invest only in securities which mature on or before the date of maturity of the scheme. There is no assurance that the investment objective of the scheme will be realised. |
| DSPBRDAF - S11 - 36M | January 07, 2013 | January 24, 2013 | January 05, 2016 | The primary investment objective of the scheme is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. The scheme also seeks to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the scheme will invest only in securities which mature on or before the date of maturity of the scheme. There is no assurance that the investment objective of the scheme will be realised. |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

| Scheme Name | Date of Launch | Date of Allotment | Maturity date | Investment objective |
|-----------------------|-----------------------|--------------------------|----------------------|---|
| DSPBRRGESS - Series 1 | February 09, 2013 | March 20, 2013 | March 23, 2016 | The primary investment objective of the Schemes is to seek to generate capital appreciation, from a portfolio that is substantially constituted of equity securities which are specified as eligible securities for Rajiv Gandhi Equity Savings Scheme (RGESS). The Scheme may also invest a certain portion of its corpus in cash & cash equivalent and money market instruments from time to time. There is no assurance that the investment objective of the Scheme will be realised. |
| DSPBRDAF – S13 - 35M | February 12, 2013 | February 25, 2013 | January 05, 2016 | The primary investment objective of the scheme is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. The scheme also seeks to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the scheme will invest only in securities which mature on or before the date of maturity of the scheme. There is no assurance that the investment objective of the scheme will be realised. |
| DSPBRDAF – S14 - 33M | April 08, 2013 | April 26, 2013 | January 05, 2016 | The primary investment objective of the scheme is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. The scheme also seeks to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the scheme will invest only in securities which mature on or before the date of maturity of the scheme. There is no assurance that the investment objective of the scheme will be realised. |
| DSPBRDAF – S15 - 36M | May 13, 2013 | May 30, 2013 | July 04, 2016 | The primary investment objective of the scheme is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. The scheme also seeks to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the scheme will invest only in securities which mature on or before the date of maturity of the scheme. There is no assurance that the investment objective of the scheme will be realised. |
| DSPBRDAF – S16 - 36M | June 17, 2013 | July 03, 2013 | July 04, 2016 | The primary investment objective of the scheme is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. The scheme also seeks to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the scheme will invest only in securities which mature on or before the date of maturity of the scheme. There is no assurance that the investment objective of the scheme will be realised. |
| DSPBRDAF – S17 - 35M | August 07, 2013 | August 26, 2013 | July 04, 2016 | The primary investment objective of the scheme is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. The scheme also seeks to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the scheme will invest only in securities which mature on or before the date of maturity of the scheme. There is no assurance that the investment objective of the scheme will be realised. |
| DSPBRDAF – S18 - 34M | September 16, 2013 | October 04, 2013 | July 04, 2016 | The primary investment objective of the scheme is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. The scheme also seeks to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the scheme will invest only in securities which mature on or before the date of maturity of the scheme. There is no assurance that the investment objective of the scheme will be realised. |
| DSPBRDAF – S19 - 36M | October 17, 2013 | November 05, 2013 | November 08, 2016 | The primary investment objective of the scheme is to generate returns and seek capital appreciation by investing in a portfolio of debt and money market securities. The scheme also seeks to invest a portion of the portfolio in equity & equity related securities to achieve capital appreciation. As far as investments in debt and money market securities are concerned, the scheme will invest only in securities which mature on or before the date of maturity of the scheme. There is no assurance that the investment objective of the scheme will be realised. |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

For list of Schemes of DSP BlackRock Mutual Fund and short names:

| Short Name | Full Name |
|----------------------------|--|
| DSPBRBF | DSP BlackRock Bond Fund |
| DSPBREF | DSP BlackRock Equity Fund |
| DSPBRLF | DSP BlackRock Liquidity Fund |
| DSPBRBalF | DSP BlackRock Balanced Fund |
| DSPBRGF | DSP BlackRock Government Securities Fund |
| DSPBRTBF | DSP BlackRock Treasury Bill Fund |
| DSPBRTF | DSP BlackRock Technology.com Fund |
| DSPBROF | DSP BlackRock Opportunities Fund |
| DSPBRSTF | DSP BlackRock Short Term Fund |
| DSPBRTEF | DSP BlackRock Top 100 Equity Fund |
| DSPBRIOF | DSP BlackRock Income Opportunities Fund |
| DSPBRMIPF | DSP BlackRock MIP Fund (Monthly income is not assured and is subject to availability of distributable surplus) |
| DSPBRITF | DSP BlackRock India T.I.G.E.R Fund (The Infrastructure Growth and Economic Reforms Fund) |
| DSPBRMMF | DSP BlackRock Money Manager Fund |
| DSPBRSMF | DSP BlackRock Small and Mid Cap Fund |
| DSPBRTSF | DSP BlackRock Tax Saver Fund |
| DSPBRSBF | DSP BlackRock Strategic Bond Fund |
| DSPBRWGF | DSP BlackRock World Gold Fund |
| DSPBRMCF | DSP BlackRock Micro Cap Fund |
| DSPBRNRNEF | DSP BlackRock Natural Resources and New Energy Fund |
| DSPBRWEF | DSP BlackRock World Energy Fund |
| DSPBRWMF | DSP BlackRock World Mining Fund |
| DSPBRF25F | DSP BlackRock Focus 25 Fund |
| DSPBRWAF | DSP BlackRock World Agriculture Fund |
| DSPBRUSFEF | DSP BlackRock US Flexible* Equity Fund (*The term "Flexible" in the name of the Scheme signifies that the Investment Manager of the Underlying Fund can invest either in growth or value investment characteristic securities placing an emphasis as the market outlook warrants). |
| DSPBRBPDF | DSP BlackRock Banking & PSU Debt Fund |
| DSPBRDAAF | DSP BlackRock Dynamic Asset Allocation Fund |
| DSPBRFMP - 13M - Series 4 | DSP BlackRock FMP - 13M - Series 4 |
| DSPBRFMP - 12M - Series 18 | DSP BlackRock FMP - 12M - Series 18 |
| DSPBRFMP - 12M - Series 19 | DSP BlackRock FMP - 12M - Series 19 |
| DSPBRFMP - 12M - Series 20 | DSP BlackRock FMP - 12M - Series 20 |
| DSPBRFMP - 12M - Series 21 | DSP BlackRock FMP - 12M - Series 21 |
| DSPBRFMP - 12M - Series 22 | DSP BlackRock FMP - 12M - Series 22 |
| DSPBRFMP - 12M - Series 23 | DSP BlackRock FMP - 12M - Series 23 |
| DSPBRFMP - 12M - Series 24 | DSP BlackRock FMP - 12M - Series 24 |
| DSPBRFMP - 12M - Series 25 | DSP BlackRock FMP - 12M - Series 25 |
| DSPBRFMP - 12M - Series 26 | DSP BlackRock FMP - 12M - Series 26 |
| DSPBRFMP - Series 6 - 12M | DSP BlackRock FMP - Series 6 - 12M |
| DSPBRFMP - Series 7 - 12M | DSP BlackRock FMP - Series 7 - 12M |
| DSPBRFMP - Series 10 - 12M | DSP BlackRock FMP - Series 10 - 12M |
| DSPBRFMP - Series 12 - 12M | DSP BlackRock FMP - Series 12 - 12M |
| DSPBRFMP - Series 14 - 12M | DSP BlackRock FMP - Series 14 - 12M |
| DSPBRFMP - Series 16 - 12M | DSP BlackRock FMP - Series 16 - 12M |
| DSPBRFTP - S1 - 24M | DSP BlackRock FTP - Series 1- 24M |
| DSPBRFMP - Series 18 - 12M | DSP BlackRock FMP - Series 18 - 12M |
| DSPBRFMP - Series 20 - 12M | DSP BlackRock FMP - Series 20 - 12M |
| DSPBRFTP - S2 - 24M | DSP BlackRock FTP - Series 2 - 24M |
| DSPBRFTP - S3 - 24M | DSP BlackRock FTP - Series 3 - 24M |
| DSPBRFMP - Series 23 - 12M | DSP BlackRock FMP - Series 23 - 12M |
| DSPBRFTP - S4 - 36M | DSP BlackRock FTP - Series 4 - 36M |
| DSPBRFMP - Series 24 - 12M | DSP BlackRock FMP - Series 24 - 12M |
| DSPBRFMP - Series 27 - 12M | DSP BlackRock FMP - Series 27 - 12M |
| DSPBRFMP - Series 29 - 3M | DSP BlackRock FMP - Series 29 - 3M |
| DSPBRFTP - S5 - 18M | DSP BlackRock FTP - Series 5 - 18M |
| DSPBRFMP - Series 30 - 3M | DSP BlackRock FMP - Series 30 - 3M |

| Short Name | Full Name |
|------------------------------|---|
| DSPBRFTP - S6 - 24M | DSP BlackRock FTP - Series 6 - 24M |
| DSPBRFMP - Series 31 - 12M | DSP BlackRock FMP - Series 31 - 12M |
| DSPBRFMP - Series 32 - 12M | DSP BlackRock FMP - Series 32 - 12M |
| DSPBRFMP - Series 33 - 3M | DSP BlackRock FMP - Series 33 - 3M |
| DSPBRDAF - S1 - 36M | DSP BlackRock Dual Advantage Fund - Series 1 - 36M |
| DSPBRFMP - Series 34 - 12M | DSP BlackRock FMP - Series 34 - 12M |
| DSPBRFMP - Series 35 - 3M | DSP BlackRock FMP - Series 35 - 3M |
| DSPBRFMP - Series 36 - 12M | DSP BlackRock FMP - Series 36 - 12M |
| DSPBRFMP - Series 37 - 13M | DSP BlackRock FMP - Series 37 - 13M |
| DSPBRFTP - S7 - 24M | DSP BlackRock FTP - Series 7- 24M |
| DSPBRFMP - Series 38 - 12.5M | DSP BlackRock FMP - Series 38 - 12.5M |
| DSPBRDAF - S2 - 36M | DSP BlackRock Dual Advantage Fund - Series 2 - 36M |
| DSPBRFMP - Series 39 - 12M | DSP BlackRock FMP - Series 39 - 12M |
| DSPBRFMP - Series 40 - 3M | DSP BlackRock FMP - Series 40 - 3M |
| DSPBRFMP - Series 41 - 12.5M | DSP BlackRock FMP - Series 41 - 12.5M |
| DSPBRFMP - Series 42 - 3M | DSP BlackRock FMP - Series 42 - 3M |
| DSPBRFMP - Series 43 - 12M | DSP BlackRock FMP - Series 43 - 12M |
| DSPBRFMP - Series 44 - 12M | DSP BlackRock FMP - Series 44 - 12M |
| DSPBRFMP - Series 45 - 3M | DSP BlackRock FMP - Series 45 - 3M |
| DSPBRFMP - Series 47 - 12M | DSP BlackRock FMP - Series 47 - 12M |
| DSPBRDAF - S3 - 36M | DSP BlackRock Dual Advantage Fund - Series 3 - 36M |
| DSPBRFMP - Series 48 - 12M | DSP BlackRock FMP - Series 48 - 12M |
| DSPBRFMP - Series 49 - 3M | DSP BlackRock FMP - Series 49 - 3M |
| DSPBRFMP - Series 50 - 3M | DSP BlackRock FMP - Series 50 - 3M |
| DSPBRFMP - Series 51 - 12M | DSP BlackRock FMP - Series 51 - 12M |
| DSPBRFMP - Series 52 - 9M | DSP BlackRock FMP - Series 52 - 9M |
| DSPBRFMP - Series 53 - 9M | DSP BlackRock FMP - Series 53 - 9M |
| DSPBRDAF - S4 - 36M | DSP BlackRock Dual Advantage Fund - Series 4 - 36M |
| DSPBRFMP - Series 54 - 12M | DSP BlackRock FMP - Series 54 - 12M |
| DSPBRFMP - Series 55 - 12M | DSP BlackRock FMP - Series 55 - 12M |
| DSPBRFMP - Series 56 - 3M | DSP BlackRock FMP - Series 56 - 3M |
| DSPBRFMP - Series 57 - 12M | DSP BlackRock FMP - Series 57 - 12M |
| DSPBRFMP - Series 58 - 3M | DSP BlackRock FMP - Series 58 - 3M |
| DSPBRFMP - Series 59 - 12M | DSP BlackRock FMP - Series 59 - 12M |
| DSPBRDAF - S5 - 36M | DSP BlackRock Dual Advantage Fund - Series 5 - 36M |
| DSPBRFMP - Series 61 - 12M | DSP BlackRock FMP - Series 61 - 12M |
| DSPBRFMP - Series 62 - 12M | DSP BlackRock FMP - Series 62 - 12M |
| DSPBRFMP - Series 63 - 12M | DSP BlackRock FMP - Series 63 - 12M |
| DSPBRFMP - Series 64 - 12M | DSP BlackRock FMP - Series 64 - 12M |
| DSPBRFTP - S11 - 36M | DSP BlackRock FTP - Series 11 - 36M |
| DSPBRFMP - Series 66 - 12M | DSP BlackRock FMP - Series 66 - 12M |
| DSPBRFMP - Series 68 - 12M | DSP BlackRock FMP - Series 68 - 12M |
| DSPBRFMP - Series 81 - 12M | DSP BlackRock FMP - Series 81 - 12M |
| DSPBRFMP - Series 82 - 12M | DSP BlackRock FMP - Series 82 - 12M |
| DSPBRFTP - S13 - 15M | DSP BlackRock FTP - Series 13 - 15M |
| DSPBRDAF - S11 - 36M | DSP BlackRock Dual Advantage Fund - Series 11 - 36M |
| DSPBRFMP - Series 84 - 12M | DSP BlackRock FMP - Series 84 - 12M |
| DSPBRRGESS - Series 1 | DSP BlackRock RGESS* Fund - Series 1 (A closed ended equity scheme which shall invest in eligible securities as per * Rajiv Gandhi Equity Savings Scheme, 2012) |
| DSPBRDAF - S13 - 35M | DSP BlackRock Dual Advantage Fund - Series 13 - 35M |
| DSPBRFMP - Series 85 - 3M | DSP BlackRock FMP - Series 85 - 3M |
| DSPBRFTP - S21 - 18M | DSP BlackRock FTP - Series 21 - 18M |
| DSPBRFMP - Series 86 - 12M | DSP BlackRock FMP - Series 86 - 12M |
| DSPBRFTP - S22 - 14M | DSP BlackRock FTP - Series 22 - 14M |
| DSPBRFMP - Series 87 - 12M | DSP BlackRock FMP - Series 87 - 12M |
| DSPBRFMP - Series 88 - 12.5M | DSP BlackRock FMP - Series 88 - 12.5M |
| DSPBRFMP - Series 89 - 12M | DSP BlackRock FMP - Series 89 - 12M |
| DSPBRFMP - Series 90 - 12M | DSP BlackRock FMP - Series 90 - 12M |
| DSPBRFMP - Series 91 - 12M | DSP BlackRock FMP - Series 91 - 12M |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

| Short Name | Full Name |
|-----------------------------|---|
| DSPBRFMP - Series 94 - 12M | DSP BlackRock FMP - Series 94 - 12M |
| DSPBRFMP - Series 93 - 12M | DSP BlackRock FMP - Series 93 - 12M |
| DSPBRFMP - Series 95 - 12M | DSP BlackRock FMP - Series 95 - 12M |
| DSPBRDAF - S14 - 33M | DSP BlackRock Dual Advantage Fund - Series 14 - 33M |
| DSPBRDAF - S15 - 36M | DSP BlackRock Dual Advantage Fund - Series 15 - 36M |
| DSPBRDAF - S16 - 36M | DSP BlackRock Dual Advantage Fund - Series 16 - 36M |
| DSPBRFMP - Series 103 - 12M | DSP BlackRock FMP - Series 103 - 12M |
| DSPBRFMP - Series 104 - 12M | DSP BlackRock FMP - Series 104 - 12M |
| DSPBRFMP - Series 105 - 12M | DSP BlackRock FMP - Series 105 - 12M |
| DSPBRFMP - Series 106 - 3M | DSP BlackRock FMP - Series 106 - 3M |
| DSPBRFMP - Series 107 - 12M | DSP BlackRock FMP - Series 107 - 12M |
| DSPBRFMP - Series 108 - 12M | DSP BlackRock FMP - Series 108 - 12M |
| DSPBRFMP - Series 109 - 12M | DSP BlackRock FMP - Series 109 - 12M |
| DSPBRFTP - S31 - 36M | DSP BlackRock FTP - Series 31 - 36M |
| DSPBRDAF - S17 - 35M | DSP BlackRock Dual Advantage Fund - Series 17 - 35M |
| DSPBRFMP - Series 110 - 12M | DSP BlackRock FMP - Series 110 - 12M |
| DSPBRFMP - Series 111 - 12M | DSP BlackRock FMP - Series 111 - 12M |
| DSPBRFMP - Series 112 - 3M | DSP BlackRock FMP - Series 112 - 3M |
| DSPBRFMP - Series 113 - 12M | DSP BlackRock FMP - Series 113 - 12M |
| DSPBRFMP - Series 114 - 3M | DSP BlackRock FMP - Series 114 - 3M |
| DSPBRFMP - Series 115 - 12M | DSP BlackRock FMP - Series 115 - 12M |
| DSPBRFMP - Series 116 - 3M | DSP BlackRock FMP - Series 116 - 3M |
| DSPBRFTP - S32 - 24M | DSP BlackRock FTP - Series 32 - 24M |
| DSPBRFMP - Series 117 - 12M | DSP BlackRock FMP - Series 117 - 12M |

| Short Name | Full Name |
|-------------------------------|---|
| DSPBRFMP - Series 118 - 12M | DSP BlackRock FMP - Series 118 - 12M |
| DSPBRFMP - Series 119 - 12M | DSP BlackRock FMP - Series 119 - 12M |
| DSPBRDAF - S18 - 34M | DSP BlackRock Dual Advantage Fund - Series 18 - 34M |
| DSPBRDAF - S19 - 36M | DSP BlackRock Dual Advantage Fund - Series 19 - 36M |
| DSPBRFMP - Series 126 - 12M | DSP BlackRock FMP - Series 126 - 12M |
| DSPBRFTP - S33 - 24M | DSP BlackRock FTP - Series 33 - 24M |
| DSPBRFMP - Series 129 - 12M | DSP BlackRock FMP - Series 129 - 12M |
| DSPBRFMP - Series 130 - 12M | DSP BlackRock FMP - Series 130 - 12M |
| DSPBRFTP - S36 - 15M | DSP BlackRock FTP - Series 36 - 15M |
| DSPBRFMP - Series 144 - 12M | DSP BlackRock FMP - Series 144 - 12M |
| DSPBRFMP - Series 145 - 12M | DSP BlackRock FMP - Series 145 - 12M |
| DSPBRFTP - S37 - 14M | DSP BlackRock FTP - Series 37 - 14M |
| DSPBRFMP - Series 146 - 12M | DSP BlackRock FMP - Series 146 - 12M |
| DSPBRFMP - Series 147 - 3M | DSP BlackRock FMP - Series 147 - 3M |
| DSPBRFMP - Series 148 - 12M | DSP BlackRock FMP - Series 148 - 12M |
| DSPBRFTP - S38 - 25M | DSP BlackRock FTP - Series 38 - 25M |
| DSPBRFMP - Series 149 - 12M | DSP BlackRock FMP - Series 149 - 12M |
| DSPBRFMP - Series 150 - 13M | DSP BlackRock FMP - Series 150 - 13M |
| DSPBRFMP - Series 151 - 12M | DSP BlackRock FMP - Series 151 - 12M |
| DSPBRFMP - Series 152 - 12.5M | DSP BlackRock FMP - Series 152 - 12.5M |
| DSPBRFMP - Series 153 - 12M | DSP BlackRock FMP - Series 153 - 12M |
| DSPBRFMP - Series 154 - 12.5M | DSP BlackRock FMP - Series 154 - 12.5M |
| DSPBRFMP - Series 155 - 12M | DSP BlackRock FMP - Series 155 - 12M |

2. Significant Accounting Policies:
2.1 Basis of Accounting

The financial statements are prepared on the accrual basis of accounting, under the historical cost convention, as modified for investments, which are 'marked to market'. The financial statements have been prepared in accordance with the Regulations as amended from time to time. The accounting policies have been consistently applied by the fund.

2.2 As per the Securities & Exchange Board of India (SEBI) (Mutual Funds) (Amendment) Regulations, 2012, issued on 21 February, 2012, the Eighth schedule to the regulations was amended to include "Principles of Fair Valuation" which required the mutual funds to value their investments in accordance with the specified overarching principles so as to ensure fair treatment to all investors including existing investors as well as investors seeking to purchase or redeem units of mutual funds in all schemes at all points of time.

In compliance with the said amendments and based upon the valuation methodology suggested by AMFI Committee on Valuation (as a guiding principle) for valuing Debt and Money Market instruments, the Fund has changed the basis of determination of market price for valuation of debt and money market securities, effective April 26, 2012, (refer Note 2.5 Valuation of Investments)

2.3 Determination of net asset value ('NAV')

- a) The net asset value of the units of the Scheme is determined separately for the units issued under the Plans.
- b) For reporting multiple net asset values within the portfolio, the Scheme's daily income earned, including realised profit or loss and unrealised gain or loss in the value of investments, and expenses incurred, are allocated to the related plans in proportion to their respective net asset (net assets of previous day plus subscription less redemption for the day) of the plan.

2.4 Unit Capital

Unit Capital represents the net outstanding units as at the Balance Sheet date.

2.5 Investments
a) Accounting for investment transactions

Purchase and sale of Investments are accounted on the date of the transaction at cost and sale price respectively after including all transaction costs as applicable like brokerage, commission, charges paid to Clearing Corporation of India Limited and fees payable or receivable, if any but excluding custodian transaction charges. The front end fee receivable, if any, is reduced from the cost of such investment w.e.f October 01, 2012 capitalisation of such brokerage and transaction costs incurred for the purpose of execution of trades is restricted to 12 bps in case of cash market transactions and 5 bps in case of derivative transactions. Any cost in excess of the specified limits is charged to the revenue account of the scheme as part of the total expense ratio.

Bonus entitlements are recognised as investments on the 'ex-bonus date'.

Rights entitlements are recognised as investments on the 'ex-rights date'.

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

b) Valuation of investments

During the year/period, the fund has fairly valued its investments in accordance with the Regulations, as amended from time to time, by using the below mentioned methodology / principles. All investments are marked to market and are stated in the Balance Sheet at their fair value. In valuing the scheme's investments:

I. Valuation of Equity and Equity Related Securities

| | Traded /Non Traded | Basis of Valuation |
|---|--------------------|--|
| Equity Shares, Preference Shares, Equity Warrants | Traded | On the valuation day, at the last quoted closing price on the National Stock Exchange (NSE)/ Bombay Stock Exchange (BSE) or other stock exchange, where such security is listed. If not traded on the primary stock exchange, the closing price on the other stock exchange will be considered. NSE will be the primary stock exchange. |
| | Non Traded | <ol style="list-style-type: none"> 1. When a security is not traded on any stock exchange, on the date of valuation, then the previous closing price on NSE / any other SE will be used, provided such closing price is not exceeding a period of 30 calendar days. 2. In all other cases <ol style="list-style-type: none"> a. Equity Shares: Based on the latest available Balance Sheet, net worth shall be calculated as follows : <ol style="list-style-type: none"> i. Net Worth per share = [share capital + reserves (excluding revaluation reserves) – Misc. expenditure and Debit Balance in P&L A/c] Divided by No. of Paid up Shares. ii. Average capitalisation rate (P/E ratio) for the industry based upon either BSE or NSE data (which should be followed consistently and changes, if any noted with proper justification thereof) shall be taken and discounted by 75% i.e. only 25% of the Industry average P/E shall be taken as capitalisation rate (P/E ratio). Earnings per share of the latest audited annual accounts will be considered for this purpose. iii. The value as per the net worth value per share and the capital earning value calculated as above shall be averaged and further discounted by 10% for ill-liquidity so as to arrive at the fair value per share. iv. In case the EPS is negative, EPS value for that year shall be taken as zero for arriving at capitalised earning. v. In case where the latest balance sheet of the company is not available within nine months from the close of the year, unless the accounting year is changed, the shares of such companies shall be valued at zero. vi. In case an individual security accounts for more than 5% of the total assets of the scheme, an independent valuer shall be appointed for the valuation of the said security. <p>To determine if a security accounts for more than 5% of the total assets of the scheme, it should be valued by the procedure above and the proportion which it bears to the total net assets of the scheme to which it belongs would be compared on the date of valuation.</p> b. Preference Shares: Intrinsic value will be considered c. Equity Warrants / Rights entitlement / partly paid up rights shares: Valuation price will be arrived, after applying appropriate discount (valuation committee delegated the power to decide the discount factor), after reducing the exercise price / issuance price from the closing price of the underlying cash equity security. d. Demerger: Where at least one resultant company is not immediately listed, valuation price will be worked out by using cum-price, before demerger reduced for quoted price of the listed resultant company(s). OR in case of a demerger pending listing, the resultant company/ies shall be valued at the intrinsic value arrived at on the date of corporate action. e. Merger: Valuation of merged entity would be arrived at by summation of previous day's value of the respective companies prior to merger divided by the entitled quantity of the merged entity in cases where the identity of the entities getting merged is lost until the new entity is listed. Eg.: If Company A and Company B merge to form a new Company C then Company C would be valued at the price equals to A+B In case of a merger where the identity of one entity continues, valuation of merged entity would be at the closing price of the surviving entity. Eg.: If Company A merges into Company B then merged entity would be valued at the price of Company B being the surviving Company. |
| | Thinly Traded | Policy similar to non-traded Equity Shares. Definition of thinly traded equity/equity related security: When trading in an equity/equity related security in a calendar month is both less than INR 5 lacs and the total volume is less than 50,000 shares, it shall be considered as a thinly traded security. |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

| | Traded /Non Traded | Basis of Valuation |
|---|------------------------|--|
| Equity Shares, Preference Shares, Equity Warrants | Unlisted Equity Shares | <p>Unlisted equity shares of a company shall be valued "in good faith" on the basis of the valuation principles laid down below:</p> <p>a. Based on the latest available audited balance sheet, net worth shall be calculated as lower of (i) and (ii) below:</p> <p>i. Net worth per share = [share capital plus free reserves (excluding revaluation reserves) minus Miscellaneous expenditure not written off or deferred revenue expenditure, intangible assets and accumulated losses] divided by Number of Paid up Shares.</p> <p>ii. After taking into account the outstanding warrants and options, Net worth per share shall again be calculated and shall be = [share capital plus consideration on exercise of Option/Warrants received/receivable by the Company plus free reserves(excluding revaluation reserves) minus Miscellaneous expenditure not written off or deferred revenue expenditure, intangible assets and accumulated losses] divided by (Number of Paid up Shares plus Number of Shares that would be obtained on conversion/exercise of Outstanding Warrants and Options)</p> <p>The lower of (i) and (ii) above shall be used for calculation of net worth per share and for further calculation in (c) below.</p> <p>b. Average capitalisation rate (P/E ratio) for the industry based upon either BSE or NSE data (which should be followed consistently and changes, if any, noted with proper justification thereof) shall be taken and discounted by 75% i.e. only 25% of the Industry average P/E shall be taken as capitalisation rate (P/E ratio). Earnings per share of the latest audited annual accounts will be considered for this purpose.</p> <p>c. The value as per the net worth value per share and the capital earning value calculated as above shall be averaged and further discounted by 15% for illiquidity so as to arrive at the fair value per share.</p> <p>The above methodology for valuation shall be subject to the following conditions:</p> <p>i. All calculations as aforesaid shall be based on audited accounts.</p> <p>ii. In case where the latest balance sheet of the company is not available within nine months from the close of the year, unless the accounting year is changed, the shares of such companies shall be valued at zero.</p> <p>iii. If the net worth of the company is negative, the share would be marked down to zero.</p> <p>iv. In case the EPS is negative, EPS value for that year shall be taken as zero for arriving at capitalised earning.</p> <p>v. In case an individual security accounts for more than 5% of the total assets of the scheme, an independent valuer shall be appointed for the valuation of the said security. To determine if a security accounts for more than 5% of the total assets of the scheme, it should be valued in accordance with the procedure as mentioned above on the date of valuation.</p> <p>At the discretion of the AMC and with the approval of the trustees, an unlisted equity share may be valued at a price lower than the value derived using the aforesaid methodology.</p> |
| Futures & Options | Traded | On the valuation day, at the closing price provided by the respective stock exchanges. |
| | Non Traded | When a security is not traded on the respective stock exchange on the date of valuation, then the settlement price / any other derived price provided by the respective stock exchange. |

II. Valuation of Debt Securities

| | Category | Policy |
|---|-------------------|---|
| For securities with residual maturity <= 60 days: (including Government Securities, Treasury Bills, Cash Management Bills, State Development Loans, etc) | Traded | <ul style="list-style-type: none"> At weighted average YTM <p>A security will qualify as traded security if:</p> <ul style="list-style-type: none"> For securities with residual maturity <= 60 days : At least five trades and aggregate volume of INR 250 crore face value or more on a public platform <p>Note:</p> <ul style="list-style-type: none"> Outlier trades, if any, will be ignored after suitable justification by Valuation Committee. |
| | Non-traded Assets | <ul style="list-style-type: none"> Assets to be amortised on straight line amortisation as long as their valuation remains within ±0.10% band of the price derived from the reference rate for each bucket (reference rate for every 15-day bucket will be provided by AMFI appointed agencies (currently CRISIL and ICRA)) In case of amortised value falling outside the above band, the YTM of the asset will have to be adjusted in order to bring the price within the ±0.10% band with suitable justification |
| For securities with residual maturity > 60 days: (including Government Securities, Treasury Bills, Cash Management Bills, State Development Loans, etc) | | At valuation prices provided by AMFI appointed agencies (currently CRISIL and ICRA) (refer note 2(c) and 4) |
| Inter-scheme Transfers | | <p>For Fixed Income Securities (excluding Government Securities, Treasury Bills, Cash Management Bills, State Development Loans, etc)</p> <p>Inter-scheme will be done at the weighted average YTM of all trades for same or similar security on a public platform with minimum traded lot of INR 25 crore of face value at the time of inter-scheme.</p> <p>Criteria for identifying the similar securities:</p> <p>Similar security should be identified by the following waterfall logic:</p> <ol style="list-style-type: none"> Same issuer with maturity date within ± 5 days of maturity date of security for inter- scheme transfer shall be considered first. If no such instance is available, then Step 2 to be followed: <ul style="list-style-type: none"> E.g.: For inter-scheme transfer of Canara Bank CD maturing on 15-Jun-2014, all secondary market trades of Canara Bank CD maturing within 10-Jun-2014 to 20-Jun-2014 will be considered first. |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

| | Category | Policy |
|------------------------|--|--|
| Inter-scheme Transfers | 2. Similar security from a different issuer within the same category (PSU Bank, Private Bank or Financial Institution etc) and similar credit rating, with maturity date within ± 5 days of maturity date of security considered for inter-scheme transfer <ul style="list-style-type: none"> • <i>E.g.: For inter-scheme transfer of Canara Bank CD maturing on 15-Jun-2014, all secondary market trades of similar public sector bank CDs maturing within 10-Jun-2014 to 20-Jun-2014 will be considered.</i> 3. Provided the maturity dates are within the same calendar quarter. Note: Outlier trades, if any, should be ignored after suitable justification by Valuation Committee. In case no data point available for a security, in accordance with above principles: Inter-Scheme valuation will be at previously valued YTM. For Government Securities, Treasury Bills, Cash Management Bills, State Development Loans, etc Inter-scheme done would be at the last traded YTM on NDS-OM at the time of inter-scheme. In case of no trade on NDS-OM at the time of inter-scheme, previous day's YTM will be considered. | |
| Self Trades | | A self traded security (including interscheme) having a single trade with face value of at least INR 5 crore, will be recognised at weighted average YTM for valuation across all schemes for securities with residual maturity ≤ 60 days in case the same is not traded on a Public Platform |

Notes:

1. Public Platform refers to:
 - a) F-Trac (FIMMDA), NSE WDM & BSE WDM : For corporate bonds / debentures, Commercial Papers, Certificate of Deposits and securitised debts
Order of preference for the Public Platforms for consideration would be as follow:
F-Trac (FIMMDA)
NSE WDM
BSE WDM
 - b) NDS-OM: For Government Securities, Treasury Bills, Cash Management Bills, State Development Loans, etc
2. Following assets will be valued at cost plus accruals / amortisation:
 - a) Bank Fixed Deposits
 - b) CBLO / Reverse Repo
 - c) New Security (security not forming part of the universe covered by AMFI appointed agencies (currently CRISIL and ICRA) on the day of purchase) - on the day of purchase, in case the same is not traded on a Public Platform (refer note below) and the following non-business days.
A security will qualify as traded security if:
 - For securities with residual maturity > 1 Year: At least two trades and aggregate volume of INR 25 crores face value or more on a public platform.
 - For securities with residual maturity ≤ 1 Year : At least five trades and aggregate volume of INR 250 crores face value or more on a public platform.
3. Weighted average YTM shall be rounded up to two digits after decimal point.
4. Securities with Put/Call Options
 - a) Securities with Put option/(s): Once the option is exercised the security would be valued to Put date (being the deemed maturity date) and would follow the valuation principles as applicable for securities with residual maturity ≤ 60 days (in case the residual maturity is ≤ 60 days) ignoring the prices provided by AMFI appointed agencies (currently CRISIL and ICRA). In case of securities with residual maturity > 60 days, the securities shall be valued on the basis of guidelines provided by the Valuation Committee.
 - b) Securities with Call option/(s): Once the option is exercised the security would be valued to Call date (being the deemed maturity date) and would follow the valuation principles as applicable for securities with residual maturity ≤ 60 days (in case the residual maturity is ≤ 60 days) ignoring the prices provided by AMFI appointed agencies (currently CRISIL and ICRA). In case of securities with residual maturity > 60 days, the securities shall be valued on the basis of guidelines provided by the Valuation Committee.

III. Valuation of Mutual Fund Units

Investment in units / shares of mutual funds (including overseas Mutual Fund) will be valued at the last published NAV.

The net unrealised appreciation / depreciation in the value of investments is determined separately for each category of investments. The change in net unrealised gain/loss, if any, between two balance sheet dates is recognized in the revenue account and thereafter the net unrealised gain, if any, is transferred to the unrealised appreciation reserve.

Changes in determination of fair valuation:

During the year / period ended March 31, 2014 the fund has changed its basis for determination of fair value for the security categories as mentioned below:

a) Changes effective from April 01, 2013:

| | Old methodology (Before April 01, 2013) | New methodology (Effective April 01, 2013) |
|---|---|--|
| For Debt and Money Market Securities other than Government Securities and Treasury Bills | | |
| Traded Assets | At weighted average Yield To Maturity (YTM). A security qualifies as traded security if: For securities with residual maturity > 1 year : At least two trades and aggregate volume of INR 25 crores face value or more on a public platform. For securities with residual maturity ≤ 1 year : At least 3 trades and aggregate volume of INR 100 crores face value or more on a public platform. | At weighted average Yield To Maturity (YTM). A security qualifies as traded security if: For securities with residual maturity > 1 year : At least two trades and aggregate volume of INR 25 crores face value or more on a public platform. For securities with residual maturity ≤ 1 year : At least five trades and aggregate volume of INR 250 crores face value or more on a public platform. |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

| | Old methodology (Before April 01, 2013) | New methodology (Effective April 01, 2013) |
|--|--|---|
| For Treasury Bills | | |
| Traded | Treasury bills with residual maturity <= 60 days : At weighted average YTM. | All Treasury bills will be valued at prices provided by CRISIL or ICRA. |
| | Treasury bills (> 60 days of residual maturity) will be valued at prices provided by CRISIL or ICRA. | |
| Non Traded | Treasury bills with residual maturity <= 60 days were amortised on straight line amortisation. | All Treasury bills will be valued at prices provided by CRISIL or ICRA. |
| | Treasury bills (> 60 days of residual maturity) will be valued at prices provided by CRISIL or ICRA. | |
| For Bills rediscounting scheme (BRDS) | | |
| Traded | BRDS were valued at cost plus amortisation | At weighted average YTM |
| Non Traded | BRDS were valued at cost plus amortisation | <u>For securities with residual maturity <= 60 days:</u> <ul style="list-style-type: none"> Assets to be amortised on straight line amortisation as long as their valuation remains within ±0.10% band of the price derived from the reference rate for each bucket (reference rate for every 15-day bucket will be provided by CRISIL or ICRA) In case of amortised value falling outside the above band, the YTM of the asset will have to be adjusted in order to bring the price within the ±0.10% band with suitable justification <u>For securities with residual maturity > 60 days:</u> <ul style="list-style-type: none"> CRISIL Bond Valuer. No cap on illiquidity premium/discounts. Illiquidity changes: <ul style="list-style-type: none"> Fund Manager will freeze the credit spread of each security at the time of purchase. This credit spread can be changed based on primary market supply, significant trading activity in the secondary market in same or similar assets, prospects of a credit event, etc. Any security specific change in credit spread (>20 bps) will be documented with suitable justification. Any portfolio wide change to credit spreads having regard to prevalent matrix yields will also be documented. |
| For Cash Management Bills (CMB) | | |
| Traded/Non Traded | CMB were valued at cost plus amortisation | CMB will be valued at prices provided by CRISIL or ICRA |

Had the fund followed the same basis for fair value methodology, the impact on Net Asset Value of the schemes as on date of change (April 02, 2013 being first business day after change) would have been : Nil

b) Changes effective from April 30, 2013 for inter scheme transfers:

| | Old methodology (Before April 30, 2013) | New methodology (Effective April 30,2013) |
|------------------------|---|---|
| Inter-Scheme Transfers | At weighted average YTM. <ul style="list-style-type: none"> For securities with residual maturity>60 days: All trades with minimum traded lot of INR 25 crores of face value or more will be aggregated for same or similar security on a public platform For securities with residual maturity <=60 days: All trades with minimum traded lot of INR 50 crores value or more will be aggregated for same or similar security on a public platform If same or similar security on a public platform is not available at the time of inter-scheme, then the previous day's public platform data will used and similar criteria as above will be applied. In case no data point available for a security, in accordance with above principles: Inter-Scheme valuation will be at previously valued YTM. | Inter-scheme will be done at the weighted average YTM of all trades for same or similar security on a public platform with minimum traded lot of INR 25 crore of face value at the time of inter-scheme. In case no data point available for a security, in accordance with above principles: Inter-Scheme valuation will be at previously valued YTM. |

Had the fund followed the same basis for inter-scheme transfers, the impact on transaction value of the schemes as on date of change would have been: Nil

c) Changes effective from September 25, 2013:

As per the AMFI Best Practice Guidelines Circular No. 41/ 2013-14 – Valuation of Securities with residual maturity up to 60 days, the fund has changed valuation methodology for sovereign securities (including T-Bills) which is detailed below:

| | Old methodology (Before September 25,2013) | New methodology (Effective September 25, 2013) |
|---|--|--|
| Government Securities, Treasury Bills and Cash management Bills with residual maturity <= 60 days | | |
| Traded Assets | Governments Securities and Treasury bills will be valued at prices provided by CRISIL or ICRA. | At weighted average YTM |
| Non traded Assets | Governments Securities and Treasury bills will be valued at prices provided by CRISIL or ICRA. | Assets to be amortised on straight line amortisation as long as their valuation remains within ±0.10% band of the price derived from the reference rate for each bucket (reference rate for every 15-day bucket will be provided by CRISIL or ICRA) In case of amortised value falling outside the above band, the YTM of the asset will have to be adjusted in order to bring the price within the ±0.10% band with suitable justification |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

d) Changes effective from November 06, 2013:

| | Old methodology (Before November 06, 2013) | New methodology (Effective November 06, 2013) |
|--|--|--|
| Inter-scheme Transfers | | |
| Government Securities, Treasury Bills, Cash Management Bills, State Development Loans, etc. | Inter-scheme will be done at weighted average YTM of all trades for same or similar securities on a public platform with minimum traded lot of INR 25 crore of face value at the time of inter-scheme. | Inter-scheme done would be at the last traded YTM on NDS-OM at the time of inter-scheme. In case of no trade on NDS-OM at the time of inter-scheme, previous day's YTM will be considered. |
| Public Platform | | |
| Corporate bonds/debentures, Commercial Papers, Certificate of Deposits and Securitised Debts | F-Trac (FIMMDA) | F-Trac(FIMMDA),NSE-WDM & BSE WDM: For Corporate bonds/debentures, Commercial Papers, Certificate of Deposits and Securitised debts. Order of preference for the Public Platforms for consideration would be as follow: F-Trac(FIMMDA) NSE WDM BSE WDM |

e) Changes effective from November 25, 2013:

| | Old methodology (Before November 25, 2013) | New methodology (Effective November 25, 2013) |
|---|--|--|
| For Debt and Money Market Securities other than Government Securities and Treasury Bills | | |
| For Securities with residual maturity > 60 days | <p>Traded: At weighted average YTM</p> <p>A security will qualify as traded security if: For security with residual maturity > 1 year :At least two trades and aggregate volume of INR 25 crores face value or more on a public platform For security with residual maturity <= 1 year: At least five trades and aggregate volume of INR 250 crores face value or more on a public platform.</p> <p>Non-Traded: CRISIL Bond Valuer. No cap on illiquidity premium / discounts.</p> <p>➤ Illiquidity changes:</p> <ul style="list-style-type: none"> - Fund Manager will freeze the credit spread of each security at the time of purchase. This credit spread can be changed based on primary market supply, significant trading activity in the secondary market in same or similar assets, prospects of a credit event, etc. - Any security specific change in credit spread (>20 bp) will be documented with suitable justification - Any portfolio wide change to credit spreads having regard to prevalent matrix yields will also be documented. | <p>At valuation price by AMFI appointed agencies (currently CRISIL and ICRA)</p> <p>At cost plus accruals/amortisation in case prices are not provided by AMFI appointed agencies (currently CRISIL and ICRA) on the day of purchase, and the following non-business days.</p> |

f) Changes effective from December 18, 2013:

| | Old methodology (Before December 18, 2013) | New methodology (Effective December 18, 2013) |
|---|---|--|
| Prices which are not provided by AMFI appointed agencies (currently CRISIL and ICRA) | | |
| For Debt and Money Market Securities with residual maturity > 60 days | At cost plus accruals/amortisation on the day of purchase, and the following non-business days. | <p>Traded: At weighted average YTM.</p> <p>Non-Traded: At cost plus accruals/amortisation in case prices are not provided by AMFI appointed agencies (currently CRISIL and ICRA) on the day of purchase, and the following non-business days.</p> <p>A security will qualify as traded security if: For security with residual maturity > 1 year :At least two trades and aggregate volume of INR 25 crores face value or more on a public platform For security with residual maturity <= 1 year :At least five trades and aggregate volume of INR 250 crores face value or more on a public platform.</p> <p>Order of preference for the Public Platforms for consideration would be as follow: F-Trac(FIMMDA) NSE WDM BSE WDM</p> |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

2.6 Equity derivatives

- Initial margin paid against equity derivatives is accounted as current assets.
- In case of Options, premium paid is marked to market based on the last available quote on NSE and the balance marked to market amount is treated as liability / asset till the position is expired / squared off / assigned.
- Open position of exchange traded equity derivatives (ETED) are marked-to-market on daily basis at the closing prices provided by the respective stock exchanges.
- Realised gain / loss on closure / expiry of ETED are accounted as realised gain/loss on investments.

2.7 Revenue recognition

- a. Income on investments is recognised on accrual basis except where there is uncertainty about ultimate recovery/realisation. Such income is recognised when the uncertainty is resolved.
- b. For quoted shares, the dividend income earned by a scheme is recognised on the date the shares are quoted on an ex-dividend basis. For investments in shares, which are not quoted on the stock exchanges, the dividend income is recognised on the date of declaration.
- c. Interest income is recognised on an accrual basis (interest paid for the period from the last interest due date up to the date of purchase, is not treated as a cost of purchase, but debited to interest accrued but not due account. Similarly, interest received at the time of sale for the period from the last interest due date up to the date of sale is not treated as an addition to sale value but credited to interest accrued but not due account). In respect of discounted securities, the discount to redemption value is amortised and recognised as interest income equally over the period to redemption.
- d. Profit or loss on sale of investments is recognised on the trade date and is determined on the basis of the weighted average cost method.

2.8 Expenses

- a. All expenses are accounted for on accrual basis.
- b. **New Fund offer expenses:** New Fund offer expenses for the Schemes were borne by the AMC.

3. Dividend distribution :

Income distribution during the financial year was made from the distributable surplus of year's / period's earnings and accumulated reserves of earlier years.

4. Investments:

1. Investments of the schemes, except for Government Securities, are registered in the name of the Schemes/Trustees for the benefits of the schemes' unit holders. Investments in Government Securities are held in the name of the fund.

Investments made in companies which have invested more than five percent of the net asset value of the Schemes of DSP BlackRock Mutual Fund in terms of Regulation 25 (11) :

- Investment in Companies includes investment in immediate subsidiaries.
- Purchases represents purchases (including inter scheme transactions) made during the year/period prescribed under regulation 25(11).
- Value represents closing market value of investments as on March 31, 2014.

2013-2014

| Company Name | Schemes Invested in by Company | Data | Investing Schemes (₹ in Crores) | | | | | |
|-------------------------------|--------------------------------|-----------------|---------------------------------|---------------------|---------------------|-----------------------|----------------------|----------------------|
| | | | DSPBRDAF - S3 - 36M | DSPBRDAF - S4 - 36M | DSPBRDAF - S5 - 36M | DSPBRRGESS - Series 1 | DSPBRDAF - S15 - 36M | DSPBRDAF - S19 - 36M |
| Axis Bank Limited | DSPBRLF | Sum of Purchase | - | - | - | 4.01 | - | - |
| | | Sum of Value | - | - | - | 2.54 | - | - |
| Bajaj Auto Limited | DSPBRLF | Sum of Purchase | - | - | - | 0.77 | - | 0.28 |
| | | Sum of Value | - | - | - | - | - | 0.28 |
| Hero MotoCorp Limited | DSPBRLF | Sum of Purchase | 0.89 | - | - | 1.60 | - | 0.71 |
| | | Sum of Value | 0.40 | - | - | 0.58 | - | 0.79 |
| Infosys Limited | DSPBRLF, DSPBRMMF | Sum of Purchase | 0.74 | - | - | 5.22 | - | - |
| | | Sum of Value | - | - | - | - | - | - |
| ITC Limited | DSPBRLF, DSPBRMMF | Sum of Purchase | - | - | - | 4.64 | - | 0.38 |
| | | Sum of Value | - | - | - | 0.96 | - | 0.42 |
| Larsen & Toubro Limited | DSPBRITF, DSPBRMMF | Sum of Purchase | 0.47 | - | - | 3.57 | - | 0.95 |
| | | Sum of Value | 0.34 | - | - | 3.43 | - | 1.28 |
| Oriental Bank of Commerce | DSPBRLF, DSPBRMMF | Sum of Purchase | 0.11 | - | - | - | - | - |
| | | Sum of Value | - | - | - | - | - | - |
| Punjab National Bank | DSPBRMMF | Sum of Purchase | - | - | - | 0.99 | - | - |
| | | Sum of Value | - | - | - | - | - | - |
| Reliance Industries Limited | DSPBRLF, DSPBRSTF, DSPBRSBF | Sum of Purchase | 0.48 | - | - | 1.56 | - | - |
| | | Sum of Value | - | - | - | 0.38 | - | - |
| State Bank of India | DSPBRLF | Sum of Purchase | 1.83 | - | - | 0.82 | 0.91 | - |
| | | Sum of Value | - | - | - | 1.86 | - | 0.96 |
| Sterlite Industries (India) | DSPBRLF | Sum of Purchase | - | - | - | - | - | - |
| | | Sum of Value | 0.62 | - | - | 0.16 | - | 0.10 |
| Tata Power Company Limited | DSPBRLF | Sum of Purchase | - | - | - | 0.01 | - | - |
| | | Sum of Value | - | - | - | - | - | - |
| Tata Steel Limited | DSPBRLF | Sum of Purchase | 8.69 | 1.53 | 10.26 | 1.24 | - | - |
| | | Sum of Value | 8.53 | 1.51 | 10.04 | 0.41 | - | - |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

2012-2013:

| Company Name | Schemes Invested in by Company | Data | Investing Schemes (₹ in Crores) | | | | | |
|--|---------------------------------------|-----------------|---------------------------------|---------------------|---------------------|-----------------------|----------------------|----------------------|
| | | | DSPBRDAF - S3 - 36M | DSPBRDAF - S4 - 36M | DSPBRDAF - S5 - 36M | DSPBRRGESS - Series 1 | DSPBRDAF - S11 - 36M | DSPBRDAF - S13 - 35M |
| Axis Bank | DSPBRLF | Sum of Purchase | 0.55 | - | - | 0.21 | - | - |
| | | Sum of Value | - | - | - | - | - | - |
| Bank of Baroda | DSPBRLF | Sum of Purchase | - | - | - | - | - | - |
| | | Sum of Value | - | - | - | - | 1.88 | - |
| Canara Bank | DSPBRLF | Sum of Purchase | - | - | - | - | - | - |
| | | Sum of Value | - | - | - | 0.67 | - | - |
| Hero MotoCorp | DSPBRLF | Sum of Purchase | - | - | - | 0.09 | - | - |
| | | Sum of Value | - | - | - | - | - | - |
| Hindustan Zinc | DSPBRLF | Sum of Purchase | 0.69 | - | - | - | - | - |
| | | Sum of Value | - | - | - | - | - | - |
| IDBI Bank | DSPBRLF | Sum of Purchase | 4.55 | - | - | - | - | - |
| | | Sum of Value | - | - | - | - | - | - |
| IndusInd Bank | DSPBRLF | Sum of Purchase | 0.32 | - | - | - | - | - |
| | | Sum of Value | 0.78 | - | - | 1.56 | - | - |
| Infosys | DSPBRLF | Sum of Purchase | 1.78 | - | - | 2.52 | - | - |
| | | Sum of Value | 0.48 | - | - | 2.52 | - | - |
| Infrastructure Development Finance Company | DSPBRLF | Sum of Purchase | - | - | - | - | - | - |
| | | Sum of Value | - | 10.08 | 4.04 | - | 14.98 | 9.52 |
| ITC | DSPBRLF, DSPBRMMF | Sum of Purchase | - | - | - | 0.76 | - | - |
| | | Sum of Value | - | - | - | 0.77 | - | - |
| Larsen & Toubro | DSPBRITF, DSPBRLF, DSPBRMMF, DSPBRSBF | Sum of Purchase | 0.26 | - | - | 1.50 | - | - |
| | | Sum of Value | - | - | - | 1.38 | - | - |
| NABARD | DSPBRLF | Sum of Purchase | 4.99 | 10.02 | 15.04 | - | - | - |
| | | Sum of Value | 5.04 | 10.13 | 15.16 | - | - | 5.05 |
| Oriental Bank of Commerce | DSPBRLF, DSPBRMMF | Sum of Purchase | 0.45 | - | - | - | - | - |
| | | Sum of Value | - | - | - | - | - | - |
| Reliance Industries | DSPBRLF, DSPBRSBF, DSPBRSTF | Sum of Purchase | 3.49 | - | - | 3.66 | - | - |
| | | Sum of Value | - | - | - | 3.38 | - | - |
| State Bank of India | DSPBRLF | Sum of Purchase | 13.07 | - | - | 3.40 | - | - |
| | | Sum of Value | 9.83 | - | - | 3.26 | - | - |
| Sterlite Industries (India) | DSPBRLF | Sum of Purchase | 0.12 | - | - | 0.54 | - | - |
| | | Sum of Value | 0.12 | - | - | 0.40 | - | - |
| Tata Power Co. | DSPBRLF | Sum of Purchase | 1.47 | - | - | 0.33 | - | - |
| | | Sum of Value | - | - | - | 0.32 | - | - |
| Tata Steel | DSPBRLF | Sum of Purchase | 0.23 | - | - | 0.27 | - | - |
| | | Sum of Value | - | - | - | 0.24 | - | - |

Note: The Investment Manager is of the opinion that the investments are made by the schemes in such companies since they are perceived as fundamentally strong and possess a high potential for growth. Further, investments in fixed income instruments issued by the aforesaid companies would yield attractive returns commensurate with the risks associated with the issuer.

Unrealised gain/Loss:
As at March 31, 2014:

Amount in ₹

| Scheme Name | Equity Shares | | Preference Shares Listed or awaiting listing | Bonds & Debentures | | Commercial Papers (CP) | Certificate of Deposits (CD) | Government Securities | Securitized debts | Units of Mutual Fund |
|-----------------------|----------------------------|----------------------------|---|----------------------------|----------------------------|------------------------|------------------------------|-----------------------|-------------------|----------------------|
| | Listed or awaiting listing | Private placement/unlisted | | Listed or awaiting listing | Private placement/unlisted | | | | | |
| DSPBRDAF - S1 - 36M | - | - | - | (747,632) | (76,585) | - | 70,043 | - | - | - |
| DSPBRDAF - S2 - 36M | - | - | - | (1,576,984) | 5,455,912 | - | 35,412 | - | - | - |
| DSPBRDAF - S3 - 36M | 11,319,307 | - | - | (1,341,285) | - | - | 40,024 | - | - | - |
| DSPBRDAF - S4 - 36M | - | - | - | (3,046,880) | - | - | 40,024 | - | - | - |
| DSPBRDAF - S5 - 36M | - | - | - | (2,708,316) | - | - | 60,036 | - | - | - |
| DSPBRDAF - S11 - 36M | - | - | - | (7,462,960) | - | - | 50,311 | - | - | - |
| DSPBRRGESS - Series 1 | 43,899,840 | - | 381,699 | - | - | - | - | - | - | - |
| DSPBRDAF - S13 - 35M | - | - | - | (3,365,470) | - | - | 36,672 | - | - | - |
| DSPBRDAF - S14 - 33M | - | - | - | (8,812,457) | - | - | 30,018 | - | - | - |
| DSPBRDAF - S15 - 36M | - | - | - | (13,639,486) | - | - | - | - | - | - |
| DSPBRDAF - S16 - 36M | - | - | - | (8,193,639) | - | - | - | - | - | - |
| DSPBRDAF - S17 - 35M | - | - | - | 9,548,094 | - | - | - | - | - | - |
| DSPBRDAF - S18 - 34M | - | - | - | 3,612,917 | - | - | - | - | - | - |
| DSPBRDAF - S19 - 36M | 14,432,821 | - | - | (855,275) | - | - | 19,706 | - | - | - |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

As at March 31, 2013:

Amount in ₹

| Scheme Name | Equity Shares | | Bonds & Debentures | | Commercial Papers (CP) | Certificate of Deposits (CD) | Government Securities | Securitized debts | Units of Mutual Fund |
|---------------------|----------------------------|------------------------------|----------------------------|------------------------------|------------------------|------------------------------|-----------------------|-------------------|----------------------|
| | Listed or awaiting listing | Private placement / unlisted | Listed or awaiting listing | Private placement / unlisted | | | | | |
| DSPBRDAF-S1-36M | - | - | 22,540 | 1,311,600 | - | - | - | - | - |
| DSPBRDAF-S2-36M | - | - | (925,923) | 4,131,900 | - | - | - | - | - |
| DSPBRDAF-S3-36M | (1,256,654) | - | 3,503,100 | - | - | (61,839) | - | - | - |
| DSPBRDAF-S4-36M | - | - | 4,717,000 | - | - | (121,478) | - | - | - |
| DSPBRDAF-S5-36M | - | - | 5,827,350 | - | - | - | - | - | - |
| DSPBRDAF-S11-36M | - | - | 285,370 | - | - | (19,963) | - | - | - |
| DSPBRRGESS-Series 1 | (13,044,810) | - | - | - | - | - | - | - | - |
| DSPBRDAF-S13-35M | - | - | 1,859,730 | - | - | - | - | - | - |

5. Investment Management and Trusteeship fees:

Investment management fees (excluding service tax and education cess) have been charged by the schemes pursuant to an agreement with AMC, as under:

2013-2014

| Scheme | Rate |
|-----------------------|-------|
| DSPBRDAF - S1 - 36M | 1.31% |
| DSPBRDAF - S2 - 36M | 1.31% |
| DSPBRDAF - S3 - 36M | 1.30% |
| DSPBRDAF - S4 - 36M | 1.31% |
| DSPBRDAF - S5 - 36M | 1.31% |
| DSPBRDAF - S11 - 36M | 0.92% |
| DSPBRRGESS - Series 1 | 2.09% |
| DSPBRDAF - S13 - 35M | 1.13% |
| DSPBRDAF - S14 - 33M | 1.01% |
| DSPBRDAF - S15 - 36M | 1.03% |
| DSPBRDAF - S16 - 36M | 1.02% |
| DSPBRDAF - S17 - 35M | 1.02% |
| DSPBRDAF - S18 - 34M | 1.26% |
| DSPBRDAF - S19 - 36M | 1.27% |

2012-2013

| Scheme | Rate |
|-----------------------|-------|
| DSPBRDAF - S1 - 36M | 1.24% |
| DSPBRDAF - S2 - 36M | 1.24% |
| DSPBRDAF - S3 - 36M | 1.23% |
| DSPBRDAF - S4 - 36M | 1.23% |
| DSPBRDAF - S5 - 36M | 1.21% |
| DSPBRDAF - S11 - 36M | 0.48% |
| DSPBRRGESS - Series 1 | 1.99% |
| DSPBRDAF - S13 - 35M | 0.37% |

Note:

- Rate represents average rate in percentage per annum charged on daily average net assets from April 01, 2013/Launch Date of the Scheme to March 31, 2014.
- Clause 52 of the SEBI Mutual Funds Regulations 1996, which prescribed ceiling on management fee, is amended and accordingly w.e.f. October 01, 2012 management fee charged to schemes is subject to a limit of total expense ratio (TER), i.e. no separate limit for management fee.

The Fund has accrued trusteeship fees aggregating ₹ 80,89,920 (previous year ₹ 80,89,920) for the year ended March 31, 2014 in accordance with the original Trust Deed dated December 16, 1996 as amended by Supplementary Trust Deeds dated December 23, 1999, March 27, 2000, September 20, 2005 and November 03, 2008. The trusteeship fees have been allocated between the schemes in proportion to the net assets of the schemes. The Trusteeship fees are subject to a maximum of 0.02% of the average net assets per annum.

6. Details of Transactions with Associates in Terms of Regulation 25(8):

- Disclosure regarding payment of commission for distribution of units and payment of brokerage for securities transactions pursuant to SEBI Circular No. SEBI/IMD/ CIR No. 18/198647/2010 dated March 15, 2010 :

- Brokerage paid to associates/related parties/group companies of Sponsor/Asset Management Company (AMC)

| Name of associate/ related parties/ group companies of Sponsor/AMC | Nature of Association / Nature of Relation | April 01, 2013/Launch Date to March 31, 2014 | Brokerage | April 01, 2012/Launch Date to March 31, 2013 | Brokerage |
|---|---|--|---|--|---|
| | | Value of transaction (in ₹ Crore & % of total value of transaction of the fund) | (₹ Crore & % of total brokerage paid by the fund) | Value of transaction (in ₹ Crore & % of total value of transaction of the fund) | (₹ Crore & % of total brokerage paid by the fund) |
| Nil | | | | | |

- Commission paid to associates/related parties/group companies of Sponsor/ Asset Management Company (AMC)

| Name of associate/ related parties/ group companies of Sponsor/AMC | Nature of Association / Nature of Relation | April 01, 2013/Launch Date to March 31, 2014 | Commission paid | April 01, 2012/Launch Date to March 31, 2013 | Commission paid |
|---|---|--|--|--|--|
| | | Business given (₹ Crore & % of total business received by the fund) | (₹ Crore & % of total commission paid by the fund) | Business given (₹ Crore & % of total business received by the fund) | (₹ Crore & % of total commission paid by the fund) |
| Mahindra & Mahindra Financial Services Limited | Associate | 452.61 (0.18%) | 0.05 (0.04%) | 775.54 (0.4%) | 0.07 (0.05%) |
| Bajaj Finance Limited | Associate | 0.00* (0.00%) | 0.00 (0.00%) | - | - |

*amount is less than ₹ 50,000

Note:

Commission on distribution and sale of units paid / payable represents the amount paid / payable for the year / period and does not necessarily reflect the amount charged to the scheme's revenue account.

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

- b) Underwriting obligations undertaken by the Schemes with respect to issue of securities by associate companies during the year / period: Nil
c) Devolvement during the year / period: Nil
d) Subscription by the Schemes in the issues lead managed by associate companies during the year / period: Nil
e) Subscription to any issue of equity or debt on private placement basis where the sponsor or its associate companies have acted as arranger or manager during the year / period: Nil

7. The aggregate value of investments purchased and sold (including redeemed) during year / period and these amounts as a percentage of average daily net assets are as follows:

2013-2014

| Scheme Name | Purchase (₹) | Sale (₹) | Purchase as % to average daily net assets | Sale as % to average daily net assets |
|-----------------------|---------------|---------------|---|---------------------------------------|
| | 2013-2014 | 2013-2014 | 2013-2014 | 2013-2014 |
| DSPBRDAF - S1 - 36M | 427,666,410 | 435,482,348 | 41.11% | 41.86% |
| DSPBRDAF - S2 - 36M | 242,332,376 | 245,531,265 | 28.18% | 28.56% |
| DSPBRDAF - S3 - 36M | 513,102,550 | 486,300,690 | 83.71% | 79.34% |
| DSPBRDAF - S4 - 36M | 212,277,475 | 167,994,390 | 20.93% | 16.56% |
| DSPBRDAF - S5 - 36M | 420,077,026 | 345,805,170 | 34.99% | 28.81% |
| DSPBRDAF - S11 - 36M | 82,634,295 | 19,587,980 | 7.41% | 1.76% |
| DSPBRRGESS - Series 1 | 1,145,902,510 | 1,122,716,578 | 265.59% | 260.22% |
| DSPBRDAF - S13 - 35M | 28,314,410 | - | 4.02% | - |
| DSPBRDAF - S14 - 33M | 544,563,419 | 9,781,565 | 77.89% | 1.40% |
| DSPBRDAF - S15 - 36M | 601,518,290 | 40,617,060 | 81.42% | 5.50% |
| DSPBRDAF - S16 - 36M | 600,383,500 | 5,000,945 | 75.00% | 0.62% |
| DSPBRDAF - S17 - 35M | 328,470,530 | 4,888,650 | 68.46% | 1.02% |
| DSPBRDAF - S18 - 34M | 452,497,950 | 4,873,810 | 74.66% | 0.80% |
| DSPBRDAF - S19 - 36M | 589,407,034 | 18,198,687 | 100.72% | 3.11% |

2012-2013

| Scheme Name | Purchase (₹) | Sale (₹) | Purchase as % to average daily net assets | Sale as % to average daily net assets |
|-----------------------|---------------|-------------|---|---------------------------------------|
| | 2012-2013 | 2012-2013 | 2012-2013 | 2012-2013 |
| DSPBRDAF - S1 - 36M | 187,000,000 | 187,118,271 | 19.19% | 19.20% |
| DSPBRDAF - S2 - 36M | 275,144,700 | 216,088,226 | 34.31% | 26.94% |
| DSPBRDAF - S3 - 36M | 1,391,223,253 | 888,727,238 | 241.71% | 154.40% |
| DSPBRDAF - S4 - 36M | 663,064,725 | - | 68.80% | - |
| DSPBRDAF - S5 - 36M | 807,523,015 | 10,116,110 | 70.68% | 0.89% |
| DSPBRDAF - S11 - 36M | 840,529,890 | - | 78.72% | - |
| DSPBRRGESS - Series 1 | 404,947,260 | 30,462,461 | 100.00% | 7.52% |
| DSPBRDAF - S13 - 35M | 555,400,790 | 29,997,180 | 82.41% | 4.45% |

Note: Purchase/Sale does not include term deposits and derivative transactions.

8. Distributable Surplus:

Amount in ₹

| Scheme Name | 2013-2014 | | | |
|-----------------------|---|--|--|------------------------------|
| | Net income as per Revenue Account (after transfer to unrealised appreciation reserve) | Add: Balance of undistributed income as at the beginning of the year | Less: Distributed to unit holders & distribution Tax | Balance distributable income |
| DSPBRDAF - S1 - 36M | 76,710,517 | 36,087,549 | - | 112,798,066 |
| DSPBRDAF - S2 - 36M | 53,081,246 | 36,063,529 | - | 89,144,775 |
| DSPBRDAF - S3 - 36M | 49,423,470 | 36,281,210 | - | 85,704,680 |
| DSPBRDAF - S4 - 36M | 45,309,369 | 34,500,910 | - | 79,810,279 |
| DSPBRDAF - S5 - 36M | 55,716,010 | 38,073,478 | - | 93,789,488 |
| DSPBRDAF - S11 - 36M | 60,369,224 | 8,070,355 | - | 68,439,579 |
| DSPBRRGESS - Series 1 | 25,415,746 | (14,386,256) | - | 11,029,490 |
| DSPBRDAF - S13 - 35M | 59,118,341 | (19,688,614) | - | 39,429,727 |
| DSPBRDAF - S14 - 33M | 26,672,948 | - | - | 26,672,948 |
| DSPBRDAF - S15 - 36M | 20,883,216 | - | - | 20,883,216 |
| DSPBRDAF - S16 - 36M | 23,253,540 | - | - | 23,253,540 |
| DSPBRDAF - S17 - 35M | 14,716,079 | - | - | 14,716,079 |
| DSPBRDAF - S18 - 34M | 14,797,015 | - | - | 14,797,015 |
| DSPBRDAF - S19 - 36M | 12,175,637 | - | - | 12,175,637 |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

Amount in ₹

| Scheme Name | 2012-2013 | | | |
|-----------------------|-----------------------------------|--|--|------------------------------|
| | Net income as per Revenue Account | Add: Balance of undistributed income as at the beginning of the year | Less: Distributed to unit holders & distribution Tax | Balance distributable income |
| DSPBRDAF - S1 - 36M | 40,618,576 | (4,531,027) | - | 36,087,549 |
| DSPBRDAF - S2 - 36M | 34,690,607 | 1,372,922 | - | 36,063,529 |
| DSPBRDAF - S3 - 36M | 36,281,210 | - | - | 36,281,210 |
| DSPBRDAF - S4 - 36M | 34,500,910 | - | - | 34,500,910 |
| DSPBRDAF - S5 - 36M | 38,073,478 | - | - | 38,073,478 |
| DSPBRDAF - S11 - 36M | 8,070,355 | - | - | 8,070,355 |
| DSPBRRGESS - Series 1 | (14,386,256) | - | - | (14,386,256) |
| DSPBRDAF - S13 - 35M | (19,688,614) | - | - | (19,688,614) |

9. Segment Information:

The schemes operate only in one segment viz. to primarily generate returns, based on scheme's investment objective.

10. Related party disclosure pursuant to Accounting Standard 18 issued by The Institute of Chartered Accountants of India is applicable for the following schemes and disclosures are made as under:

- DSP BlackRock Dual Advantage Fund - Series 1 - 36M
- DSP BlackRock Dual Advantage Fund - Series 2 - 36M
- DSP BlackRock Dual Advantage Fund - Series 3 - 36M
- DSP BlackRock Dual Advantage Fund - Series 4 - 36M
- DSP BlackRock Dual Advantage Fund - Series 5 - 36M
- DSP BlackRock Dual Advantage Fund - Series 11 - 36M
- DSP BlackRock RGESS* Fund - Series 1 (A closed ended equity scheme which shall invest in eligible securities as per * Rajiv Gandhi Equity Savings Scheme, 2012)
- DSP BlackRock Dual Advantage Fund - Series 13 - 35M
- DSP BlackRock Dual Advantage Fund - Series 14 - 33M
- DSP BlackRock Dual Advantage Fund - Series 15 - 36M
- DSP BlackRock Dual Advantage Fund - Series 16 - 36M
- DSP BlackRock Dual Advantage Fund - Series 17 - 35M
- DSP BlackRock Dual Advantage Fund - Series 18 - 34M
- DSP BlackRock Dual Advantage Fund - Series 19 - 36M

The following are the related parties by control:

- a. **DSP BlackRock Investment Managers Private Limited (AMC)**
- b. **DSP BlackRock Trustee Company Private Limited (Trustee company)**
- c. **BlackRock Advisors Singapore Pte Ltd.**
- d. **Associates**
 - DSP HMK Holdings Private Limited
 - DSP Adiko Holdings Private Limited
 - BlackRock Inc.
- e. **Schemes of DSP BlackRock Mutual Fund managed by DSP BlackRock Investment Managers Private Limited.**

Refer Page 65

Nature of transactions: Management fee and Trustee fee:
2013-2014

Amount in ₹

| Scheme Name | Management fee | Trustee fee | Year-end payable/(receivable) | |
|-----------------------|----------------|-------------|-------------------------------|---------|
| | | | AMC | Trustee |
| DSPBRDAF - S1 - 36M | 13,612,538 | 24,494 | 1,917,794 | 5,894 |
| DSPBRDAF - S2 - 36M | 11,240,446 | 24,494 | 1,573,968 | 5,894 |
| DSPBRDAF - S3 - 36M | 7,955,639 | 12,248 | 1,051,663 | 2,948 |
| DSPBRDAF - S4 - 36M | 13,276,059 | 24,494 | 1,869,269 | 5,894 |
| DSPBRDAF - S5 - 36M | 15,688,338 | 31,494 | 2,237,902 | 6,794 |
| DSPBRDAF - S11 - 36M | 10,288,058 | 25,494 | 1,097,737 | 5,894 |
| DSPBRRGESS - Series 1 | 9,033,056 | 11,248 | 1,132,153 | 2,948 |
| DSPBRDAF - S13 - 35M | 7,969,297 | 17,248 | 993,547 | 2,948 |
| DSPBRDAF - S14 - 33M | 6,578,227 | 13,248 | 762,176 | 2,948 |
| DSPBRDAF - S15 - 36M | 6,329,621 | 16,248 | 801,666 | 3,848 |
| DSPBRDAF - S16 - 36M | 6,073,769 | 16,494 | 847,613 | 5,894 |
| DSPBRDAF - S17 - 35M | 2,914,876 | 6,248 | 483,072 | 2,948 |
| DSPBRDAF - S18 - 34M | 3,712,394 | 5,248 | 746,801 | 2,948 |
| DSPBRDAF - S19 - 36M | 2,974,568 | 4,248 | 699,257 | 2,948 |

2012-2013

Amount in ₹

| Scheme Name | Management fee | Trustee fee | Year-end payable/(receivable) | |
|-----------------------|----------------|-------------|-------------------------------|---------|
| | | | AMC | Trustee |
| DSPBRDAF - S1 - 36M | 12,069,917 | 24,000 | 1,065,308 | 5,400 |
| DSPBRDAF - S2 - 36M | 9,945,741 | 22,000 | 880,610 | 5,400 |
| DSPBRDAF - S3 - 36M | 6,162,554 | 10,000 | 627,283 | 2,700 |
| DSPBRDAF - S4 - 36M | 9,170,440 | 17,000 | 1,048,563 | 5,400 |
| DSPBRDAF - S5 - 36M | 9,661,657 | 21,000 | 1,199,191 | 8,100 |
| DSPBRDAF - S11 - 36M | 928,681 | 3,000 | 500,845 | 2,700 |
| DSPBRRGESS - Series 1 | 242,411 | - | 245,133 | - |
| DSPBRDAF - S13 - 35M | 230,342 | - | 226,110 | - |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

Note:

Management fee is paid to DSP BlackRock Investment Managers Private Limited (AMC)
 Trustee fee is paid to DSP BlackRock Trustee Company Private Limited (Trustee Company)

Nature of transactions: Subscription / redemption of units:

Nil

Inter-scheme trades: (In case of Coupon bearing securities, including Interest)

2013-2014

Amount in ₹

| Scheme Name | Counter party | Purchase | Sale |
|----------------------|-----------------------------|-------------|-------------|
| DSPBRDAF - S1 - 36M | DSPBRIOF | 148,230,074 | - |
| | DSPBRMIPF | - | 172,015,503 |
| | DSPBRMMF | - | 89,066,492 |
| | DSPBRSBF | 16,032,205 | - |
| | DSPBRDAF - S2 - 36M | - | 29,342,277 |
| DSPBRDAF - S2 - 36M | DSPBRDAF - S5 - 36M | 144,705,566 | 160,430,151 |
| | DSPBRBaIF | - | 27,664,367 |
| | DSPBRMIPF | 82,704,182 | 126,739,836 |
| | DSPBRMMF | - | 74,885,340 |
| | DSPBRSBF | 10,546,195 | 10,068,642 |
| DSPBRDAF - S3 - 36M | DSPBRBPDF | 11,851,860 | - |
| | DSPBRDAF - S1 - 36M | 29,342,277 | - |
| | DSPBRIOF | 52,703,325 | 18,658,540 |
| | DSPBRMIPF | 16,159,948 | - |
| | DSPBRMMF | - | 5,388,229 |
| DSPBRDAF - S4 - 36M | DSPBRSBF | 60,916,011 | - |
| | DSPBRFTP - S36 - 15M | - | 107,562,023 |
| | DSPBRSTF | 174,312,383 | - |
| | DSPBRMMF | - | 134,178,280 |
| | DSPBRSBF | 34,621,997 | 21,169,448 |
| DSPBRDAF - S5 - 36M | DSPBRFTP - S5 - 18M | - | 13,812,470 |
| | DSPBRSTF | - | 26,184,723 |
| | DSPBRIOF | 18,591,546 | 71,636,626 |
| | DSPBRMIPF | - | 5,168,703 |
| | DSPBRSBF | 247,847,349 | 15,877,086 |
| DSPBRDAF - S11 - 36M | DSPBRDAF - S1 - 36M | 160,430,151 | 144,705,566 |
| | DSPBRFTP - S36 - 15M | - | 108,581,152 |
| | DSPBRIOF | 67,717,749 | - |
| | DSPBRMMF | - | 19,587,980 |
| | DSPBRSBF | 9,161,260 | - |
| DSPBRDAF - S13 - 35M | DSPBRFMP - Series 145 - 12M | 2,276,940 | - |
| | DSPBRIOF | 19,514,136 | - |
| | DSPBRSBF | 9,161,260 | - |
| DSPBRDAF - S14 - 33M | DSPBRBaIF | - | 5,001,663 |
| | DSPBRSTF | 131,143,280 | - |
| | DSPBRIOF | 70,598,188 | - |
| | DSPBRSBF | 6,870,945 | 5,260,916 |
| DSPBRDAF - S15 - 36M | DSPBRSTF | 355,830,819 | 34,085,144 |
| | DSPBRMIPF | 100,682,900 | - |
| | DSPBRSBF | 50,452,937 | 9,394,080 |
| | DSPBRSTF | 50,943,449 | - |
| DSPBRDAF - S16 - 36M | DSPBRIOF | 202,337,490 | - |
| | DSPBRMIPF | 100,827,100 | - |
| | DSPBRSBF | 252,164,968 | 5,250,808 |
| | DSPBRSBF | 58,539,479 | 5,174,751 |
| DSPBRDAF - S17 - 35M | DSPBRSTF | 223,234,253 | - |
| | DSPBRSBF | 80,723,714 | 5,183,995 |
| DSPBRDAF - S18 - 34M | DSPBRSTF | 9,537,050 | - |
| | DSPBRSBF | - | 5,121,526 |

2012-2013

Amount in ₹

| Scheme Name | Counter party | Purchase | Sale |
|----------------------|----------------------------|-------------|-------------|
| DSPBRDAF - S1 - 36M | DSPBRMMF | - | 18,096,352 |
| | DSPBRSBF | - | 130,245,600 |
| | DSPBRBF | - | 53,953,780 |
| DSPBRDAF - S2 - 36M | DSPBRSTF | - | 56,330,082 |
| | DSPBRIOF | - | 153,765,961 |
| | DSPBRMMF | - | 7,839,724 |
| DSPBRDAF - S3 - 36M | DSPBRFMP - Series 54 - 12M | - | 45,765,150 |
| DSPBRDAF - S5 - 36M | DSPBRMMF | - | 10,964,411 |
| DSPBRDAF - S11 - 36M | DSPBRMIPF | 20,436,887 | - |
| | DSPBRSTF | 53,622,363 | - |
| | DSPBRSBF | 290,464,741 | - |
| DSPBRDAF - S13 - 35M | DSPBRMIPF | 30,747,146 | 30,214,084 |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

Investments made by one scheme in another scheme of DSP BlackRock Mutual Fund (Inter-scheme Investments): Nil

11. Summary of NAV per unit, Income and Expense ratio:

| Scheme Name | Plan | Net Asset Value (NAV) per unit | | Net Asset Value (NAV) per unit | | Expense Ratio# | Expense Ratio# | Income Ratio## | Income Ratio## |
|----------------------|---------|--------------------------------|---------|--------------------------------|---------|----------------|----------------|----------------|----------------|
| | | 31-Mar-14* | | 31-Mar-13* | | 2013-2014 | 2012-2013 | 2013-2014 | 2012-2013 |
| | G | D | G | D | | | | | |
| DSPBRDAF - S1 - 36M | Regular | 12.1213 | 12.1213 | 10.4074 | 10.4074 | 2.00% | 2.00% | 14.73% | 5.45% |
| DSPBRDAF - S2 - 36M | Regular | 12.5208 | 12.5208 | 10.5374 | 10.5374 | 2.00% | 2.00% | 18.34% | 6.84% |
| DSPBRDAF - S3 - 36M | Regular | 11.7681 | 11.7681 | 10.7247 | 10.7247 | 2.00% | 2.08% | 11.70% | 8.73% |
| DSPBRDAF - S4 - 36M | Regular | 12.7582 | 12.7582 | 11.3020 | 11.3020 | 2.00% | 2.06% | 22.03% | 13.25% |
| DSPBRDAF - S5 - 36M | Regular | 12.3004 | 12.3004 | 10.5164 | 10.5164 | 2.00% | 2.06% | 18.69% | 6.13% |
| DSPBRDAF - S11 - 36M | Regular | 11.2638 | 11.2638 | 10.0792 | 10.0792 | 1.60% | 1.58% | 12.48% | 1.07% |
| | Direct | 11.3429 | 11.3429 | 10.0973 | 10.0973 | 1.08% | 0.58% | | |
| DSPBRGESS - Series 1 | Regular | 11.3160 | 11.3160 | 9.6577 | 9.6577 | 2.94% | 2.94% | 16.07% | (3.46)% |
| | Direct | 11.3747 | 11.3747 | 9.6593 | 9.6593 | 2.44% | 2.44% | | |
| DSPBRDAF - S13 - 35M | Regular | 11.2718 | 11.2718 | 9.7280 | 9.7280 | 1.85% | 1.74% | 13.11% | (2.48)% |
| | Direct | 11.3457 | 11.3457 | 9.7395 | 9.7395 | 1.32% | 0.47% | | |
| DSPBRDAF - S14 - 33M | Regular | 11.3684 | 11.3684 | - | - | 1.68% | - | 14.26% | - |
| | Direct | 11.4210 | 11.4210 | - | - | 1.19% | - | | |
| DSPBRDAF - S15 - 36M | Regular | 11.1287 | 11.1287 | - | - | 1.70% | - | 12.19% | - |
| | Direct | 11.1756 | 11.1756 | - | - | 1.20% | - | | |
| DSPBRDAF - S16 - 36M | Regular | 11.5985 | 11.5985 | - | - | 1.69% | - | 16.09% | - |
| | Direct | 11.6415 | - | - | - | 1.20% | - | | |
| DSPBRDAF - S17 - 35M | Regular | 12.0850 | 12.0850 | - | - | 1.70% | - | 19.27% | - |
| | Direct | 12.3542 | - | - | - | 1.20% | - | | |
| DSPBRDAF - S18 - 34M | Regular | 11.1245 | 11.1245 | - | - | 1.95% | - | 11.51% | - |
| | Direct | 11.1509 | 11.1509 | - | - | 1.46% | - | | |
| DSPBRDAF - S19 - 36M | Regular | 10.4610 | 10.4610 | - | - | 1.97% | - | 5.34% | - |
| | Direct | 10.4817 | 10.4817 | - | - | 1.48% | - | | |

G-Growth, D-Dividend

* refers to Computed NAV.

This is on annualised basis, ## This is on actual basis.

12. Industry wise classification of investments:

Total value of investments falling under each major industry group and within each major classification, the percentage thereof in relation to the total investment within the classification as on March 31, 2014.

| DSP BlackRock Dual Advantage Fund - Series 1 - 36M | | |
|--|----------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| DERIVATIVES (^ 23.66%) | | |
| Index Option | 263,445,625 | 100.00% |
| Total | 263,445,625 | 100.00% |
| BONDS & NCD's (^ 64.19%) | | |
| Listed / awaiting listing on the stock exchanges** | | |
| Banks | 125,097,750 | 17.50% |
| Finance | 459,728,519 | 64.33% |
| Power | 129,847,250 | 18.17% |
| Total | 714,673,519 | 100.00% |
| Unlisted** (^ 7.63%) | | |
| Finance | 84,923,415 | 100.00% |
| Total | 84,923,415 | 100.00% |
| MONEY MARKET INSTRUMENTS | | |
| Certificate of Deposit** (^ 1.46%) | | |
| Banks | 16,252,023 | 100.00% |
| Total | 16,252,023 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 1,079,294,582 | |

**Non-traded/thinly traded investments

^ Percentage of Total Market Value to net assets as at March 31, 2014

Aggregate fair value of non-traded investments as at March 31, 2014 is ₹ 815,848,957

| DSP BlackRock Dual Advantage Fund - Series 2 - 36M | | |
|--|--------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| DERIVATIVES** (^ 26.03%) | | |
| Index Option | 243,789,913 | 100.00% |
| Total | 243,789,913 | 100.00% |
| BONDS & NCD's (^ 52.03%) | | |
| Listed / awaiting listing on the stock exchanges** | | |
| Cement | 99,896,200 | 20.50% |
| Finance | 267,524,723 | 54.90% |
| Power | 119,859,000 | 24.60% |
| Total | 487,279,923 | 100.00% |
| Unlisted** (^ 16.78%) | | |
| Auto | 105,162,500 | 66.93% |
| Finance | 51,953,148 | 33.07% |
| Total | 157,115,648 | 100.00% |
| MONEY MARKET INSTRUMENTS | | |
| Certificate of Deposit** (^ 1.28%) | | |
| Banks | 11,956,588 | 100.00% |
| Total | 11,956,588 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 900,142,072 | |

**Non-traded/thinly traded investments

^ Percentage of Total Market Value to net assets as at March 31, 2014

Aggregate fair value of non-traded investments as at March 31, 2014 is ₹ 900,142,072

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

| DSP BlackRock Dual Advantage Fund - Series 3 - 36M | | |
|--|----------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| EQUITY & EQUITY RELATED (^ 19.88%) | | |
| Listed / awaiting listing on the stock exchanges | | |
| Auto | 14,229,604 | 11.08% |
| Banks | 33,972,074 | 26.46% |
| Cement | 3,527,550 | 2.75% |
| Construction Project | 3,361,632 | 2.62% |
| Consumer Non Durables | 13,703,006 | 10.67% |
| Finance | 14,846,444 | 11.56% |
| Gas | 3,124,924 | 2.43% |
| Minerals/Mining | 6,176,144 | 4.81% |
| Pharmaceuticals | 14,813,798 | 11.54% |
| Power | 2,043,336 | 1.59% |
| Software | 13,053,217 | 10.17% |
| Telecom - Services | 5,552,364 | 4.32% |
| Total | 128,404,093 | 100.00% |
| BONDS & NCD's (^ 68.79%) | | |
| Listed / awaiting listing on the stock exchanges** | | |
| Banks | 160,023,670 | 36.01% |
| Ferrous Metals | 85,304,385 | 19.19% |
| Finance | 199,111,700 | 44.80% |
| Total | 444,439,755 | 100.00% |
| MONEY MARKET INSTRUMENTS | | |
| Certificate of Deposit** (^ 1.44%) | | |
| Banks | 9,286,870 | 100.00% |
| Total | 9,286,870 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 582,130,718 | |

**Non-traded/thinly traded investments

^ Percentage of Total Market Value to net assets as at March 31, 2014
 Aggregate fair value of non-traded investments as at March 31, 2014 is
 ₹ 453,726,625

| DSP BlackRock RGESS Fund - Series 1 | | |
|--|----------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| EQUITY & EQUITY RELATED (^ 96.37%) | | |
| Listed / awaiting listing on the stock exchanges | | |
| Auto | 27,804,336 | 6.07% |
| Banks | 130,741,729 | 28.53% |
| Cement | 9,509,522 | 2.08% |
| Construction Project | 34,266,258 | 7.48% |
| Consumer Non Durables | 26,102,786 | 5.70% |
| Ferrous Metals | 4,065,170 | 0.89% |
| Finance | 23,145,143 | 5.05% |
| Gas | 7,574,023 | 1.65% |
| Industrial Capital Goods | 10,402,010 | 2.27% |
| Industrial Products | 3,248,382 | 0.71% |
| Media & Entertainment | 6,224,769 | 1.36% |
| Minerals/Mining | 1,583,820 | 0.35% |
| Oil | 26,557,266 | 5.80% |
| Petroleum Products | 27,667,881 | 6.04% |
| Pharmaceuticals | 11,823,926 | 2.58% |
| Power | 10,058,531 | 2.18% |
| Services | 13,627,063 | 2.97% |
| Software | 72,605,067 | 15.84% |
| Telecom - Services | 2,721,211 | 0.59% |
| Transportation | 8,543,596 | 1.86% |
| Total | 458,272,489 | 100.00% |
| PREFERENCE SHARES (^ 0.08%) | | |
| Listed / awaiting listing on the stock exchanges | | |
| Media & Entertainment | 381,699 | 100.00% |
| Total | 381,699 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 458,654,188 | |

^ Percentage of Total Market Value to net assets as at March 31, 2014
 Aggregate fair value of non-traded investments as at March 31, 2014 is Nil.

| DSP BlackRock Dual Advantage Fund - Series 15 - 36M | | |
|---|----------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| DERIVATIVES** (^ 24.05%) | | |
| Index Option | 188,649,000 | 100.00% |
| Total | 188,649,000 | 100.00% |
| BONDS & NCD's (^ 72.03%) | | |
| Listed / awaiting listing on the stock exchanges** | | |
| Banks | 35,247,835 | 6.24% |
| Fertilisers | 98,231,800 | 17.39% |
| Finance | 331,591,370 | 58.69% |
| Power | 99,884,800 | 17.68% |
| Total | 564,955,805 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 753,604,805 | |

**Non-traded/thinly traded investments

^ Percentage of Total Market Value to net assets as at March 31, 2014
 Aggregate fair value of non-traded investments as at March 31, 2014 is
 ₹ 753,604,805

| DSP BlackRock Dual Advantage Fund - Series 19 - 36M | | |
|---|----------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| EQUITY & EQUITY RELATED (^ 24.72%) | | |
| Listed / awaiting listing on the stock exchanges | | |
| Auto | 26,979,789 | 18.06% |
| Banks | 34,529,703 | 23.12% |
| Cement | 7,054,662 | 4.72% |
| Chemicals | 6,047,043 | 4.05% |
| Construction Project | 12,799,130 | 8.57% |
| Consumer Non Durables | 16,402,434 | 10.98% |
| Minerals/Mining | 1,002,332 | 0.67% |
| Pharmaceuticals | 4,237,768 | 2.84% |
| Power | 4,465,755 | 2.99% |
| Software | 20,845,122 | 13.95% |
| Telecom - Services | 15,014,934 | 10.05% |
| Total | 149,378,672 | 100.00% |
| BONDS & NCD's (^ 70.47%) | | |
| Listed / awaiting listing on the stock exchanges** | | |
| Finance | 425,756,275 | 100.00% |
| Total | 425,756,275 | 100.00% |
| MONEY MARKET INSTRUMENTS | | |
| Certificate of Deposit** (^ 1.59%) | | |
| Banks | 9,588,940 | 100.00% |
| Total | 9,588,940 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 584,723,887 | |

**Non-traded/thinly traded investments

^ Percentage of Total Market Value to net assets as at March 31, 2014
 Aggregate fair value of non-traded investments as at March 31, 2014 is
 ₹ 435,345,215

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

| DSP BlackRock Dual Advantage Fund - Series 11 - 36M | | |
|---|----------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| DERIVATIVES** (^ 22.31%) | | |
| Index Option | 264,622,215 | 100.00% |
| Total | 264,622,215 | 100.00% |
| BONDS & NCD's (^ 71.18%) | | |
| Listed / awaiting listing on the stock exchanges** | | |
| Auto | 147,556,200 | 17.48% |
| Banks | 29,986,920 | 3.55% |
| Finance | 547,820,300 | 64.87% |
| Petroleum Products | 119,085,480 | 14.10% |
| Total | 844,448,900 | 100.00% |
| MONEY MARKET INSTRUMENTS | | |
| Certificate of Deposit** (^ 4.53%) | | |
| Banks | 53,693,045 | 100.00% |
| Total | 53,693,045 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 1,162,764,160 | |

**Non-traded/thinly traded investments

^ Percentage of Total Market Value to net assets as at March 31, 2014

Aggregate fair value of non-traded investments as at March 31, 2014 is

₹ 1,162,764,160

| DSP BlackRock Dual Advantage Fund - Series 4 - 36M | | |
|---|----------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| DERIVATIVES** (^ 30.00%) | | |
| Index Option | 329,942,550 | 100.00% |
| Total | 329,942,550 | 100.00% |
| BONDS & NCD's (^ 64.16%) | | |
| Listed / awaiting listing on the stock exchanges** | | |
| Banks | 150,235,700 | 21.29% |
| Ferrous Metals | 15,053,715 | 2.13% |
| Finance | 540,483,705 | 76.58% |
| Total | 705,773,120 | 100.00% |
| MONEY MARKET INSTRUMENTS | | |
| Certificate of Deposit** (^ 0.84%) | | |
| Banks | 9,286,870 | 100.00% |
| Total | 9,286,870 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 1,045,002,540 | |

**Non-traded/thinly traded investments

^ Percentage of Total Market Value to net assets as at March 31, 2014

Aggregate fair value of non-traded investments as at March 31, 2014 is

₹ 1,045,002,540

| DSP BlackRock Dual Advantage Fund - Series 5 - 36M | | |
|---|----------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| DERIVATIVES (^ 27.49%) | | |
| Index Option | 350,365,800 | 100.00% |
| Total | 350,365,800 | 100.00% |
| BONDS & NCD's (^ 67.49%) | | |
| Listed / awaiting listing on the stock exchanges** | | |
| Banks | 150,066,000 | 17.44% |
| Ferrous Metals | 100,358,100 | 11.67% |
| Finance | 609,831,692 | 70.89% |
| Total | 860,255,792 | 100.00% |
| MONEY MARKET INSTRUMENTS | | |
| Certificate of Deposit** (^ 1.09%) | | |
| Banks | 13,930,305 | 100.00% |
| Total | 13,930,305 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 1,224,551,897 | |

**Non-traded/thinly traded investments

^ Percentage of Total Market Value to net assets as at March 31, 2014

Aggregate fair value of non-traded investments as at March 31, 2014 is

₹ 874,186,097

| DSP BlackRock Dual Advantage Fund - Series 13 - 35M | | |
|---|----------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| DERIVATIVES (^ 21.75%) | | |
| Index Option | 160,668,845 | 100.00% |
| Total | 160,668,845 | 100.00% |
| BONDS & NCD's (^ 72.01%) | | |
| Listed / awaiting listing on the stock exchanges** | | |
| Auto | 93,452,260 | 17.57% |
| Banks | 60,017,640 | 11.27% |
| Finance | 348,771,860 | 65.56% |
| Petroleum Products | 29,771,370 | 5.60% |
| Total | 532,013,130 | 100.00% |
| MONEY MARKET INSTRUMENTS | | |
| Certificate of Deposit** (^ 2.52%) | | |
| Banks | 18,653,440 | 100.00% |
| Total | 18,653,440 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 711,335,415 | |

**Non-traded/thinly traded investments

^ Percentage of Total Market Value to net assets as at March 31, 2014

Aggregate fair value of non-traded investments as at March 31, 2014 is

₹ 550,666,570

| DSP BlackRock Dual Advantage Fund - Series 14 - 33M | | |
|---|----------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| DERIVATIVES (^ 23.32%) | | |
| Index Option | 87,485,025 | 50.84% |
| Index Option** | 84,603,750 | 49.16% |
| Total | 172,088,775 | 100.00% |
| BONDS & NCD's (^ 72.78%) | | |
| Listed / awaiting listing on the stock exchanges** | | |
| Auto | 34,648,355 | 6.45% |
| Finance | 403,133,766 | 75.07% |
| Petroleum Products | 99,237,900 | 18.48% |
| Total | 537,020,021 | 100.00% |
| MONEY MARKET INSTRUMENTS | | |
| Certificate of Deposit** (^ 0.94%) | | |
| Banks | 6,965,153 | 100.00% |
| Total | 6,965,153 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 716,073,949 | |

**Non-traded/thinly traded investments

^ Percentage of Total Market Value to net assets as at March 31, 2014

Aggregate fair value of non-traded investments as at March 31, 2014 is

₹ 628,588,924

| DSP BlackRock Dual Advantage Fund - Series 16 - 36M | | |
|---|----------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| DERIVATIVES (^ 26.17%) | | |
| Index Option | 138,993,000 | 61.65% |
| Index Option** | 86,475,315 | 38.35% |
| Total | 225,468,315 | 100.00% |
| BONDS & NCD's (^ 69.08%) | | |
| Listed / awaiting listing on the stock exchanges** | | |
| Auto | 98,243,600 | 16.51% |
| Fertilisers | 98,231,800 | 16.51% |
| Finance | 348,722,230 | 58.59% |
| Power | 49,942,400 | 8.39% |
| Total | 595,140,030 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 820,608,345 | |

**Non-traded/thinly traded investments

^ Percentage of Total Market Value to net assets as at March 31, 2014

Aggregate fair value of non-traded investments as at March 31, 2014 is

₹ 681,615,345

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

| DSP BlackRock Dual Advantage Fund - Series 17 - 35M | | |
|---|----------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| DERIVATIVES** (^ 28.64%) | | |
| Index Option | 145,363,823 | 100.00% |
| Total | 145,363,823 | 100.00% |
| BONDS & NCD's (^ 66.68%) | | |
| Listed / awaiting listing on the stock exchanges** | | |
| Banks | 48,689,900 | 14.39% |
| Finance | 230,775,510 | 68.19% |
| Retailing | 58,956,000 | 17.42% |
| Total | 338,421,410 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 483,785,233 | |

**Non-traded/thinly traded investments

^ Percentage of Total Market Value to net assets as at March 31, 2014

Aggregate fair value of non-traded investments as at March 31, 2014 is ₹ 483,785,233

| DSP BlackRock Dual Advantage Fund - Series 18 - 34M | | |
|---|----------------------|----------------|
| Industry | Market value in ₹ | Percentage |
| DERIVATIVES (^ 23.38%) | | |
| Index Option | 148,089,850 | 100.00% |
| Total | 148,089,850 | 100.00% |
| BONDS & NCD's (^ 71.75%) | | |
| Listed / awaiting listing on the stock exchanges** | | |
| Auto | 73,682,700 | 16.21% |
| Banks | 97,379,800 | 21.43% |
| Fertilisers | 19,646,360 | 4.32% |
| Finance | 163,280,450 | 35.92% |
| Power | 100,542,700 | 22.12% |
| Total | 454,532,010 | 100.00% |
| TOTAL VALUE OF INVESTMENTS | 602,621,860 | |

**Non-traded/thinly traded investments

^ Percentage of Total Market Value to net assets as at March 31, 2014

Aggregate fair value of non-traded investments as at March 31, 2014 is ₹ 454,532,010

13. Details of large holding in the schemes (i.e. over 25% of the net assets of the schemes):

As of March 31, 2014: NIL

As of March 31, 2013: NIL

14. Details of Investments in Derivative Instruments:

2013-2014

Hedging Positions through Futures as on 31st March 2014: Nil

For the period 01st April 2013 to 31st March 2014, following hedging transactions through futures have been squared off/expired: Nil

Other than Hedging Positions through Futures as on 31st March 2014: Nil

For the period 01st April 2013 to 31st March 2014, following non-hedging transactions through futures have been squared off/expired: Nil

Hedging Positions through Put Options as on 31st March 2014: Nil

For the period 01st April 2013 to 31st March 2014, hedging transactions through options which have been squared off/expired: Nil

Other than Hedging Positions through Options as on 31st March 2014:

| Scheme | Underlying | Call/Put | Number of Contracts | Option Price when purchased | Current Option Price | Total exposure through options as a percentage of net assets |
|---|------------|----------------------------|---------------------|-----------------------------|----------------------|--|
| DSP BlackRock Dual Advantage Fund - Series 1 - 36M | NIFTY | CALL NIFTY 24/12/2014 5400 | 3319 | 1,093.45 | 1,587.50 | 23.66% |
| DSP BlackRock Dual Advantage Fund - Series 2 - 36M | NIFTY | CALL NIFTY 24/12/2014 5200 | 2615 | 1,146.40 | 1,864.55 | 26.03% |
| DSP BlackRock Dual Advantage Fund - Series 4 - 36M | NIFTY | CALL NIFTY 25/06/2015 5100 | 3020 | 1,139.02 | 2,185.05 | 30.00% |
| DSP BlackRock Dual Advantage Fund - Series 5 - 36M | NIFTY | CALL NIFTY 25/06/2015 5200 | 3615 | 1,138.83 | 1,938.40 | 27.49% |
| DSP BlackRock Dual Advantage Fund - Series 11 - 36M | NIFTY | CALL NIFTY 31/12/2015 6100 | 3322 | 1,203.73 | 1,593.15 | 22.31% |
| DSP BlackRock Dual Advantage Fund - Series 13 - 35M | NIFTY | CALL NIFTY 31/12/2015 5900 | 2011 | 1,161.25 | 1,597.90 | 21.75% |
| DSP BlackRock Dual Advantage Fund - Series 14 - 33M | NIFTY | CALL NIFTY 31/12/2015 5800 | 924 | 1,081.85 | 1,831.25 | 11.47% |
| DSP BlackRock Dual Advantage Fund - Series 14 - 33M | NIFTY | CALL NIFTY 31/12/2015 5900 | 1095 | 1,095.45 | 1,597.90 | 11.86% |
| DSP BlackRock Dual Advantage Fund - Series 15 - 36M | NIFTY | CALL NIFTY 30/06/2016 6100 | 2055 | 1,264.93 | 1,836.00 | 24.05% |
| DSP BlackRock Dual Advantage Fund - Series 16 - 36M | NIFTY | CALL NIFTY 30/06/2016 5800 | 838 | 1,240.80 | 2,063.85 | 10.04% |
| DSP BlackRock Dual Advantage Fund - Series 16 - 36M | NIFTY | CALL NIFTY 30/06/2016 5900 | 1299 | 1,200.80 | 2,140.00 | 16.13% |
| DSP BlackRock Dual Advantage Fund - Series 17 - 35M | NIFTY | CALL NIFTY 30/06/2016 5400 | 893 | 1,338.10 | 2,375.85 | 20.90% |
| DSP BlackRock Dual Advantage Fund - Series 17 - 35M | NIFTY | CALL NIFTY 30/06/2016 5500 | 342 | 1,303.01 | 2,297.20 | 7.74% |
| DSP BlackRock Dual Advantage Fund - Series 18 - 34M | NIFTY | CALL NIFTY 30/06/2016 5900 | 744 | 1,344.00 | 2,140.00 | 12.57% |
| DSP BlackRock Dual Advantage Fund - Series 18 - 34M | NIFTY | CALL NIFTY 30/06/2016 6000 | 809 | 1,296.54 | 1,693.00 | 10.81% |

For the period 01st April 2013 to 31st March 2014, following non-hedging transactions through options have been squared off/expired : Nil

Hedging Positions through swaps as on 31st March 2014 : Nil

Note : In case of derivative transactions, end of the day position on the date of such transaction is considered as the basis to assess the nature of transaction as hedge / non-hedge

2012-2013

Hedging Positions through Futures as on 31st March 2013 : Nil

For the period 01st April 2012 to 31st March 2013, following hedging transactions through futures have been squared off/expired :

| Scheme | Total Number of contracts where futures were bought | Total Number of contracts where futures were sold | Gross Notional Value of contracts where futures were bought | Gross Notional Value of contracts where futures were sold | Net Profit/Loss value on all contracts combined |
|--|---|---|---|---|---|
| DSP BlackRock Dual Advantage Fund - Series 3 - 36M | 73 | 73 | 19,734,598 | 19,617,460 | (117,138) |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

Other than Hedging Positions through Futures as on 31st March 2013 : Nil

For the period 01st April 2012 to 31st March 2013, following non-hedging transactions through futures have been squared off/expired :

| Scheme | Total Number of contracts where futures were bought (opening balance) | Total Number of contracts where futures were bought | Total Number of contracts where futures were sold | Gross Notional Value of contracts where futures were bought | Gross Notional Value of contracts where futures were sold | Net Profit/ Loss value on all contracts combined |
|--|---|---|---|---|---|--|
| DSP BlackRock Dual Advantage Fund - Series 3 - 36M | - | 214 | 214 | 63,373,716 | 62,615,323 | (758,394) |

Hedging Positions through Put Options as on 31st March 2013 : Nil

For the period 01st April 2012 to 31st March 2013, hedging transactions through options which have been squared off/expired : Nil

Other than Hedging Positions through Options as on 31st March 2013 :

| Scheme | Underlying | Call/Put | Number of Contracts | Option Price when purchased | Current Option Price | Total exposure through options as a percentage of net assets |
|---|------------|----------------------------|---------------------|-----------------------------|----------------------|--|
| DSP BlackRock Dual Advantage Fund - Series 1 - 36M | NIFTY | CALL NIFTY 24/12/2014 5400 | 3319 | 1,093.45 | 935.00 | 16.23% |
| DSP BlackRock Dual Advantage Fund - Series 2 - 36M | NIFTY | CALL NIFTY 24/12/2014 5200 | 2615 | 1,146.40 | 1,062.00 | 17.62% |
| DSP BlackRock Dual Advantage Fund - Series 4 - 36M | NIFTY | CALL NIFTY 25/06/2015 5100 | 3020 | 1,139.02 | 1,622.70 | 25.15% |
| DSP BlackRock Dual Advantage Fund - Series 5 - 36M | NIFTY | CALL NIFTY 25/06/2015 5200 | 3615 | 1,138.83 | 1,192.00 | 19.77% |
| DSP BlackRock Dual Advantage Fund - Series 13 - 35M | NIFTY | CALL NIFTY 31/12/2015 5900 | 2011 | 1,161.25 | 926.00 | 14.60% |
| DSP BlackRock Dual Advantage Fund - Series 11 - 36M | NIFTY | CALL NIFTY 31/12/2015 6100 | 3322 | 1,203.73 | 1,181.05 | 18.48% |

For the period 01st April 2012 to 31st March 2013, following non-hedging transactions through options have been squared off/expired :

| Scheme | Underlying | Call/Put | Total Number of contracts entered into | Gross Notional Value of contracts entered into | Net Profit/Loss value on all contracts (treat premium paid as loss) |
|--|------------|----------|--|--|---|
| DSP BlackRock Dual Advantage Fund - Series 3 - 36M | NIFTY | Put | 1,895 | 4,642,087 | (4,392,042) |

Hedging Positions through swaps as on 31st March 2013 : Nil

Note : In case of derivative transactions, end of the day position on the date of such transaction is considered as the basis to assess the nature of transaction as hedge / non-hedge

15. Prior Period Comparatives:

Prior year / period figures have been reclassified wherever necessary to conform to current year's presentation. For DSPBRDAF – S14 - 33M and DSPBRDAF – S15 - 36M, DSPBRDAF – S16 - 36M, DSPBRDAF – S17 - 35M, DSPBRDAF – S18 - 34M and DSPBRDAF – S19 - 36M, as these are the first Financial Statements since the date of launch, there are no comparatives for the prior year/period.

During the year/period, the Schemes have changed the treatment and presentation of "change in unrealised appreciation". In the current year/period, the net change in market value of investments has been recorded in the revenue account and the unrealised appreciation is subsequently appropriated to the unrealised appreciation reserve. In the previous years, the change in the unrealised appreciation on investments (category-wise) was transferred directly to the unrealised appreciation reserve. This change has no impact on the distributable surplus or net asset value of the respective plans of the schemes.

The change in surplus / (deficit) as per Revenue Account for the previous year/period has been given below:

| Scheme Name | DSPBRDAF - S1 - 36M | DSPBRDAF - S2 - 36M | DSPBRDAF - S3 - 36M | DSPBRDAF - S4 - 36M | DSPBRDAF - S5 - 36M | DSPBRDAF - S11 - 36M | DSPBRDAF - S13 - 35M | DSPBRRGESS - Series 1 |
|--|---------------------|---------------------|---------------------|---------------------|---------------------|----------------------|----------------------|-----------------------|
| | 31-Mar-13 | 31-Mar-13 | 31-Mar-13 | 31-Mar-13 | 31-Mar-13 | 31-Mar-13 | 31-Mar-13 | 31-Mar-13 |
| Surplus / (Deficit) as per revenue account for the previous year | 40,618,576 | 34,690,607 | 36,281,210 | 34,500,910 | 38,073,478 | 8,070,355 | (19,688,614) | (14,386,256) |
| Impact on change in unrealised appreciation recorded in revenue account | 1,334,140 | (69,139,090) | 3,503,100 | 77,751,950 | 15,438,700 | 285,370 | 1,859,730 | - |
| Revised Surplus / (Deficit) as per revenue account for the previous year | 41,952,716 | (34,448,483) | 39,784,310 | 112,252,860 | 53,512,178 | 8,355,725 | (17,828,884) | (14,386,256) |

DSP BLACKROCK MUTUAL FUND
SCHEDULES FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2014 AND THE
REVENUE ACCOUNT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

16. Signatories to financial statements in capacity as Fund Managers:

| Fund Managers | Scheme Name |
|----------------------------------|---|
| Dhawal Dalal and Vinit Sambre | DSP BlackRock Dual Advantage Fund - Series 1 - 36M |
| | DSP BlackRock Dual Advantage Fund - Series 2 - 36M |
| | DSP BlackRock Dual Advantage Fund - Series 3 - 36M |
| | DSP BlackRock Dual Advantage Fund - Series 4 - 36M |
| | DSP BlackRock Dual Advantage Fund - Series 5 - 36M |
| | DSP BlackRock Dual Advantage Fund - Series 11 - 36M |
| | DSP BlackRock Dual Advantage Fund - Series 13 - 35M |
| | DSP BlackRock Dual Advantage Fund - Series 14 - 33M |
| | DSP BlackRock Dual Advantage Fund - Series 15 - 36M |
| | DSP BlackRock Dual Advantage Fund - Series 16 - 36M |
| | DSP BlackRock Dual Advantage Fund - Series 17 - 35M |
| | DSP BlackRock Dual Advantage Fund - Series 18 - 34M |
| | DSP BlackRock Dual Advantage Fund - Series 19 - 36M |
| | Apoorva Shah and Dhawal Dalal |

For S. R. BATLIBOI & CO. LLP
 Chartered Accountants
 ICAI Firm Registration No. : 301003E

Sd/-
per Shrawan Jalan
 Partner
 Membership No. : 102102

Mumbai, 19 June 2014

For and on behalf of Board of
DSP BlackRock Trustee Company Private Limited

Sd/-
Shitin D. Desai
 Chairman

Sd/-
S. S. Thakur
 Director

Mumbai, 19 June 2014

For and on behalf of Board of
DSP BlackRock Investment Managers Private Limited

Sd/-
Hemendra Kothari
 Chairman

Sd/-
K. R. V. Subrahmanian
 Director

Sd/-
Naganath Sundaresan*
 President & Chief Investment Officer

Sd/-
Dhawal Dalal**
 Fund Manager

Sd/-
Apoorva Shah*
 Fund Manager

Sd/-
Vinit Sambre
 Fund Manager

Mumbai, 13 June 2014

* Signed on June 11, 2014

** Signed on June 5, 2014

DSP BLACKROCK MUTUAL FUND
CASH FLOW STATEMENT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

| | | DSP BlackRock Dual Advantage Fund - Series 1 - 36M (DSPBRDAF - S1 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 2 - 36M (DSPBRDAF - S2 - 36M) | |
|-----------|---|--|-----------------------|--|-----------------------|
| | | Year ended 31.03.14 | Year ended 31.03.13 | Year ended 31.03.14 | Year ended 31.03.13 |
| | | ₹ | ₹ | ₹ | ₹ |
| A. | Cashflow from Operating Activity | | | | |
| | Net income as per Revenue Account | 157,433,454 | 41,952,716 | 148,338,783 | (34,448,483) |
| | Add / (Less): Unrealised Appreciation reversed / (provided) | (80,722,936) | (1,334,140) | (95,257,537) | 69,139,090 |
| | Add / (Less): Unrealised loss provided / (written back) | (25,471,125) | 17,996,501 | (10,384,238) | 11,955,823 |
| | Operating Profit Before Working Capital Changes | 51,239,393 | 58,615,077 | 42,697,008 | 46,646,430 |
| | Adjustments for:- | | | | |
| | (Increase)/decrease in other current assets | (21,828,994) | 2,559,566 | (32,447,992) | 1,767,250 |
| | (Increase)/decrease in investments | (31,600,949) | (64,739,873) | (20,005,595) | (101,671,523) |
| | Increase/(decrease) in current liabilities & provisions | 76,025 | 1,290,380 | 64,546 | 1,934,896 |
| | Net Cash Generated From / (used in) Operating Activities | (2,114,525) | (2,274,850) | (9,692,033) | (51,322,947) |
| B. | Cashflow from Financing Activities | | | | |
| | Increase/(decrease) in unit corpus | - | 100 | - | - |
| | Net Cash from / (Used in) Financing Activities | (2,114,525) | (2,274,750) | (9,692,033) | (51,322,947) |
| | Net Increase / (Decrease) in Cash & Cash Equivalents | (2,114,525) | (2,274,750) | (9,692,033) | (51,322,947) |
| | Cash and cash equivalents as at the beginning of the year / period | 4,576,633 | 6,851,383 | 15,496,186 | 66,819,133 |
| | Cash and cash equivalents as at the close of the year / period | 2,462,108 | 4,576,633 | 5,804,153 | 15,496,186 |
| | Net Increase / (Decrease) in Cash & Cash Equivalents | (2,114,525) | (2,274,750) | (9,692,033) | (51,322,947) |
| | Components in cash and cash equivalents | | | | |
| | With banks - on current account | 265,959 | 285,157 | 3,108,879 | 3,120,767 |
| | Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) | 2,196,149 | 4,291,476 | 2,695,274 | 12,375,419 |
| | 2,462,108 | 4,576,633 | 5,804,153 | 15,496,186 | |
| | | DSP BlackRock Dual Advantage Fund - Series 3 - 36M (DSPBRDAF - S3 - 36M) | | DSP BlackRock Dual Advantage Fund - Series 4 - 36M (DSPBRDAF - S4 - 36M) | |
| | | Year ended 31.03.14 | Period ended 31.03.13 | Year ended 31.03.14 | Period ended 31.03.13 |
| | | ₹ | ₹ | ₹ | ₹ |
| A. | Cashflow from Operating Activity | | | | |
| | Net income as per Revenue Account | 57,279,701 | 39,784,310 | 125,547,243 | 112,252,860 |
| | Add / (Less): Unrealised Appreciation reversed / (provided) | (7,856,231) | (3,503,100) | (80,237,874) | (77,751,950) |
| | Add / (Less): Unrealised loss provided / (written back) | 22,792 | 1,318,493 | 2,925,402 | 121,478 |
| | Operating Profit Before Working Capital Changes | 49,446,262 | 37,599,703 | 48,234,771 | 34,622,388 |
| | Adjustments for:- | | | | |
| | (Increase)/decrease in other current assets | (2,193,686) | (25,339,830) | (13,279,499) | (213,074,291) |
| | (Increase)/decrease in investments | (39,854,649) | (531,713,736) | (45,499,193) | (672,567,653) |
| | Increase/(decrease) in current liabilities & provisions | (10,119) | 1,621,769 | 79,443 | 2,711,317 |
| | Net Cash Generated From / (used in) Operating Activities | 7,387,808 | (517,832,094) | (10,464,478) | (848,308,239) |
| B. | Cashflow from Financing Activities | | | | |
| | Increase/(decrease) in unit corpus | - | 548,983,048 | - | 862,168,857 |
| | Net Cash from / (Used in) Financing Activities | (7,387,808) | 31,150,954 | (10,464,478) | 13,860,618 |
| | Net Increase / (Decrease) in Cash & Cash Equivalents | (7,387,808) | 31,150,954 | (10,464,478) | 13,860,618 |
| | Cash and cash equivalents as at the beginning of the year / period | 31,150,954 | - | 13,860,618 | - |
| | Cash and cash equivalents as at the close of the year / period | 38,538,762 | 31,150,954 | 3,396,140 | 13,860,618 |
| | Net Increase / (Decrease) in Cash & Cash Equivalents | 7,387,808 | 31,150,954 | (10,464,478) | 13,860,618 |
| | Components in cash and cash equivalents | | | | |
| | With banks - on current account | 1,304,050 | 412,011 | 301,566 | 287,578 |
| | Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) | 37,234,712 | 30,738,943 | 3,094,574 | 13,573,040 |
| | 38,538,762 | 31,150,954 | 3,396,140 | 13,860,618 | |

Note: The above cashflow statement has been prepared under the indirect method set out in Accounting Standard 3 - Cash Flow Statement, issued by the Institute of Chartered Accountants of India.

As per our attached report of even date

For S. R. BATLIBOI & CO. LLP
Chartered Accountants
ICAI Firm Registration No. : 301003E

For and on behalf of Board of DSP BlackRock Trustee Company Private Limited

For and on behalf of Board of DSP BlackRock Investment Managers Private Limited

Sd/-
per Shrawan Jalan
Partner
Membership No. : 102102

Sd/-
Shitin D. Desai
Chairman

Sd/-
S. S. Thakur
Director

Sd/-
Hemendra Kothari
Chairman

Sd/-
K. R. V. Subrahmanian
Director

Sd/-
Naganath Sundaresan*
President & Chief Investment Officer

Sd/-
Dhawal Dalal**
Fund Manager

Sd/-
Apoorva Shah*
Fund Manager

Sd/-
Vinit Sambre
Fund Manager

DSP BLACKROCK MUTUAL FUND
CASH FLOW STATEMENT FOR THE YEAR/PERIOD APRIL 01, 2013 / LAUNCH DATE OF THE SCHEME TO MARCH 31, 2014

| | | DSP BlackRock Dual Advantage Fund - Series 14 - 33M (DSPBRDAF - S14 - 33M) | DSP BlackRock Dual Advantage Fund - Series 15 - 36M (DSPBRDAF - S15 - 36M) | DSP BlackRock Dual Advantage Fund - Series 16 - 36M (DSPBRDAF - S16 - 36M) |
|-----------|---|---|---|---|
| | | Period ended | Period ended | Period ended |
| | | 31.03.14 | 31.03.14 | 31.03.14 |
| | | ₹ | ₹ | ₹ |
| A. | Cashflow from Operating Activity | | | |
| | Net income as per Revenue Account | 88,834,385 | 79,560,926 | 118,740,375 |
| | Add / (Less): Unrealised Appreciation reversed / (provided) | (62,161,436) | (58,677,710) | (95,486,835) |
| | Add / (Less): Unrealised loss provided / (written back) | 8,812,457 | 13,639,486 | 8,193,639 |
| | Operating Profit Before Working Capital Changes | 35,485,406 | 34,522,702 | 31,447,179 |
| | Adjustments for:- | | | |
| | (Increase)/decrease in other current assets | (130,313,267) | (157,337,202) | (168,609,309) |
| | (Increase)/decrease in investments | (552,767,612) | (578,595,291) | (603,333,669) |
| | Increase/(decrease) in current liabilities & provisions | 1,304,245 | 1,360,037 | 1,439,707 |
| | Net Cash Generated From / (used in) Operating Activities | (646,291,228) | (700,049,754) | (739,056,092) |
| B. | Cashflow from Financing Activities | | | |
| | Increase/(decrease) in unit corpus | 649,011,400 | 704,721,201 | 742,805,019 |
| | Net Cash from / (Used in) Financing Activities | 649,011,400 | 704,721,201 | 742,805,019 |
| | Net Increase / (Decrease) in Cash & Cash Equivalents | 2,720,172 | 4,671,447 | 3,748,927 |
| | Cash and cash equivalents as at the beginning of the year / period | - | - | - |
| | Cash and cash equivalents as at the close of the year / period | 2,720,172 | 4,671,447 | 3,748,927 |
| | Net Increase / (Decrease) in Cash & Cash Equivalents | 2,720,172 | 4,671,447 | 3,748,927 |
| | Components in cash and cash equivalents | | | |
| | With banks - on current account | 324,373 | 279,149 | 354,878 |
| | Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) | 2,395,799 | 4,392,298 | 3,394,049 |
| | 2,720,172 | 4,671,447 | 3,748,927 | |

| | | DSP BlackRock Dual Advantage Fund - Series 17 - 35M (DSPBRDAF - S17 - 35M) | DSP BlackRock Dual Advantage Fund - Series 18 - 34M (DSPBRDAF - S18 - 34M) | DSP BlackRock Dual Advantage Fund - Series 19 - 36M (DSPBRDAF - S19 - 36M) |
|-----------|---|---|---|---|
| | | Period ended | Period ended | Period ended |
| | | 31.03.14 | 31.03.14 | 31.03.14 |
| | | ₹ | ₹ | ₹ |
| A. | Cashflow from Operating Activity | | | |
| | Net income as per Revenue Account | 87,600,351 | 64,057,967 | 26,628,164 |
| | Add / (Less): Unrealised Appreciation reversed / (provided) | (72,884,272) | (49,260,952) | (14,452,527) |
| | Add / (Less): Unrealised loss provided / (written back) | - | - | 855,275 |
| | Operating Profit Before Working Capital Changes | 14,716,079 | 14,797,015 | 13,030,912 |
| | Adjustments for:- | | | |
| | (Increase)/decrease in other current assets | (102,291,971) | (131,636,965) | (19,891,581) |
| | (Increase)/decrease in investments | (328,873,316) | (450,919,093) | (571,126,634) |
| | Increase/(decrease) in current liabilities & provisions | 831,635 | 1,176,105 | 1,101,501 |
| | Net Cash Generated From / (used in) Operating Activities | (415,617,573) | (566,582,938) | (576,885,802) |
| B. | Cashflow from Financing Activities | | | |
| | Increase/(decrease) in unit corpus | 419,898,444 | 569,414,712 | 577,569,202 |
| | Net Cash from / (Used in) Financing Activities | 419,898,444 | 569,414,712 | 577,569,202 |
| | Net Increase / (Decrease) in Cash & Cash Equivalents | 4,280,871 | 2,831,774 | 683,400 |
| | Cash and cash equivalents as at the beginning of the year / period | - | - | - |
| | Cash and cash equivalents as at the close of the year / period | 4,280,871 | 2,831,774 | 683,400 |
| | Net Increase / (Decrease) in Cash & Cash Equivalents | 4,280,871 | 2,831,774 | 683,400 |
| | Components in cash and cash equivalents | | | |
| | With banks - on current account | 287,872 | 336,150 | 284,100 |
| | Collateralised lending (reverse repurchase transactions) (Reverse Repo) / Collateralised Borrowing and Lending Obligations (CBLO) | 3,992,999 | 2,495,624 | 399,300 |
| | 4,280,871 | 2,831,774 | 683,400 | |

Note: The above cashflow statement has been prepared under the indirect method set out in Accounting Standard 3 - Cash Flow Statement, issued by the Institute of Chartered Accountants of India.

As per our attached report of even date

For S. R. BATLIBOI & CO. LLP
Chartered Accountants
ICAI Firm Registration No. : 301003E

For and on behalf of Board of
DSP BlackRock Trustee Company Private Limited

For and on behalf of Board of
DSP BlackRock Investment Managers Private Limited

Sd/-
per Shrawan Jalan
Partner
Membership No. : 102102

Sd/-
Shitin D. Desai
Chairman

Sd/-
S. S. Thakur
Director

Sd/-
Hemendra Kothari
Chairman

Sd/-
K. R. V. Subrahmanian
Director

Sd/-
Naganath Sundaresan*
President & Chief Investment Officer

Sd/-
Apoorva Shah*
Fund Manager

Sd/-
Dhawal Dalal**
Fund Manager

Sd/-
Vinit Sambre
Fund Manager

DSP BLACKROCK MUTUAL FUND
PERSPECTIVE HISTORICAL PER UNIT STATISTICS

| | | DSPBRDAF - S1 - 36M | | | DSPBRDAF - S2 - 36M | | | DSPBRDAF - S3 - 36M | |
|---|---|---------------------|-------------------|-------------------|---------------------|-------------------|-------------------|---------------------|-------------------|
| | | As at 31.03.14 | As at 31.03.13 | As at 31.03.12 | As at 31.03.14 | As at 31.03.13 | As at 31.03.12 | As at 31.03.14 | As at 31.03.13 |
| | | Regular | Regular | Regular | Regular | Regular | Regular | Regular | Regular |
| a. Net assets value, at the end of the period | G | * 12.1213 | * 10.4074 | * 9.9507 | * 12.5208 | * 10.5374 | * 10.9980 | * 11.7681 | * 10.7247 |
| | D | * 12.1213 | * 10.4074 | * 9.9507 | * 12.5208 | * 10.5374 | * 10.9980 | * 11.7681 | * 10.7247 |
| * Refers to computed NAV | | | | | | | | | |
| b. Gross Income | | | | | | | | | |
| (i) Income other than profit on sale of investments | | 0.8397 | 0.8576 | 0.0662 | 0.8360 | 0.8375 | 0.0224 | 0.8207 | 0.6700 |
| (ii) Income from profit / (loss) on inter scheme sales/transfer of investment | | (0.0554) | (0.0072) | (0.0105) | (0.0352) | 0.0005 | - | 0.0042 | 0.0015 |
| (iii) Income from profit / (loss) on sale of investment to third party | | - | - | 0.0001 | - | 0.0002 | (0.0010) | 0.2991 | 0.2037 |
| (iv) Transfer to revenue account from past years' reserve | | 0.2863 | 0.0082 | - | 0.1475 | 0.0001 | - | 0.0240 | - |
| c. Aggregate of expenses, writeoff, amortisation and charges (excluding change in unrealised depreciation in investments) | | 0.2265 | 0.2122 | 0.0148 | 0.2299 | 0.2145 | 0.0030 | 0.2233 | 0.1903 |
| d. Net Income (excluding change in unrealised depreciation in investments) | | 0.8441 | 0.6464 | 0.0410 | 0.7184 | 0.6238 | 0.0184 | 0.9247 | 0.6849 |
| e. Unrealised appreciation / (depreciation) in value of investments | | 0.8843 | (0.2717) | - | 1.3078 | (0.1047) | - | 0.1825 | 0.0398 |
| f. Redemption Price : | | | | | | | | | |
| Highest Price | | | | | | | | | |
| G | | 12.2751 | 11.3759 | 11.0943 | * 12.5208 | 11.5363 | 10.9964 | * 11.7681 | 10.9166 |
| D | | 12.2751 | 11.3759 | 11.0943 | * 12.5208 | 11.5363 | 10.9964 | * 11.7681 | 10.9166 |
| Lowest Price | | | | | | | | | |
| G | | 10.1451 | 9.5080 | 9.9493 | 10.6460 | 9.5720 | 10.7857 | 10.6445 | 9.9541 |
| D | | 10.1451 | 9.5080 | 9.9493 | 10.6460 | 9.5720 | 10.7857 | 10.6445 | 9.9541 |
| Purchase Price : | | | | | | | | | |
| Highest Price | | | | | | | | | |
| G | | 12.2751 | 11.3759 | 11.0943 | * 12.5208 | 11.5363 | 10.9964 | * 11.7681 | 10.9166 |
| D | | 12.2751 | 11.3759 | 11.0943 | * 12.5208 | 11.5363 | 10.9964 | * 11.7681 | 10.9166 |
| Lowest Price | | | | | | | | | |
| G | | 10.1451 | 9.5080 | 9.9493 | 10.6460 | 9.5720 | 10.7857 | 10.6445 | 9.9541 |
| D | | 10.1451 | 9.5080 | 9.9493 | 10.6460 | 9.5720 | 10.7857 | 10.6445 | 9.9541 |
| * Refers to computed NAV | | | | | | | | | |
| Price Earning Ratio | | | | | | | | | |
| Non-annualised | | 14.51 | 23.54 | (201.84) | 17.64 | 22.72 | 597.72 | 13.07 | 16.23 |
| Annualised | | 14.51 | 25.21 | (14.38) | 17.64 | 23.09 | 9.83 | 13.07 | 14.14 |
| g. Ratio of expenses to average daily net assets by percentage | | 2.00% | 2.00% | 1.93% | 2.00% | 2.00% | 1.69% | 2.00% | 2.08% |
| h. Ratio of gross income to average daily net assets by percentage (excluding transfer to revenue account from past years' reserve but including unrealised appreciation / depreciation on investments). | | 14.73% | 5.45% | (0.41)% | 18.34% | 6.84% | 9.30% | 11.70% | 8.73% |

(1) G- Growth, D- Dividend

(2) The per unit calculations are based on the number of units outstanding at the end of the financial year/period.

(3) Since Schemes are listed but not traded on Stock Exchange as on March 31, 2014, P/E ratio has been computed considering the NAV of March 31, 2014.

DSP BLACKROCK MUTUAL FUND
PERSPECTIVE HISTORICAL PER UNIT STATISTICS

| | | DSPBRDAF - S4 - 36M | | DSPBRDAF - S5 - 36M | | DSPBRDAF - S11 - 36M | | | |
|---|---|---------------------|-------------------|---------------------|-------------------|----------------------|-----------|-------------------|-----------|
| | | As at 31.03.14 | As at 31.03.13 | As at 31.03.14 | As at 31.03.13 | As at 31.03.14 | | As at 31.03.13 | |
| | | Regular | Regular | Regular | Regular | Regular | Direct | Regular | Direct |
| a. Net assets value, at the end of the period | G | * 12.7582 | * 11.3020 | * 12.3004 | * 10.5164 | * 11.2638 | * 11.3429 | * 10.0792 | * 10.0973 |
| | D | * 12.7582 | * 11.3020 | * 12.3004 | * 10.5164 | * 11.2638 | * 11.3429 | * 10.0792 | * 10.0973 |
| * Refers to computed NAV | | | | | | | | | |
| b. Gross Income | | | | | | | | | |
| (i) Income other than profit on sale of investments | | 0.7964 | 0.5811 | 0.8037 | 0.5268 | 0.7778 | | 0.1419 | |
| (ii) Income from profit / (loss) on inter scheme sales/transfer of investment | | (0.0016) | - | (0.0082) | 0.0007 | (0.0009) | | - | |
| (iii) Income from profit / (loss) on sale of investment to third party | | - | (0.0007) | - | (0.0007) | - | | (0.0006) | |
| (iv) Transfer to revenue account from past years' reserve | | 0.0014 | - | - | - | 0.0360 | | - | |
| c. Aggregate of expenses, writeoff, amortisation and charges (excluding change in unrealised depreciation in investments) | | 0.2353 | 0.1788 | 0.2317 | 0.1594 | 0.1688 | | 0.0287 | |
| d. Net Income (excluding change in unrealised depreciation in investments) | | 0.5609 | 0.4016 | 0.5638 | 0.3674 | 0.6441 | | 0.1126 | |
| e. Unrealised appreciation / (depreciation) in value of investments | | 1.7971 | 0.9004 | 1.3691 | 0.1490 | 0.5438 | | (0.0333) | |
| f. Redemption Price : | | | | | | | | | |
| Highest Price | | | | | | | | | |
| G | | * 12.7582 | 11.8419 | 12.5650 | 11.6632 | 11.4383 | 11.4882 | 10.3905 | 10.4026 |
| D | | * 12.7582 | 11.8419 | 12.5650 | 11.6632 | 11.4383 | 11.4882 | 10.3905 | 10.4026 |
| Lowest Price | | | | | | | | | |
| G | | 10.9103 | 10.2451 | 10.2456 | 9.9096 | 9.7848 | 9.8048 | 9.8000 | 9.8035 |
| D | | 10.9103 | 10.2451 | 10.2456 | 9.9096 | 9.7848 | 9.8048 | 9.8000 | 9.8035 |
| Purchase Price : | | | | | | | | | |
| Highest Price | | | | | | | | | |
| G | | * 12.7582 | 11.8419 | 12.5650 | 11.6632 | 11.4383 | 11.4882 | 10.3905 | 10.4026 |
| D | | * 12.7582 | 11.8419 | 12.5650 | 11.6632 | 11.4383 | 11.4882 | 10.3905 | 10.4026 |
| Lowest Price | | | | | | | | | |
| G | | 10.9103 | 10.2451 | 10.2456 | 9.9096 | 9.7848 | 9.8048 | 9.8000 | 9.8035 |
| D | | 10.9103 | 10.2451 | 10.2456 | 9.9096 | 9.7848 | 9.8048 | 9.8000 | 9.8035 |
| * Refers to computed NAV | | | | | | | | | |
| Price Earning Ratio | | | | | | | | | |
| Non-annualised | | 24.28 | 28.24 | 22.88 | 28.62 | 19.65 | 19.79 | 131.58 | 131.82 |
| Annualised | | 24.28 | 21.90 | 22.88 | 20.08 | 19.65 | 19.79 | 24.15 | 24.20 |
| g. Ratio of expenses to average daily net assets by percentage | | 2.00% | 2.06% | 2.00% | 2.06% | 1.60% | 1.08% | 1.58% | 0.58% |
| h. Ratio of gross income to average daily net assets by percentage (excluding transfer to revenue account from past years' reserve but including unrealised appreciation / depreciation on investments). | | 22.03% | 13.25% | 18.69% | 6.13% | 12.48% | | 1.07% | |

(1) G- Growth, D- Dividend

(2) The per unit calculations are based on the number of units outstanding at the end of the financial year/period.

(3) Since Schemes are listed but not traded on Stock Exchange as on March 31, 2014, P/E ratio has been computed considering the NAV of March 31, 2014.

DSP BLACKROCK MUTUAL FUND
PERSPECTIVE HISTORICAL PER UNIT STATISTICS

| | | DSPBRDAF - S13 - 35M | | | | DSPBRRGESS - Series 1 | | | |
|---|---|----------------------|-----------|----------------|----------|-----------------------|-----------|-----------------|----------|
| | | As at 31.03.14 | | As at 31.03.13 | | As at 31.03.14 | | As at 31.03.13 | |
| | | Regular | Direct | Regular | Direct | Regular | Direct | Regular | Direct |
| a. Net assets value, at the end of the period | G | * 11.2718 | * 11.3457 | * 9.7280 | * 9.7395 | * 11.3160 | * 11.3747 | * 9.6577 | * 9.6593 |
| | D | * 11.2718 | * 11.3457 | * 9.7280 | * 9.7395 | * 11.3160 | * 11.3747 | * 9.6577 | * 9.6593 |
| * Refers to computed NAV | | | | | | | | | |
| b. Gross Income | | | | | | | | | |
| (i) Income other than profit on sale of investments | | 0.7913 | | 0.0778 | | 0.1566 | | 0.0187 | |
| (ii) Income from profit / (loss) on inter scheme sales/transfer of investment | | - | | (0.0000) | | - | | - | |
| (iii) Income from profit / (loss) on sale of investment to third party | | - | | (0.0006) | | 0.4396 | | (0.0421) | |
| (iv) Transfer to revenue account from past years' reserve | | 0.3609 | | - | | 0.3104 | | - | |
| c. Aggregate of expenses, writeoff, amortisation and charges (excluding change in unrealised depreciation in investments) | | 0.1989 | | 0.0167 | | 0.3018 | | 0.0085 | |
| d. Net Income (excluding change in unrealised depreciation in investments) | | 0.9533 | | 0.0605 | | 0.6048 | | (0.0319) | |
| e. Unrealised appreciation / (depreciation) in value of investments | | 0.6190 | | (0.3325) | | 1.0537 | | (0.3104) | |
| f. Redemption Price : | | | | | | | | | |
| Highest Price | | | | | | | | | |
| G | | 11.5790 | 11.6241 | 10.6077 | 10.6124 | 11.3307 | 11.3889 | 9.6589 | 9.6601 |
| D | | 11.5790 | 11.6241 | 10.6077 | 10.6124 | 11.3307 | 11.3889 | 9.6589 | 9.6601 |
| Lowest Price | | | | | | | | | |
| G | | 9.5636 | 9.5983 | 9.7233 | 9.7337 | 9.1634 | 9.1838 | 9.5999 | 9.6005 |
| D | | 9.5636 | 9.5983 | 9.7233 | 9.7337 | 9.1634 | 9.1838 | 9.5999 | 9.6005 |
| Purchase Price : | | | | | | | | | |
| Highest Price | | | | | | | | | |
| G | | 11.5790 | 11.6241 | 10.6077 | 10.6124 | 11.3307 | 11.3889 | 9.6589 | 9.6601 |
| D | | 11.5790 | 11.6241 | 10.6077 | 10.6124 | 11.3307 | 11.3889 | 9.6589 | 9.6601 |
| Lowest Price | | | | | | | | | |
| G | | 9.5636 | 9.5983 | 9.7233 | 9.7337 | 9.1634 | 9.1838 | 9.5999 | 9.6005 |
| D | | 9.5636 | 9.5983 | 9.7233 | 9.7337 | 9.1634 | 9.1838 | 9.5999 | 9.6005 |
| * Refers to computed NAV | | | | | | | | | |
| Price Earning Ratio | | | | | | | | | |
| Non-annualised | | 12.50 | 12.58 | (32.38) | (32.42) | 18.71 | 18.81 | (28.21) | (28.22) |
| Annualised | | 12.50 | 12.58 | (3.11) | (3.11) | 18.71 | 18.81 | (0.93) | (0.93) |
| g. Ratio of expenses to average daily net assets by percentage | | 1.85% | 1.32% | 1.74% | 0.47% | 2.94% | 2.44% | 2.94% | 2.44% |
| h. Ratio of gross income to average daily net assets by percentage (excluding transfer to revenue account from past years' reserve but including unrealised appreciation / depreciation on investments). | | 13.11% | | (2.48)% | | 16.07% | | (3.46)% | |

(1) G- Growth, D- Dividend

(2) The per unit calculations are based on the number of units outstanding at the end of the financial year/period.

(3) Since Schemes are listed but not traded on Stock Exchange as on March 31, 2014, P/E ratio has been computed considering the NAV of March 31, 2014.

DSP BLACKROCK MUTUAL FUND
PERSPECTIVE HISTORICAL PER UNIT STATISTICS

| | | DSPBRDAF - S14 - 33M | | DSPBRDAF - S15 - 36M | | DSPBRDAF - S16 - 36M | |
|---|---|----------------------|-----------|----------------------|-----------|----------------------|-----------|
| | | As at 31.03.14 | | As at 31.03.14 | | As at 31.03.14 | |
| | | Regular | Direct | Regular | Direct | Regular | Direct |
| a. Net assets value, at the end of the period | G | * 11.3684 | * 11.4210 | * 11.1287 | * 11.1756 | * 11.5985 | * 11.6415 |
| | D | * 11.3684 | * 11.4210 | * 11.1287 | * 11.1756 | * 11.5985 | - |
| * Refers to computed NAV | | | | | | | |
| b. Gross Income | | | | | | | |
| (i) Income other than profit on sale of investments | | 0.7197 | | 0.6389 | | 0.5605 | |
| (ii) Income from profit / (loss) on inter scheme sales/transfer of investment | | (0.0044) | | 0.0002 | | (0.0011) | |
| (iii) Income from profit / (loss) on sale of investment to third party | | (0.0006) | | (0.0006) | | (0.0006) | |
| (iv) Transfer to revenue account from past years' reserve | | - | | - | | - | |
| c. Aggregate of expenses, writeoff, amortisation and charges (excluding change in unrealised depreciation in investments) | | 0.1678 | | 0.1486 | | 0.1355 | |
| d. Net Income (excluding change in unrealised depreciation in investments) | | 0.5469 | | 0.4899 | | 0.4233 | |
| e. Unrealised appreciation / (depreciation) in value of investments | | 0.8220 | | 0.6391 | | 1.1752 | |
| f. Redemption Price : | | | | | | | |
| Highest Price | | | | | | | |
| G | | 11.5540 | 11.5767 | 11.3184 | 11.3361 | * 11.5985 | * 11.6415 |
| D | | 11.5540 | 11.5767 | 11.3184 | 11.3361 | * 11.5985 | - |
| Lowest Price | | | | | | | |
| G | | 9.9378 | 9.9547 | 9.6071 | 9.6235 | 9.8759 | 9.8878 |
| D | | 9.9378 | 9.9547 | 9.6071 | 9.6235 | 9.8759 | - |
| Purchase Price : | | | | | | | |
| Highest Price | | | | | | | |
| G | | 11.5540 | 11.5767 | 11.3184 | 11.3361 | * 11.5985 | * 11.6415 |
| D | | 11.5540 | 11.5767 | 11.3184 | 11.3361 | * 11.5985 | - |
| Lowest Price | | | | | | | |
| G | | 9.9378 | 9.9547 | 9.6071 | 9.6235 | 9.8759 | 9.8878 |
| D | | 9.9378 | 9.9547 | 9.6071 | 9.6235 | 9.8759 | - |
| * Refers to computed NAV | | | | | | | |
| Price Earning Ratio | | | | | | | |
| Non-annualised | | 27.66 | 27.79 | 37.56 | 37.72 | 37.04 | 37.18 |
| Annualised | | 25.77 | 25.89 | 31.49 | 31.62 | 27.61 | 27.71 |
| g. Ratio of expenses to average daily net assets by percentage | | 1.68% | 1.19% | 1.70% | 1.20% | 1.69% | 1.20% |
| h. Ratio of gross income to average daily net assets by percentage (excluding transfer to revenue account from past years' reserve but including unrealised appreciation / depreciation on investments). | | 14.26% | | 12.19% | | 16.09% | |

(1) G- Growth, D- Dividend

(2) The per unit calculations are based on the number of units outstanding at the end of the financial year/period.

(3) Since Schemes are listed but not traded on Stock Exchange as on March 31, 2014, P/E ratio has been computed considering the NAV of March 31, 2014.

DSP BLACKROCK MUTUAL FUND
PERSPECTIVE HISTORICAL PER UNIT STATISTICS

| | | DSPBRDAF - S17 - 35M | | DSPBRDAF - S18 - 34M | | DSPBRDAF - S19 - 36M | |
|---|---|----------------------|-----------|----------------------|-----------|----------------------|-----------|
| | | As at 31.03.14 | | As at 31.03.14 | | As at 31.03.14 | |
| | | Regular | Direct | Regular | Direct | Regular | Direct |
| a. Net assets value, at the end of the period | G | * 12.0850 | * 12.3542 | * 11.1245 | * 11.1509 | * 10.4610 | * 10.4817 |
| | D | * 12.0850 | - | * 11.1245 | * 11.1509 | * 10.4610 | * 10.4817 |
| * Refers to computed NAV | | | | | | | |
| b. Gross Income | | | | | | | |
| (i) Income other than profit on sale of investments | | 0.4638 | | 0.3606 | | 0.3075 | |
| (ii) Income from profit / (loss) on inter scheme sales/transfer of investment | | 0.0026 | | 0.0004 | | (0.0007) | |
| (iii) Income from profit / (loss) on sale of investment to third party | | (0.0006) | | (0.0005) | | (0.0013) | |
| (iv) Transfer to revenue account from past years' reserve | | - | | - | | - | |
| c. Aggregate of expenses, writeoff, amortisation and charges (excluding change in unrealised depreciation in investments) | | 0.1153 | | 0.1007 | | 0.0799 | |
| d. Net Income (excluding change in unrealised depreciation in investments) | | 0.3505 | | 0.2598 | | 0.2256 | |
| e. Unrealised appreciation / (depreciation) in value of investments | | 1.7358 | | 0.8651 | | 0.2354 | |
| f. Redemption Price : | | | | | | | |
| Highest Price | | | | | | | |
| G | | * 12.0850 | * 12.3542 | 11.1739 | 11.1999 | * 10.4610 | * 10.4817 |
| D | | * 12.0850 | - | 11.1739 | 11.1999 | * 10.4610 | * 10.4817 |
| Lowest Price | | | | | | | |
| G | | 10.5870 | 10.5873 | 10.0493 | 10.0493 | 9.8979 | 9.8987 |
| D | | 10.5870 | - | 10.0493 | 10.0493 | 9.8979 | 9.8987 |
| Purchase Price : | | | | | | | |
| Highest Price | | | | | | | |
| G | | * 12.0850 | * 12.3542 | 11.1739 | 11.1999 | * 10.4610 | * 10.4817 |
| D | | * 12.0850 | - | 11.1739 | 11.1999 | * 10.4610 | * 10.4817 |
| Lowest Price | | | | | | | |
| G | | 10.5870 | 10.5873 | 10.0493 | 10.0493 | 9.8979 | 9.8987 |
| D | | 10.5870 | - | 10.0493 | 10.0493 | 9.8979 | 9.8987 |
| * Refers to computed NAV | | | | | | | |
| Price Earning Ratio | | | | | | | |
| Non-annualised | | 34.48 | 35.25 | 42.80 | 42.90 | 49.63 | 49.72 |
| Annualised | | 20.59 | 21.05 | 20.99 | 21.04 | 19.99 | 20.03 |
| g. Ratio of expenses to average daily net assets by percentage | | 1.70% | 1.20% | 1.95% | 1.46% | 1.97% | 1.48% |
| h. Ratio of gross income to average daily net assets by percentage (excluding transfer to revenue account from past years' reserve but including unrealised appreciation / depreciation on investments). | | 19.27% | | 11.51% | | 5.34% | |

(1) G- Growth, D- Dividend

(2) The per unit calculations are based on the number of units outstanding at the end of the financial year/period.

(3) Since Schemes are listed but not traded on Stock Exchange as on March 31, 2014, P/E ratio has been computed considering the NAV of March 31, 2014.

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Fill in this form to update your details
CUSTOMER DETAILS UPDATION FORM

1. APPLICANT'S DETAILS

| | | |
|----------------------|---|--|
| Folio No | Name of Sole/First Applicant (First / Middle / Surname) | Title <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |

2. CONTACT DETAILS

| | |
|-----------------------|--|
| Email ID (in capital) | <input type="text"/> |
| Mobile No. | + 9 1 <input type="text"/> Tel.: <input type="text"/> STD (CODE) <input type="text"/> TELEPHONE NO. <input type="text"/> |

3. DECLARATION & SIGNATURES (as existing in records)

I/we hereby request DSP BlackRock Mutual Fund and Registrar to update the details in the folio/s as mentioned above.

| | | |
|-------------------|---------------|--------------|
| Sole/First Holder | Second Holder | Third Holder |
|-------------------|---------------|--------------|

Please fill the below form to register for the OTM facility. Mention your bank account details, folio number, your daily limit for investment amount, email id/mobile number, name/s and signature.

| | | | | |
|----------------------|----------------------|-------------------------|----------------------|----------------------|
| Broker ARN Code | Sub Broker ARN Code | Branch/RM/Internal Code | EUIN | For Office use only |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |

The following Mandate needs to be submitted only once for registration with or without SIP form. Once the mandate is registered, investor need not submit mandate again and can do lump sum investments, start new SIP registrations, using Physical Forms, Call, SMS or Online.

DSP BLACKROCK
MUTUAL FUND

Debit Mandate Form NACH/ECS/DIRECT DEBIT
[Applicable for Lumpsum Additional Purchases as well as SIP Registrations]

Date

UMRN Office use only

Tick(✓) Sponsor Bank Code Office use only Utility Code Office use only

- CREATE
- MODIFY
- CANCEL

I/We hereby authorize: **DSP BLACKROCK MUTUAL FUND Schemes** to debit (tick✓) SB / CA / CC / SB-NRE / SB-NRO / Other

Bank A/c No.:

With Bank: Bank Name & Branch IFSC OR MICR

an amount of Rupees ₹

FREQUENCY Mthly Qtrly H. Yrly Yrly As & when presented DEBIT TYPE Fixed Amount Maximum Amount

Reference 1 Folio No: Mobile

Reference 2 Appln No: Email id

PERIOD

From

to

or Until Cancelled

1. Sign
Name (mandatory):

2. Sign
Name (mandatory):

3. Sign
Name (mandatory):

Declaration: I/We hereby declare that the particulars given on this mandate are correct and complete and express my willingness and authorize to make payments referred above through participation in NACH/ECS/Direct Debit/Standing Instructions. I/We hereby confirm adherence to the terms of OTM Facility offered by DSP BlackRock Mutual Fund and as amended from time to time and of NACH/ECS (Debits)/Direct Debits /Standing Instructions.
Authorisation to Bank: This is to inform that I/We have registered for ECS / NACH (Debit Clearing) / Direct Debit / Standing Instructions facility and that my/our payment towards my/our investment in DSP BlackRock Mutual Fund shall be made from my/our above mentioned bank account with your Bank. I/We authorize the representatives of DSP BlackRock Mutual Fund carrying this mandate form to get it verified and executed. I/We authorize the bank to debit my account for any charges towards mandate verification, registration, transactions, returns, etc., as applicable.

Please attach a cancelled cheque/cheque copy

Acknowledgement

DSP BlackRock Mutual Fund

ISC Stamp

Investor Name:

Folio No/Application No.

DEBIT MANDATE FORM SIP FORM

OTM ONE TIME MANDATE

Now, you can invest by just using a simple tick mark (✓).

Register for the **One Time Mandate (OTM)** facility by filling the above form and invest with us without the need to sign a cheque!
Visit dspblackrock.com/otm to know more.