

# DSP

## MUTUAL FUND

### KEY INFORMATION MEMORANDUM

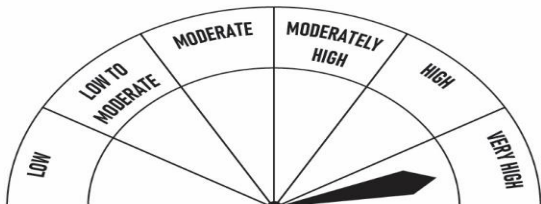
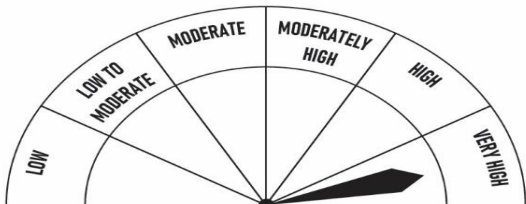
### DSP NIFTY MIDCAP 150 QUALITY 50 ETF

An open ended scheme replicating/ tracking Nifty Midcap 150 Quality 50 Index

This product is suitable for investors who are seeking\*

- Long-term capital growth
- Investment in equity and equity related securities covered by Nifty Midcap 150 Quality 50 Index, subject to tracking error.

\*Investors should consult their financial advisers if in doubt about whether the Scheme is suitable for them.

Riskometer	
Scheme	Benchmark Nifty Midcap 150 Quality 50 TRI
 <p><b>RISKOMETER</b></p> <p>INVESTORS UNDERSTAND THAT THEIR PRINCIPAL WILL BE AT VERY HIGH RISK</p>	 <p><b>RISKOMETER</b></p> <p>INVESTORS UNDERSTAND THAT THEIR PRINCIPAL WILL BE AT VERY HIGH RISK</p>

(The product labelling assigned during the New Fund Offer is based on internal assessment of the Scheme Characteristics or model portfolio and the same may vary post NFO when actual investments are made)

Offer of Units of Rs. 10 each, issued at a premium approximately equal to the difference between face value and Allotment Price during the New Fund Offer and at NAV based prices on an on-going basis

<b>Name of the AMC:</b>	<b>DSP Investment Managers Pvt. Ltd.</b>
<b>Name of the Mutual Fund:</b>	<b>DSP Mutual Fund</b>

**New Fund Offer Opens on: December 06, 2021**

**New Fund Offer Closes on: December 17, 2021**

**Scheme Re-opens for continuous sale and repurchase: Within five Business Days from the date of allotment**

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Schemes/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme information Document (SID) of the Schemes and the Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centres or distributors or from the website, [www.dsp.com](http://www.dsp.com).

The Scheme particulars have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with the Securities and Exchange Board of India (SEBI). The Units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

**BSE Disclaimer:**

BSE Limited (“the Exchange”) has given vide its letter dated December 24, 2020 permission to DSP Mutual Fund to use the Exchange’s name in this SID as one of the Stock Exchanges on which this Mutual Fund’s Unit are proposed to be listed. The Exchange has scrutinized this SID for its limited internal purpose of deciding on the matter of granting the aforesaid permission to DSP Mutual Fund. The Exchange does not in any manner: i) warrant, certify or endorse the correctness or completeness of any of the contents of this SID; or ii) warrant that this scheme’s unit will be listed or will continue to be listed on the Exchange; or iii) take any responsibility for the financial or other soundness of this Mutual Fund, its promoters, its management or any scheme or project of this Mutual Fund; and it should not for any reason be deemed or construed that this SID has been cleared or approved by the Exchange. Every person who desires to apply for or otherwise acquires any unit of DSP NIFTY MIDCAP 150 QUALITY 50 ETF of this Mutual Fund may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription / acquisition whether by reason of anything stated or omitted to be stated herein or for any other reason whatsoever.

**NSE Disclaimer:**

As required, a copy of this Scheme Information Document has been submitted to National Stock Exchange of India Limited (hereinafter referred to as NSE). NSE has given vide its letter NSE/LIST/5271 dated December 24, 2020 permission to the Mutual Fund to use the Exchange’s name in this Scheme Information Document as one of the stock exchanges on which the Mutual Fund’s units are proposed to be listed subject to, the Mutual Fund fulfilling various criteria for listing. The Exchange has scrutinized this Scheme Information Document for its limited internal purpose of deciding on the matter of granting the aforesaid permission to the Mutual Fund. It is to be distinctly understood that the aforesaid permission given by NSE should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE; nor does it in any manner warrant, certify or endorse the correctness or completeness of any of the contents of this Scheme Information Document; nor does it warrant that the Mutual Fund’s units will be listed or will continue to be listed on the Exchange; nor does it take any responsibility for the financial or other soundness of the Mutual Fund, its sponsors, its management or any scheme of the Mutual Fund. Every person who desires to apply for or otherwise acquire any units of the Mutual Fund may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription / acquisition whether by reason of anything stated or omitted to be stated herein or any other reason whatsoever.

<b>Type of Scheme</b>	An open ended scheme replicating/ tracking Nifty Midcap 150 Quality 50 Index																
<b>Investment Objective</b>	<p>The Scheme seeks to provide returns that, before expenses, closely correspond to the total return of the underlying index (Nifty Midcap 150 Quality 50 index), subject to tracking errors.</p> <p><b>There is no assurance that the investment objective of the Scheme will be realized.</b></p>																
<b>Asset Allocation Pattern</b>	<p>Under normal circumstances, it is anticipated that the asset allocation of the Scheme shall be as follows:</p> <table border="1" data-bbox="496 607 1527 898"> <thead> <tr> <th data-bbox="496 607 1010 703" rowspan="2">Instruments</th> <th colspan="2" data-bbox="1010 607 1334 672">Indicative allocations (% of total assets)</th> <th data-bbox="1334 607 1527 703" rowspan="2">Risk Profile</th> </tr> <tr> <th data-bbox="1010 672 1179 703">Minimum</th> <th data-bbox="1179 672 1334 703">Maximum</th> </tr> </thead> <tbody> <tr> <td data-bbox="496 703 1010 799">Equity and Equity Related Securities of companies constituting Nifty Midcap 150 Quality 50, the Underlying Index</td> <td data-bbox="1010 703 1179 799">95%</td> <td data-bbox="1179 703 1334 799">100%</td> <td data-bbox="1334 703 1527 799">Medium to High</td> </tr> <tr> <td data-bbox="496 799 1010 898">Cash and Cash Equivalents / Money Market Instruments* with residual maturity not exceeding 91 days</td> <td data-bbox="1010 799 1179 898">0%</td> <td data-bbox="1179 799 1334 898">5%</td> <td data-bbox="1334 799 1527 898">Low to Medium</td> </tr> </tbody> </table> <p>*Money Market Instruments will include TREPS, Commercial Paper, Certificates of Deposit, Treasury Bills, Bills Rediscounting, Repos, short-term Government securities and any other such short-term instruments as may be allowed under the regulations prevailing from time to time.</p> <p>The Scheme retains the flexibility to invest across all the securities in money market instruments as permitted by SEBI / RBI from time to time, including Liquid/Money Market schemes of mutual funds.</p> <p>The net assets of the scheme will be invested in stocks constituting the Nifty Midcap 150 Quality 50 Index. This would be done by investing in all the stocks comprising the Nifty Midcap 150 Quality 50 Index in the same weightage that they represent in the Nifty Midcap 150 Quality 50 Index. A small portion of the net assets will be invested in TREPS / money market instruments permitted by SEBI / RBI.</p> <p>Exposure to equity derivatives of the index itself or its constituent stocks may be undertaken when equity shares are unavailable, insufficient or for rebalancing in case of corporate actions for a temporary period. Index futures/options are meant to be an efficient way of buying/selling an index compared to buying/selling a portfolio of physical shares representing an index for ease of execution and settlement. It can help in reducing the Tracking Error in the Scheme. Index futures/options may avoid the need for trading in individual components of the index, which may not be possible at times, keeping in mind the circuit filter system and the liquidity in some of the individual stocks. Index futures/options can also be helpful in reducing the transaction costs and the processing costs on account of ease of execution of one trade compared to several trades of shares comprising the underlying index and will be easy to settle compared to physical portfolio of shares representing the underlying index. In case of investments in index futures/options, the risk/reward would be the same as investments in portfolio of shares representing an index. However, there may be a cost attached to buying an index future/option. The Scheme will not maintain any leveraged or trading positions. Exposure to such derivatives will be restricted to 20% of net assets of the scheme. The Scheme shall rebalance the portfolio in case of any deviation to the asset allocation. Such rebalancing shall be done within 7 days from the date of such deviation.</p> <p>The Scheme will not lend more than 50% of its corpus. The Scheme will enter into securities lending in accordance with the framework specified by SEBI in this regard.</p>			Instruments	Indicative allocations (% of total assets)		Risk Profile	Minimum	Maximum	Equity and Equity Related Securities of companies constituting Nifty Midcap 150 Quality 50, the Underlying Index	95%	100%	Medium to High	Cash and Cash Equivalents / Money Market Instruments* with residual maturity not exceeding 91 days	0%	5%	Low to Medium
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	<p>The Scheme will not invest in foreign securities. The Scheme will not invest in equity linked debentures. The Scheme will not invest in Securitized Debt. The Scheme will not participate in repo of money market and corporate debt securities.</p> <p>The Scheme will not make any investment in debt instruments having structured obligations and credit enhancements. The Scheme may enter into short selling transactions in accordance with the guidelines prescribed by SEBI.</p> <p>In the event of involuntary corporate action, the Scheme shall endeavor to dispose the security not forming part of the Underlying index within 7 business days from the date of listing, subject to availability of adequate liquidity for the security.</p> <p>The Scheme shall rebalance the portfolio in case of any deviation to the asset allocation. Such rebalancing shall be done within 7 days from the date of occurrence of deviation. Where the portfolio is not rebalanced within 7 Days, justification for the same shall be placed before the Investment Committee and reasons for the same shall be recorded in writing. The Investment committee shall then decide on the course of action. However, at all times the portfolio will adhere to the overall investment objectives of the Schemes. Any alteration in the investment pattern will be for a short term on defensive considerations; the intention being at all times to protect the interests of the Unit Holders.</p> <p>It may be noted that no prior intimation/indication will be given to investors when the composition/asset allocation pattern under the Scheme undergoes changes within the permitted band as indicated above.</p>
<b>Investment Strategy</b>	<p>The Scheme will track its Underlying Index and will use a “passive” or indexing approach to endeavor to achieve scheme’s investment objective. The scheme will neither try to beat the index it tracks nor take active approach in times when markets seem to be over/under valued. The AMC does not make any judgments about the investment merit of a particular stock or a particular industry segment nor will it attempt to apply any economic, financial or market analysis. Since the scheme is an exchange traded fund, the scheme will only invest in the security constituting the underlying index.</p> <p>Since the scheme is an exchange traded fund, it will endeavor that at no point of time the scheme will deviate from the index.</p>
<b>Risk Profile</b>	<p>Mutual Fund Units involve investment risks including the possible loss of principal. Scheme specific risk factors are summarized below:</p> <p>1) Risk Factors associated with Exchange traded schemes 2) Risks pertaining to transaction in units Risk of Substantial Redemptions 4) Risk associated with use of derivatives 5) Risks associated with Debt &amp; Money Market Instruments 6) Risks associated with Equity Investments 7) Liquidity Risk 8) Risk associated with Securities Lending &amp; Borrowing and Short Selling 9) Risks associated with segregated portfolio.</p> <p>Please read the SID carefully for details on risk factors before investment.</p>
<b>Types of instruments in which the Scheme shall invest</b>	<p>The corpus of the Scheme will invest in Securities which are constituents of Nifty Midcap 150 Quality 50 Index and in Money Market Instruments.</p> <p>The corpus of the Scheme will be invested in various types of securities (including but not limited to) such as:</p> <ol style="list-style-type: none"> <li>1. Equity and Equity related Securities of companies constituting Nifty Midcap 150 Quality 50 Index</li> <li>2. Stock futures / index futures and such other permitted derivative instruments.</li> <li>3. TREPS, Treasury Bills, Cash Management Bills, Certificate of Deposits (CDs), Commercial Paper (CPs), Bills Rediscounting, and other Money Market Instruments</li> </ol>

	<p>as may be permitted by SEBI / RBI from time to time, subject to regulatory approvals, if any.</p> <p>4. Derivatives only for hedging and portfolio balancing.</p> <p>The scheme may invest the funds of the scheme in short term deposits of scheduled commercial banks as permitted under extant regulations.</p> <p>Further, due to corporate action in companies comprising of the index, the scheme may be allocated/allotted securities which are not part of the index. For example, the Fund may invest in stocks not included in the relevant underlying index in order to reflect various corporate actions (such as mergers) and other changes in the relevant underlying index (such as reconstitutions, additions, deletions and these holdings will be in anticipation and in the direction of impending changes in the underlying index)</p> <p>For the purpose of liquidity, the Scheme may invest in Liquid/Money market Scheme managed by the same AMC or by the AMC of any other Mutual Fund without charging any fees on such investments, provided that aggregate inter-scheme investment made by all schemes managed by the same AMC or by the AMC of any other Mutual Fund shall not exceed 5% of the net asset value of the Fund.</p>				
<b>Plans and Options available under the Schemes</b>	Not available				
<b>Minimum Application Amount /Number of Units</b>	<p>During NFO period - Rs. 5,000 and in multiples of Re. 1/- thereof.</p> <p><b>On Continuous basis -</b></p> <p><b>Directly with Fund:</b> Authorized Participants and Large Investors can directly purchase / redeem in blocks from the fund in "Creation unit size" on any business day.</p> <p><b>On the Exchange -</b></p> <p>The units of the Scheme can be purchased and sold in minimum lot of 1 unit and in multiples thereof.</p>				
<b>Benchmark Index</b>	Nifty Midcap 150 Quality 50 TRI				
<b>IDCW Policy</b>	There is no IDCW Policy as the Scheme currently does not offer any IDCW Option.				
<b>Name of the Fund Manager(s)</b>	Mr. Anil Ghelani & Mr. Diipesh Shah				
<b>Name of the Trustee Company</b>	DSP Trustee Company Pvt. Ltd.				
<b>Performance of the Scheme</b>	This is a new Scheme being launched and hence, there is no performance track record.				
<b>Disclosure as per SEBI circular SEBI/HO/IMD/DF2/CIR/P/2016/42 dated March 18, 2016</b>	<p><b>SCHEME PORTFOLIO HOLDING (TOP 10 HOLDINGS)</b></p> <table border="1"> <thead> <tr> <th>Top 10 Holdings issuer wise</th> <th>% of Scheme</th> </tr> </thead> <tbody> <tr> <td colspan="2">This being a new Scheme, this is not available.</td> </tr> </tbody> </table> <p><b>Link to the scheme's latest monthly portfolio holding:</b>  <a href="https://www.dspim.com/about-us/mandatory-disclosure/portfolio-disclosures">https://www.dspim.com/about-us/mandatory-disclosure/portfolio-disclosures</a></p> <p><b>Note:</b> The portfolio shall be available, once the portfolio has been constructed.</p> <p><b>SECTOR ALLOCATION</b></p>	Top 10 Holdings issuer wise	% of Scheme	This being a new Scheme, this is not available.	
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	<p><b>Sector wise break up</b></p> <table border="1" data-bbox="491 259 1374 344"> <tr> <th data-bbox="491 259 1171 300">Sector</th> <th data-bbox="1171 259 1374 300">% of Scheme</th> </tr> <tr> <td colspan="2" data-bbox="491 300 1374 344">This being a new Scheme, this is not available.</td> </tr> </table> <p><b>Portfolio Turnover Ratio:</b> This being a new Scheme, this is not available.</p>	Sector	% of Scheme	This being a new Scheme, this is not available.			
Sector	% of Scheme						
This being a new Scheme, this is not available.							
<p><b>Expenses of the Scheme</b></p> <p><b>(i) Load Structure</b></p>	<p>Entry Load (as a % of Applicable NAV) - Nil</p> <p>Exit Load (as a % of Applicable NAV) - Nil</p>						
<p><b>(ii) Recurring Expenses</b></p>	<p>Maximum limit of recurring expenses under Regulation 52 are as under:</p> <table border="1" data-bbox="491 629 1520 730"> <thead> <tr> <th data-bbox="491 629 762 692">Particulars</th> <th data-bbox="762 629 1158 692">As a % of daily net assets as per Regulation 52(6) (b)</th> <th data-bbox="1158 629 1520 692">Additional TER as per Regulation 52 (6A) (b)^</th> </tr> </thead> <tbody> <tr> <td data-bbox="491 692 762 730">On daily net assets</td> <td data-bbox="762 692 1158 730">1.00%</td> <td data-bbox="1158 692 1520 730">0.30%</td> </tr> </tbody> </table> <p><b>Notes:</b></p> <p>^In addition to expenses as permissible under Regulation 52 (6), the AMC may also charge the following to the Scheme of the Fund under Regulation 52 (6A):</p> <ol style="list-style-type: none"> <li>a. Brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment, not exceeding 0.12 per cent in case of cash market transactions and 0.05 per cent in case of derivatives transactions.</li> </ol> <p>It is clarified that the brokerage and transaction cost incurred for the purpose of execution of trade over and above the said 0.12 percent and 0.05 percent for cash market transactions and derivatives transactions respectively may be charged to the Scheme within the maximum limit of Total Expense Ratio (TER) as prescribed under regulation 52 of the SEBI (Mutual Funds) Regulations, 1996.</p> <ol style="list-style-type: none"> <li>b. Additional expenses up to 0.30 per cent of daily net assets of the concerned Schemes of the Fund if new inflows from such cities as may be specified by Regulations from time to time are at least: <ol style="list-style-type: none"> <li>i. 30 per cent of gross new inflows from retail investors* in the concerned Scheme, or;</li> <li>ii. 15 per cent of the average assets under management (year to date) of the concerned Scheme, whichever is higher.</li> </ol> </li> </ol> <p>Provided that if inflows from such cities is less than the higher of (i) or (ii) mentioned above, such expenses on daily net assets of the concerned Scheme shall be charged on proportionate basis.</p> <p>* Inflows of amount upto Rs 2,00,000/- per transaction, by individual investors shall be considered as inflows from “retail investors”.</p> <p>The additional expenses charged shall be utilized for distribution expenses incurred for bringing inflows from such cities. The additional expense charged to the Scheme on account of inflows from such cities shall be credited back to the concerned Scheme in case such inflows are redeemed within a period of one year from the date of investment.</p> <p><b>GST on investment and advisory fees:</b></p> <ol style="list-style-type: none"> <li>a) AMC may charge GST on investment and advisory fees of the Scheme in addition to the maximum limit of TER as per the Regulation 52(6) and (6A).</li> <li>b) GST on expenses other than investment and advisory fees: AMC may charge</li> </ol>	Particulars	As a % of daily net assets as per Regulation 52(6) (b)	Additional TER as per Regulation 52 (6A) (b)^	On daily net assets	1.00%	0.30%
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	<p>GST on expenses other than investment and advisory fees of the Scheme, if any within the maximum limit of TER as per the Regulation under 52(6) and (6A).</p> <p>c) GST on brokerage &amp; transaction cost: GST on brokerage and transaction costs which are incurred for the purpose of execution of trade, will be within the limit of expenses as per the Regulation 52(6) and (6A).</p> <p>The maximum limit of recurring expenses that can be charged to the Scheme would be as per Regulation 52 of the SEBI (MF) Regulation, 1996. Investors are requested to read “Section VII - FEES and EXPENSES” of the SID for further details.</p> <p>For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund.</p>
<b>Waiver of Load for Direct Applications</b>	Pursuant to SEBI Circular No. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.
<b>Tax treatment for the Investors (Unit Holders)</b>	Investors are advised to refer to the details in the Statement of Additional Information. In view of the individual nature of tax implications, each investor is advised to consult his or her own tax consultant with respect to the specific tax implications arising out of his or her participation in the Scheme.
<b>Applicable NAV</b> (after the Scheme re-opens for sale and repurchase)	<p>For NFO, the applicable NAV will be based on the closing index price of the underlying index on the date of allotment.</p> <p>For ongoing purchase and redemption transactions by authorized participants and large investors, applicable NAV will be intra day NAV based on the executed price at which the securities representing the underlying index are purchased/sold shall be applicable.</p> <p><b>In case of Purchase / Redemption directly with Mutual Fund:</b></p> <p>The Cut-off time for receipt of valid application for Subscriptions and Redemptions is 3.00 p.m. However, as the Scheme is an Exchange Traded Fund, the Subscriptions and Redemptions of Units would be based on the Portfolio Deposit and Cash Component as defined by the Fund for that respective Working Day.</p> <p><b>Settlement of Purchase/Sale of Units of the Scheme on Stock Exchange:</b></p> <p>Buying/Selling of Units of the Scheme on Stock Exchange is just like buying/selling any other normal listed security. If an investor has bought Units, an investor has to pay the purchase amount to the broker/sub-broker such that the amount paid is realised before the funds pay-in day of the settlement cycle on the Stock Exchange(s). If an investor has sold Units, an investor has to deliver the Units to the broker/sub-broker before the securities payin day of the settlement cycle on the Stock Exchange(s). The Units (in the case of Units bought) and the funds (in the case of Units sold) are paid out to the broker on the pay-out day of the settlement cycle on the Stock Exchange(s). The Stock Exchange(s) regulations stipulate that the trading member should pay the money or Units to the investor within 24 hours of the pay-out.</p> <p>If an investor has bought Units, he should give standing instructions for “Delivery-In” to his /her/its DP for accepting Units in his/her/its beneficiary account. An investor should give the details of his/her beneficiary account and the DP-ID of his/her/its DP to his/ her/its trading member. The trading member will transfer the Units directly to his/her/ its beneficiary account on receipt of the same from NSE’s/ BSE’s Clearing Corporation. An investor who has sold Units should instruct his/her/its Depository Participant (DP) to give “Delivery Out” instructions to transfer the Units from his/her/its beneficiary account to the Pool Account of his/her/its trading member</p>

	<p>through whom he/she/it have sold the Units. The details of the Pool A/C (CM-BP-ID) of his/her trading member to which the Units are to be transferred, Unit quantity etc. should be mentioned in the Delivery Out instructions given by him/her to the DP. The instructions should be given well before the prescribed securities pay-in day. SEBI has advised that the Delivery Out instructions should be given at least 24 hours prior to the cut-off time for the prescribed securities pay-in to avoid any rejection of instructions due to data entry errors, network problems, etc.</p>
<b>Creation Unit Size</b>	<p>Creation Unit Size is fixed number of units of the Scheme which is, exchanged for a basket of securities (Portfolio Deposit) and a Cash Component, equal to the value of said predefined units of the Scheme, and/or subscribed in cash equal to the value of said predefined units of the Scheme.</p> <p>For redemption of units it is vice versa i.e. fixed number of units of Scheme are exchanged for Portfolio Deposit and/ or Cash Component of the Scheme.</p> <p>The Portfolio Deposit and/ or Cash Component will change from time to time due to change in NAV and will be announced by the AMC on its website.</p> <p>Each Creation Unit size consists of 25,000 units of DSPNM150Q50ETF. Each unit of DSPNM150Q50ETF will be approximately equal to 1/100<sup>th</sup> the value of the Nifty Midcap 150 Quality 50 Index.</p> <p>The Creation Unit size may be changed by the AMC at their discretion and the notice of the same shall be published on AMC's website.</p>
<b>Payment Details</b>	<p>The cheque or demand draft should be drawn in favour of the 'Scheme Name', as the case may be, and should be crossed Account Payee Only.</p> <p>Applications not specifying Schemes and/or accompanied by cheque/demand drafts/account to account transfer instructions favouring Schemes other than those specified in the application form are liable to be rejected.</p> <p>Further, where the Scheme name as written on the application form and on the payment instrument differs, the proceeds may, at the discretion of the AMC be allotted in the Scheme as mentioned on the application form.</p>
<b>Dispatch of Repurchase (Redemption) Proceeds on Maturity</b>	<p>Within 10 working days of the acceptance of the redemption request at any of the authorised centres of DSP Mutual Fund.</p>
<b>Listing</b>	<p>The Units are proposed to be listed on National Stock Exchange of India Limited and BSE Ltd and/or any other recognized Stock Exchange as may be approved by the Trustee, within 5 business days from allotment. For details, please refer provision for Listing under 'Section VI. Units and Offer' of the SID.</p>
<b>Net Asset Value (NAV) Publication</b>	<p>The first NAV will be calculated and declared within 5 Business days from the date of allotment. The NAVs of the Scheme/plans will be calculated by the Mutual Fund on each Business Day and will be made available by 11 p.m. of the same Business Day.</p> <p>The AMC shall also calculate intra-day indicative NAV and will be updated during the market hours on its website <a href="http://www.dspim.com">www.dspim.com</a>. Intra-day Indicative NAV will not have any bearing on the creation or redemption of units directly with the Fund by the Authorized Participants /Large Investors.</p> <p>For transactions by Authorized Participants / large investors directly with the AMCs, intra-day NAV based on the executed price at which the securities representing the underlying index are purchased / sold will be applicable.</p>
<b>For Investor Grievances</b>	<p>Investors may contact any of the Investor Service Centers (ISCs) of the AMC for any queries / clarifications, may call on 1800-208-4499 or 1800-200-4499 (toll free), e-</p>



<p><b>please contact</b></p>	<p>mail: <a href="mailto:service@dspim.com">service@dspim.com</a>. Mr. Gaurav Nagori has been appointed as the Investor Relations Officer. He can be contacted at DSP Investment Managers Private Limited Natraj, Office Premises No. 302, 3rd Floor, M V Road Junction. W. E. Highway, Andheri - East, Mumbai - 400069, Tel.: 022 - 67178000. For any grievances with respect to transactions through stock exchange mechanism, Unit Holders must approach either stock broker or the investor grievances cell of the respective stock exchange.</p> <p>Investors may contact the customer care of MFUI on 1800-266-1415 (during the business hours on all days except Sunday and Public Holidays) or send an email to <a href="mailto:clientservices@mfuindia.com">clientservices@mfuindia.com</a> for any service required or for resolution of their grievances for their transactions with MFUI.</p>
<p><b>Unit Holders Information</b></p>	<p>Under Regulation 36(4) of SEBI (Mutual Funds) Regulations, 1996, the AMC/ RTA is required to send consolidated account statement for each calendar month to all the investors in whose folio transaction has taken place during the month. Further, SEBI vide its circular ref. no. CIR/MRD/DP/31/2014 dated November 12, 2014, in order to enable a single consolidated view of all the investments of an investor in Mutual Fund and securities held in demat form with Depositories, has required Depositories to generate and dispatch a single consolidated account statement for investors having mutual fund investments and holding demat accounts.</p> <p>In view of the said requirements the account statements for transactions in units of the Fund by investors will be dispatched to investors in following manner for the Investors with Demat Account</p> <ul style="list-style-type: none"> <li>• Consolidated account statement<sup>^^</sup>, based on PAN of the holders, shall be sent by Depositories to investors holding demat account, for each calendar month within 15<sup>th</sup> day of the succeeding month to the investors in whose folios transactions have taken place during that month.</li> <li>• Consolidated account statement shall be sent by Depositories every half yearly (September/ March), on or before 21<sup>st</sup> day of succeeding month, detailing holding at the end of the six month, to all such investors in whose folios and demat accounts there have been no transactions during that period.</li> <li>• In case of demat accounts with nil balance and no transactions in securities and in mutual fund folios, the depository shall send account statement in terms of regulations applicable to the depositories.</li> </ul> <p><sup>^^</sup>Consolidated account statement sent by Depositories is a statement containing details relating to all financial transactions made by an investor across all mutual funds viz. purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan, bonus etc. (including transaction charges paid to the distributor) and transaction in dematerialised securities across demat accounts of the investors and holding at the end of the month.</p> <p>Following provisions shall be applicable to CAS sent through AMC/ RTA and CAS sent through depositories:</p> <ol style="list-style-type: none"> <li>a. In the event the folio/demat account has more than one registered holder, the first named Unit holder/Account holder shall receive the CAS (AMC/RTA or Depository). For the purpose of CAS (AMC/RTA or Depository), common investors across mutual funds/depositories shall be identified on the basis of PAN. Consolidation shall be based on the common sequence/ orders of investors in various folios/demat accounts across mutual funds / demat accounts across depository participants.</li> <li>b. For Unit Holders who have provided an e-mail address in KYC records, the CAS will be sent by e-mail.</li> </ol>

	<p><b>Half yearly portfolio disclosure:</b> In case of unit holders whose email address are registered with the Fund, the AMC shall send half yearly portfolio via email within 10 days from the end of each half-year. The half yearly portfolio of the Scheme shall also be available in a user-friendly and downloadable spreadsheet format on the AMFI's website <a href="http://www.amfiindia.com">www.amfiindia.com</a> and website of AMC viz. <a href="http://www.dspim.com">www.dspim.com</a> on or before the 10th day of succeeding month.</p> <p>The advertisement in this reference will be published by the Fund in all India edition of atleast two daily newspapers, one each in English and Hindi.</p> <p>The AMC shall provide a physical copy of the statement of the Scheme portfolio, without charging any cost, on specific request received from a unitholder.</p> <p><b>Annual Financial results:</b> Annual report or Abridged Summary, in the format prescribed by SEBI, will be hosted on AMC's website <a href="http://www.dspim.com">www.dspim.com</a> and on the website of AMFI <a href="http://www.amfiindia.com">www.amfiindia.com</a>. Annual Report or Abridged Summary will also be sent by way of e-mail to the investor's who have registered their email address with the Fund not later than four months from the date of the closure of the relevant financial year i.e. March 31 each year.</p> <p>In case of unit holders whose email addresses are not available with the Fund, the AMC shall send physical copies of scheme annual reports or abridged summary to those unitholders who have 'opted-in' to receive physical copies. The opt-in facility to receive physical copy of the scheme-wise annual report or abridged summary thereof shall be provided in the application form for new subscribers.</p> <p>Unitholders who still wish to receive physical copies of the annual report/abridged summary notwithstanding their registration of e-mail addresses with the Fund, may indicate their option to the AMC in writing and AMC shall provide abridged summary of annual report without charging any cost. Physical copies of the report will also be available to the unitholders at the registered offices at all times. For request on physical copy refer relevant disclosures mentioned in the SAI available on AMC website i.e. <a href="http://www.dspim.com">www.dspim.com</a></p> <p>The advertisement in this reference will be published by the Fund in all India edition of atleast two daily newspapers, one each in English and Hindi.</p> <p>Investors are requested to register their e-mail addresses with Mutual Fund.</p>
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#### COMPARISON WITH THE EXISTING SCHEMES OF THE MUTUAL FUND:

Scheme Name	Investment Objective	Allocation
DSP Liquid ETF	<p>The investment objective of the Scheme is to seek to provide current income, commensurate with relatively low risk while providing a high level of liquidity, primarily through a portfolio of Tri-Party REPO), Repo in Government Securities, Reverse Repos and similar other overnight instruments.</p> <p>There is no assurance that the investment objective of the Scheme will be realized.</p>	<p>Tri-Party REPOs, Repo in Government Securities, Reverse Repos and any other similar overnight instruments as may be provided by RBI and approved by SEBI: 95% - 100%.</p> <p>Other Money Market Instruments with residual maturity of upto 91 days*: 0% - 5%.</p> <p>*The Scheme retains the flexibility to invest across all the securities in money market instruments as permitted by SEBI / RBI from time</p>

Scheme Name	Investment Objective	Allocation
		to time, including Liquid/Money market schemes of mutual funds.
DSP Nifty 50 Equal Weight ETF	<p>The Scheme seeks to provide returns that, before expenses, closely correspond to the total return of the underlying index (NIFTY 50 Equal Weight Index), subject to tracking errors.</p> <p>There is no assurance that the investment objective of the Scheme will be realized.</p>	<p>Equity and Equity Related Securities of companies constituting NIFTY50 Equal Weight Index, the Underlying Index: 95% - 100%.</p> <p>Cash and Cash Equivalents / Money Market Instruments with residual maturity not exceeding 91 days: 0% - 5%.</p>

For detailed of asset allocation of the aforesaid schemes you may refer its Scheme Information documents available on [www.dspim.com](http://www.dspim.com).

Date: November 18, 2021

This product is suitable for investors who are seeking\*

- Long-term capital growth
- Investment in equity and equity related securities covered by Nifty Midcap 150 Quality 50 Index, subject to tracking error.
- \* Investors should consult their financial advisers if in doubt about whether the Scheme is suitable for them.



Application No.:

Distributor / RIA / PMRN Name and ARN / Code	Sub Broker ARN & Name	Sub Broker/Branch/RM Internal Code	EUIN (Refer note below)	For Office use only

I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned. Commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

- I am a First Time Investor in Mutual Fund Industry.  I am an Existing Investor in Mutual Fund Industry.

Sole / First Applicant's Signature Mandatory

#### 1. DEMAT ACCOUNT DETAILS (Demat a/c is mandatory as units will be allotted only in demat mode)

NSDL

OR

CDSL

DP Name: \_\_\_\_\_  
 DP ID No.: \_\_\_\_\_  
 Beneficiary A/c No. \_\_\_\_\_

DP Name: \_\_\_\_\_  
 Beneficiary A/c No. \_\_\_\_\_

Enclosed  Client Master List  Transaction Statement Copy  DIS Copy (Mandatory to provide either one of the document)

(The order of names in the folio number should exactly match with the order of names in the Demat account provided.)

#### 2. PURCHASE (Cheque/DD to be drawn in favour of DSP ETF Collection A/c)

Scheme **DSP Nifty Midcap 150 Quality 50 ETF**  
 Payment Mode:  Cheque  DD  RTGS/NEFT  Funds Transfer  OTM  
 Amount in Words \_\_\_\_\_  
 Amount in Figures (i) \_\_\_\_\_ Cheque / DD / RTGS / NEFT No. \_\_\_\_\_  
 Rs. \_\_\_\_\_  
 Cheque / Payment Date \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ Payment from Bank A/c No. \_\_\_\_\_  
 Pay In A/c No. (Mandatory) \_\_\_\_\_  
 Cheque / DD Drawn on / Payment from Bank & Branch \_\_\_\_\_  
 Account Type  Savings  Current  NRE  NRO  FCNR  Other \_\_\_\_\_  
 Documents Attached to avoid Third Party Payment Rejection, where applicable :  
 Bank Certificate for DD  Third Party Declaration

#### SWITCH (Switch out units should be in physical/non demat mode)

Switch-out from Scheme / Plan / Option\* / Sub Option\*  
 DSP \_\_\_\_\_ Plan \_\_\_\_\_ Option / Sub Option \_\_\_\_\_  
 Amount in Figures Rs. \_\_\_\_\_  
 Amount in Words \_\_\_\_\_  
 OR (Please note that the Switch can be done either in Units or in Amount and not in both)  
 Units in Figures \_\_\_\_\_  
 Units in Words \_\_\_\_\_  
 Switch-in To Scheme  
**DSP Nifty Midcap 150 Quality 50 ETF**

#### 3. BANK ACCOUNT DETAILS (Mandatory)

Bank Name \_\_\_\_\_  
 Bank A/c No. \_\_\_\_\_ A/c Type  Savings  Current  NRE  NRO  FCNR  Others  
 City \_\_\_\_\_ Pin \_\_\_\_\_ IFSC code: (11 digit) \_\_\_\_\_

#### 4. FIRST APPLICANT'S DETAILS

Name of First Applicant (Should match with PAN) \_\_\_\_\_ Date of Birth (1st Appl / Minor) (attach proof) \_\_\_\_\_  
 Name of Guardian (if minor)/POA/Contact Person \_\_\_\_\_ Date of Birth (Guardian) \_\_\_\_\_  
 Existing Folio \_\_\_\_\_ PAN (1st Appl / Guardian) \_\_\_\_\_ Guardian is:  
 Father  Mother  Court Appointed  
 CKYC - KIN \_\_\_\_\_ PAN of POA \_\_\_\_\_  KYC attached

#### ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

DSP MUTUAL FUND

Received, subject to realisation and verification an application for purchase of Units as mentioned in the application form.  
 From \_\_\_\_\_

Scheme	Cheque no.	Amount
DSP Nifty Midcap 150 Quality 50 ETF		

Application No.

5. CONTACT DETAILS AND CORRESPONDENCE ADDRESS (As per KYC records) NRI Investors should mention their Overseas address (Refer instructions).

Email ID (in capital) Mobile +91 Tel (STD Code) Address Landmark City Pin Code (Mandatory) State Address Type (Mandatory) a. Residential & Business b. Residential c. Business d. Registered Office

6. KYC DETAILS (Mandatory)

3a. Status of Sole/1st Applicant (Please tick) Indian Resident Individual Minor (Resident) Minor (Repatriable) Minor (Non Repatriable) NRI (Repatriable) NRI (Non-Repatriable) PIO Sole Proprietorship HUF - Indian HUF - NR Partnership Firm Limited Partnership (LLP) Public Ltd. Co. Private Ltd. Co. Body Corporate Bank FIs Insurance Companies Government Body AOP/BOI Trust Society Provident Fund Superannuation/Pension Fund Gratuity Fund Mutual Fund FII FPI-Category I/II/III FCRA GDN Defence Establishment NPS Trust Others (Please specify) Are you a Non-Profit Organization [NPO] or Company u/s 25 (Companies Act 1956) or u/s 8 of Companies, Act, 2013: Yes No 3b. Occupation Details (Please tick) Private Sector Service Public Sector Service Government Service Business Professional Agriculturist Retired Housewife Student Forex Dealer Others (Please specify) 3c. Gross Annual Income (Please tick) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore Net-worth in (Mandatory for Non-Individuals) ₹ as on DD / MM / YYYY (Not older than 1 year) 3d. For Individuals (Please tick) Not Applicable I am Politically Exposed Person I am Related to Politically Exposed Person

7. JOINT APPLICANTS (IF ANY) DETAILS

Mode of Holding (Please tick) Joint (Default) Anyone or Survivor Date of Birth 2nd Applicant (Should match with PAN) PAN CKYC - KIN a. Occupation Details (Please tick) Private Sector Service Public Sector Service Government Service Business Professional Agriculturist Retired Housewife Student Forex Dealer Others (Please specify) b. Gross Annual Income (Please tick) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore c. Others (Please tick) Not Applicable Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP) 3rd Applicant (Should match with PAN) PAN CKYC - KIN a. Occupation Details (Please tick) Private Sector Service Public Sector Service Government Service Business Professional Agriculturist Retired Housewife Student Forex Dealer Others (Please specify) b. Gross Annual Income (Please tick) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore c. Others (Please tick) Not Applicable Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)

8. FATCA and CRS DETAILS

Table with 9 columns: Country #, Tax Identification Number, Identification Type/Reason\*. Includes sub-headers for Sole/First Applicant/Guardian, 2nd Applicant, 3rd Applicant, and POA.

9. DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by DSP Mutual Fund form time to time, I / We, hereby apply to the Trustee of DSP Mutual Fund for Units of the relevant Scheme/Plan/Option and agree to abide by the terms and conditions, rules and regulations. I / We have understood the information requirements of the application form, including FATCA and CRS requirements, terms and conditions (read along with instructions and scheme related documents) and hereby accept the same and further confirm that the information provided by me/us on this form is true, correct, and complete. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority.

Sole / First Applicant / Guardian Second Applicant Third Applicant POA holder, if any

Email: service@dspim.com Website: www.dspim.com Contact Center: 1800-208-4499 / 1800-200-4499

- Quick Checklist: Demat account details, Name, Address are correctly mentioned, Email ID / Mobile number are mentioned, KYC information provided for each applicant, FATCA/CRS details provided for each applicant, Full scheme name, option is mentioned, Pay-In bank details and supportings are attached, Form is signed by all applicants, Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used, Non Individual investors should attach FATCA Details and Declaration Form, UBO Declaration Form

These instructions form an integral part of scheme related documents and investors are advised to read and understand the same.

Please carefully read the Scheme Information Document (SID), Statement of Additional Information (SAI), Key Information Memorandum (KIM) and all relevant Addenda before filling up the application form. Investors are deemed to have read and accepted the terms, as prevailing on the date of application being accepted and units being allotted even if they are using an old application form, subject to which these offers are being made, and bind themselves to the terms upon signing the application form.

All applications will be accepted subject to verification. Invalid or incomplete applications are liable to be rejected after acceptance and verification, and information will be sent to the address by general post.

All documents required to be submitted with the application form or later, like power of attorney, etc. should be in English language or notarized translated copy in English language.

#### General Instructions

- a. These application forms may be used by both resident and non-resident investors. However, Residents of Canada are not permitted to invest and should not invest in any of the Schemes of the Fund. Foreign Portfolio Investors (FPIs), QFIs and US Person should approach the AMC to know the eligibility, the list of documents required and complete account opening formalities prior to investing.
- b. The application form should be filled in English in BLOCK LETTERS and the applicants' names and address should be provided in full (P.O. Box No. alone is not sufficient). Please tick (ü) in the appropriate boxes (☐), where provided.
- c. Application forms that fall under any of the following categories are liable to be rejected and will not be returned to the customers. If applicable, the money paid will be refunded/ returned without interest or redeemed at applicable NAV.
  - i Application forms incomplete in any respect or having a whitener or where there is any over writing on the form without the applicants' counter signature.
  - ii Application forms from ineligible applicant's or are invalid or incomplete or ambiguous or without any of supporting documents or any of the mandatory details in any respect.
  - iii Application forms that are not accompanied by a payment instrument/instruction for the amount payable on the same day.
  - iv Applications forms where the Applicant's Name does not exactly match with name/s mentioned in the PAN Card or Income Tax database.
  - v. Application forms that the Trustee chooses to reject for any other reason determined at its sole discretion.
- d. No separate receipt will be issued for the application. The Investor Service Centre / Collection Centre / Official point of acceptance of transactions will stamp and return the acknowledgement slip in the application form, subject to verification. The acknowledgement receipt should be retained by investors till the receipt of confirmation of transaction acceptance or rejection.
- e. All allotments will be provisional, subject to realization of payment instrument/mode and subject to the AMC having been reasonably satisfied that the Fund has received clear funds. Any redemption or switch out transaction in the interim is liable to be rejected at the sole discretion of the AMC.
- f. Switching during NFO
  - i. A switch has the effect of redemption from one scheme/plan/ option and a purchase in the other scheme/plan/option to which the switching has been done. The price at which the units will be switched-out will be based on the redemption price of the scheme from which switch-out is done and the proceeds will be invested into the Scheme at the NFO Price.
  - ii. Unit holders are requested to note that application for switch-out for units for which funds are not realized via purchase or switch-in in the scheme of the Fund shall be liable to be rejected. In other words, switch out of units will be processed only if the funds for such units are realized in the scheme by a way of payment instructions/ transfer or switch-in funding process.
  - iii. Further, all switch funding shall be in line with redemption funding timelines adopted by the concerned scheme i.e. if a scheme follows T+3 payout for redemption, the switch out funding should also be made on the T+3 and not earlier or later than T+3, where T is the day of transaction. The funds from the switch out schemes into the switch in scheme should be received within one business day prior to the allotment date.
  - iv. During the NFO period, switch requests will be accepted upto 3.00 p.m. as per the timelines stated below.
    - a) For switch out from schemes which have pay out cycle on T+1 or T+3, the switch request should can be submitted till 3.00 pm on the last day of the NFO.
    - b) For switch out from schemes which have pay out cycle on T+5, the switch request should can be submitted till 3.00 pm two business days prior to the last day of the NFO.
  - v. Any switch request submitted later than timelines mentioned above will be rejected without any recourse to the investor.
  - vi. If the NFO of the scheme is called off for any reason whatsoever, the Switch Out amount from other schemes to the NFO scheme will be paid to the investor within 5 Business Days of the closure of the NFO, similar to a redemption from Switch out scheme. Investors should note that the Switch transaction will not be nullified and the switch amount will be paid out as redemption. Further, such payments will not qualify as delayed payments and no interest will be payable by the Fund/AMC/ RTA in such cases where the payment date is beyond 10 days of the switch out date, as the switch transactions are accepted from the first day of the entire NFO period and the NFO may be called off after the closure of NFO period.
- g. Any subsequent changes in static information like address, bank details, dividend sub option, nomination etc. will be based on written communication from investors. These changes will

be effected only for units held in non-demat mode, within 5 days of the valid signed request reaching the office of the Registrar at Chennai, and any interim financial transactions will be effected with last available/registered details only. In case of units held in demat mode, the static details as recorded in DP records and available to the Fund as part of Benpos file will prevail for all purposes, including redemption and dividend payments.

- h. While PAN shall be the sole identification number and is mandatory for KYC, for certain set of customers, PAN requirement is exempted. Such set of customers, however, need to complete the necessary KYC requirements, get a unique reference number from KRA's system. A copy of the KRA issuance letter containing the unique reference number should also be attached with each application/transaction. The PAN exempt entities/ transactions are a) Investors residing in the state of Sikkim; b) SIP of upto Rs 50, 000/- per financial year; c) Micro investment i.e fresh purchase, additional purchase & SIP installments for amount not more than Rs 50,000/- per financial year d) Transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- i. If the investor wishes to invest directly, i.e. without involving the services of any agent or broker, "Direct" should be mentioned in the space provided for ARN Number. Any subsequent change / updation / removal of broker code will be based on the written request from the unitholders and will be on a prospective basis only from the date when the Registrar executes such written instruction.
- j. Investors should mandatorily use the Application forms & SIP/SWP/STP forms in the KIM, and other standard forms available at the ISCs/www.dspim.com, for any financial/non-financial transactions. Any transaction received in any non standard form, is liable to be rejected.
- k. Investors should provide details/instructions only in the space provided in the form. Any details/notings/information/instruction provided at a non-designated area of the standard form being used, or any additional details, for which space is not designated in the standard form, may not be executed and the AMC will not be liable for the same.
- l. Investor/s should be aware and agrees that the AMC and its Registrar reserve the right to disclose the investor and transactions details to third parties viz. Distributors registered with AMFI, SEBI Registered Investment Advisors (RIA), SEBI Registered Portfolio Managers, Stock Brokers and any other entity (from whom applications / transactions of investors are received), banks, payment aggregators, printers, mailing agencies and any other entity for the purpose of compliance with legal and regulatory requirements or for complying with anti-money laundering requirements. In case of any specific consent request received from the investor to provide data feed to the Registered Investment Advisor, SEBI Registered Portfolio Managers, Stock Brokers, AMC will overwrite the existing RIA/PMRN/Stock Broker code in the folio, if the code mentioned in the request is different from the code registered in the folio.
- m. Process for change of address If the investor wishes to change the address in their folio, they shall submit change of address form, proof of address, and any other document/ form that the AMC may require additionally to complete KYC details, if not done earlier. AMC reserves the right to collect proof of old address on a case to case basis while effecting the change of address.
- n. Applicants / Unitholders applying through a distributor (AMFI registered ARN holder) certify that:
  - i. Applicants / Unitholders have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment, ii. The ARN holder has disclosed to the Applicants / Unitholders all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the scheme is being recommended to the Applicants / Unitholders, iii Where the EUIN box is left blank being an execution only transaction, I/we confirm that the transaction is notwithstanding the advice of in-appropriateness, if any, provided by the distributor's employee/relationship manager/sales person and the distributor has not charged any advisory fees on this transaction.
  - o. EUIN: EUIN stands for Distributor's Employee's Unique Identification Number. Apart from ARN codes of the distributor/sub distributor, applicants should also ask and mention the EUIN of the sales personnel of the distributor / sub distributor, who is advising the scheme to the applicant. In case of no such advice or interaction, the applicant should tick on the 'Execution-Only' tick box.
  - p. Transactions charges: In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such transaction charges in particular category of schemes, transaction charges are deducted and paid to the distributor as follows (i) Rs. 150/- from a first time mutual fund investor's application; (ii) Rs. 100/- from an existing mutual fund investor's application. Units will be issued against the balance amount. Please tick the appropriate box as applicable to you. If no option is ticked, it is deemed that the applicant is an existing investor in the mutual fund industry. Even if an applicant ticks as new investor, the mutual fund reserves a right to check with investments in other mutual funds to ascertain new or existing investors.
  - q. The investor agrees that the allotment information, account statement, proceeds towards redemptions and dividends will be dispatched by a reasonable mode of despatch like courier, post, etc. in case of cheque/demand draft or directly credited to the bank account (as per the details mentioned by the investor) using reasonable and available means, entirely and solely at the risk of the investor. The investor will not hold the Mutual Fund or the AMC or the Registrar responsible for any non-receipt or delay of receipt of redemption & dividend proceeds due to any negligence or deficiency in service by the courier company, postal authorities or the bank executing direct credits/RTGS/NEFT, or due to incorrect bank account details provided by the investor.
  - r. In case of Individual, the Investor confirms that he is not a US person in terms of Regulation S of Securities Act, 1993 as amended from time to time. Further, he does not intend to return to US and his stay in India is of permanent nature.
  - s. In case of Non-Individual, the Investor confirms that it is not a US person in terms of Regulation S of Securities Act, 1993 as amended from time to time. Further, it is registered/ incorporated under the laws of India and not formed for the specific purpose of investing in Indian securities including units of SEBI Registered Mutual Funds.

t. Nomination would be as per the nominee details updated in the demat account at the Depositories. For any change in nomination, the applicants must approach their Depository Participant (DP).

#### 1. Demat Account Details

- a. Being an ETF scheme and as the units will be listed and traded on the Stock Exchange, the units will be issued only in demat mode.
- b. Applicants should clearly mention the demat account details in the application form. Application will be rejected in case the demat account details are not provided or are incomplete or do not match with the records as per the depositories.
- c. Order of names in the demat account provided should mandatorily match with the order of names mentioned in the application form or in the folio.
- d. Applicants should attach a copy of the client master or demat account statement in support and for verification of the demat account provided in the application form

#### 2. Investment and Payment Details

- a. Investors should fill in all the details clearly in the space provided in the application form. In case of incomplete or incorrect details default options will be considered or the application would be rejected.
- b. Payments by cash, stock invests, credit cards, post-dated cheques (except through SIP), and post-dated account-to-account transfer instructions to credit the Designated Account will not be accepted.
- c. Investors residing in locations where Investor Service Centres or Collection Centres are not located are requested to make payment by demand drafts. Demand draft charges for such investors will be borne by the AMC, subject to the standard demand draft charges, as charged by the State Bank of India, and the investors attaching proof of the charges.
- d. The cheque or demand draft should confirm to CTS 2010 standards in banking industry and be payable locally at the centre where the application is deposited and should be drawn on any bank that is a member of the Bankers' Clearing House.
- e. The cheque or demand draft should be drawn in favour of the 'Scheme Name', as the case may be, and should be crossed Account Payee Only.
- f. A separate cheque should be given for each separate investment in a different scheme or plan or option.
- g. It is mandatory for NRIs to attach a copy of the payment cheque / FIRC / Debit Certificate to ascertain the repatriation status of the amount invested. NRI Applicants should also clearly tick on account type as NRE or NRO or FCNR to determine the repatriation status of the investment amount. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided in the form under Investment and Payment details and will not be liable for any incorrect information provided by the applicants. Applicants will have to coordinate with their authorized dealers and banks to repatriate the investment amount as and when needed.
- h. Third Party Payment Avoidance & additional documents/declaration required To safeguard the interests of applicant/investors and avoid fraudulent transactions in any other name, the mutual fund does not accept Third Party Payments. A payment towards mutual fund subscription by cheque/DD/RTGS/NEFT or any mode whatsoever is deemed as a Third-Party payment, if payment is issued from a bank account other than that of the beneficiary investor. The first holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made via cheque/Demand Draft (DD)/Funds transfer/RTGS/NEFT. Therefore, it is important for investors to mention the bank account number, bank name & branch address from where the payment is issued and the same should match with details on payment cheque/document (where applicable).

Where the payment instrument/advance does not mention the bank account holders name/s, investor should attach bank pass book copy/bank statement/bank letter to substantiate that the first unit holder is one of the joint holders of the bank account. Where a payment is through a DD, a bank certification of bank account and account holders name of the bank account used for DD issuance should be attached, in the required format.

In specific exceptional situations where Third Party payment is permitted like i. Payment by Parents / Grand-Parents / Related persons on behalf of a minor (other than registered guardian) in consideration of natural love and affection or as gift for value not exceeding Rs 50,000 for each purchase, ii. Payment by an Employer on behalf of Employee or iii. Custodian on behalf of an FII or a client, iv. Payment by Asset Management Company to a Distributor empaneled with it on account of commission/incentive etc. in the form of the Mutual Fund Units of the Funds managed by such AMC through Systematic Investment Plans or lump sum / one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time. KYC of the investor and the KYC of the person making the payment is mandatory irrespective of amount. Additionally, a joint declaration is also required to be submitted.

Investors are advised to visit [www.dspim.com](http://www.dspim.com) for more details, including declaration formats or approach any of the offices of the fund.

- i. The AMC reserves a right to reject the transaction or call for additional details, if payment bank account and other details are not mentioned on the form and/or do not match with payment instrument and/or necessary documents and declaration, as applicable to respective investors and transactions, are not attached or are insufficient. In case the funds are transferred to the mutual fund account prior to the application rejection, then amount transferred may not be refunded or redeemed unless the investor establishes KYC with additional documentation.
- j. Returned cheques are not liable to be presented again for collection, and the accompanying application could also be rejected. In case returned cheques are presented, the necessary charges including returned charges may be debited to the investor.
- k. To safeguard the interests of applicant/investors and avoid fraudulent transactions in any other name, it is important for investors to mention the bank account number, bank name & branch address from where the payment instrument or funds transfer is issued and the same should match with details on payment cheque (where applicable). The AMC reserves a right to reject the transaction if such payment details are not mentioned and/or do not match.

l. For general terms and conditions and more information on 'One Time Mandate (OTM)' Facility, Unit holder(s) are requested to read Terms and Conditions, OTM registration form, addenda to Scheme Information Document and Key Information Memorandum available on [www.dspim.com](http://www.dspim.com).

#### 3. Bank Account Details

- a. It is mandatory for all investors of mutual fund schemes to provide their bank mandate. Applications without the mandatory bank details are liable to be rejected. Investors should ideally mention account details of the same bank account from where the payment towards purchases is made. If the bank account details mentioned are different from purchase pay-in bank, investors should attach additional documents validating the bank account like cancelled cheque with name & account number pre-printed, latest bank statement, latest passbook, bank letter in original or attested. Should the investor fail to provide the documents, the Fund/AMC/RTA reserves the right to register the pay-in bank details as the redemption bank details and use such bank account for payment of any redemption/dividend proceeds
- b. The investor agrees that the allotment information, account statement, proceeds towards redemptions and IDCW will be dispatched by a reasonable mode of dispatch like courier, post, UCP, etc. in case of cheque/demand draft or directly credited to the bank account (as per the details mentioned by the investor) in case of using direct credit facility, RTGS or NEFT, entirely and solely at the risk of the investor. The investor will not hold the Mutual Fund or the AMC or the Registrar responsible for any non-receipt or delay of receipt of redemption & dividend proceeds due to any negligence or deficiency in service by the courier company, postal authorities or the bank executing direct credits/RTGS/NEFT, or due to incorrect bank account details provided by the investor.
- c. Bank Account Details registered with Depository Participant will be considered for redemption & IDCW payout. Where the bank details are not available from the Depositories, the redemption payout will be made to the bank account mentioned in the application or transaction request.
- c. In case of investment in the name of minor, it is mandatory that the bank account provided is of the minor and not of the guardian. The funds for the investment has to be mandatorily from the minor's bank account and redemption proceeds will also be paid only to the minor's bank account. In case of existing folios, the bank account of the minor has to be updated in the folio before submitting the redemption request. Redemption will be rejected if the minor's bank account is not updated in the folio.

#### 4. First Applicant's Details

- a. Applicants / Guardian should fill in all details as requested in the relevant section. Name of the Applicant/Guardian should exactly match with name mentioned in the PAN Card or Income Tax database. In case of any mismatch in the name as mentioned in the form and as available in the PAN Card or Income Tax database, the Fund/AMC reserves the right to update the name as available in the PAN Card or Income Tax database or the Fund/AMC reserves the right to reject the application without any prior intimation and the subscription amount would be refunded after realization and reconciliation of the funds.
- b. Existing Investors, having a folio number and who wish to get units allotted in non-demat mode, in the existing folio number, should mention their folio number. If existing folio number is mentioned, investors should only fill the section on Investment Details. Investors should skip and should not mention joint applicants, bank account, nomination and unit holding option. If an existing folio is mentioned, the investment will be in same folio, even if the joint holders are different. Further, other details like bank account, nomination etc will not be considered, even if mentioned differently from existing folio details. Investors should use separate designated forms for updating bank account details, nomination etc.
- c. New Investors who do not have a folio or existing investors intending to get units allotted in demat mode or who wish to open a new folio should fill up the form without quoting existing folio number and should provide details in all sections as mentioned in the form.
- e. Applications under a Power of Attorney or by a limited company or a corporate body or an eligible institution or a registered society or a trust fund must be accompanied by the original Power of Attorney (or a duly certified true copy thereof) duly notarized, or the relevant resolution or authority to make the application (or duly certified copy thereof) as the case may be. All documents should be in English language or notarized translated copy in English language.
- f. For Investments 'On behalf of Minor': Where the investment is on behalf of minor by the guardian, please note the following important points.
  - i. The minor shall be the sole and only first holder in the account. Nomination facility is not available for applications/folios on behalf of a minor. Joint holders' details and nomination details, even if mentioned and signed will not be considered.
  - ii. Details like minor's date of birth, Guardian's relation with Minor, Guardian name, PAN, KYC are mandatory, along with supporting documents. • Photocopy of the document evidencing the date of birth of minor like i. Birth certificate of the minor, or ii. School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or iii. Passport of the minor, or iv. Any other suitable proof should be attached with the application form. Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided.
  - iii. On the date of minor attaining majority, such minor turned major will have to complete all formalities as required by the fund to change the status on the folio. Further, from such date of minor turned major, the existing registered guardian will not be able to transact in the folio and only the minor turned major will be able to transact in the folio.

#### 5. Contact Details and Correspondence Address

- a. Applicants should provide contact information such as email id, mobile telephone number/s and correspondence address. However, the fund reserves the right to update communication postal address from KYC records of on SEBI designated KYC Registration Authority (KRA).
- b. It is mandatory for NRI investors to provide their overseas address in the application form as well as in the KYC records. NRI investors should mandatorily provide the overseas address in Section 2 of the application form. Application without overseas address is liable to be rejected even after allotment and subscription amount refunded in 5 days' time from the date of rejection.

- c. Investors should ensure that, the email id, mobile number provided in the application form should be their own or any of the immediate family member and should be same as the ones provided in the KRA. Where the email id, mobile number are not provided or where provided but the same is found to be invalid, or seems to be not pertaining to the investor or any of the immediate family member or is of a distributor or any other agency, then AMC/RTA reserves the right to remove the email id, mobile number without any notice and the email id, mobile number as per KRA records may be updated in the folio.
- d. Investors wanting to change their email id, mobile number & address have to approach the KRA.
- Individual Investors: -**
- i. Investors should provide email id/mobile number of self/family only
  - ii. The email id/contact details mentioned on the application form should be same as the ones provided in the KRA. If found different, the details mentioned on KRA records will be updated in the folio
  - iii. Investor will need to update the email id/mobile number with the KRA in case of any change
  - iv. Account statements, newsletter, annual reports and other kinds of communication will be sent through email only instead of physical, for investors who provide their email address on the application form. Should they wish to have a hard copy, they are requested to send an email to the AMC/RTA. It is deemed that the applicants are aware of all the security risks associated with online communication, including possible third-party interception of documents sent via email.
- 6. KYC Requirements and Details**
- a. Basic KYC: Applicants are required to provide basic KYC details like photograph, proof of identity, proof of address, a KYC form and other details as per KYC form, to update their KYC with the fund and update on SEBI designated KYC Registration Authority (KRA) systems. If applicants' have already complied with basic KYC through some other intermediary, they may just provide a KYC acknowledgement of valid KYC status available through the KRA. Basic KYC is applicable for all applicants, Guardian, and Power of Attorney Holders as well.
  - b. Additional Details: Additional details like status, occupation details, gross annual income, net worth, and other details as mentioned in the relevant sections of the application form are mandatory for all applicants as applicable, including joint holders. Details of net worth are mandatory for Non-Individual applicants and optional for Individual applicants in lieu of gross annual income. While providing details of net worth, the same should be of a date which is within one year of the application.
  - c. Applications are liable to be rejected without any intimation to the applicants, if KYC requirements are not complied with by all the applicants, KYC acknowledgement is not enclosed or any of the additional details are not mentioned for any of the applicant at the time of purchase. In case where the KYC application is given along with the purchase and where the purchase is processed based on KYC application or based on KYC status as In Process, the purchase may be rejected in case the KYC is subsequently rejected or is on Hold.
  - d. Non updation of PAN and/or KYC will lead to restriction of all future financial and non-financial transaction, including redemptions. Redemption of existing investments are liable to be rejected if KYC requirements are not complied with.
  - e. Investors should further note that KYC applicability for various investor categories may change anytime in future. Hence, investors are requested to apprise themselves about KYC applicability before submitting their application or future transactions to avoid rejections. For any other further information related to KYC, applicants should mention the same in a separate sheet, duly signed and attach to the application.
- 7. Joint Applicants, if any and their details**
- a. If there is more than one applicant, please fill in all details as requested in the relevant section.
  - b. Name of the Joint Applicant/s should exactly match with name/s mentioned in the PAN Card or Income Tax database. In case of any mismatch in the name/s as mentioned in the form and as available in the PAN Card or Income Tax database, the Fund/AMC reserves the right to update the name/s as available in the PAN Card or Income Tax database or the Fund/AMC reserves the right to reject the application without any prior intimation and the subscription amount would be refunded after realization and reconciliation of the funds.
  - c. Applicants should specify the mode of holding. If the mode of holding is not specified or is ambiguous, the default option for such applications will be 'Joint'.
  - d. PAN, KYC compliance and other KYC details are mandatory for all applicants, irrespective of mode of holding. For more details, please refer to KYC Requirements mentioned earlier.
  - e. In the case of joint holders and irrespective of mode of holding, the sole/first-named applicant/unit holder will receive all account statements, dividend or redemption/refund payments, and all other relevant correspondences.
- 8. FATCA and CRS related details**
- a. The Central Board of Direct Taxes (CBDT) has notified Rules 114F to 114H, as part of the Income Tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all account holders and/or applicants.
  - b. Applicants/Unit holders are required to mandatorily provide the relevant information for FATCA and CRS, including Ultimate Beneficial Ownership (UBO) details. In case of any change in any information provided, Unit holders should ensure to advise the Fund/RTA promptly i.e within a period of 30 days.
  - c. All Applicants/Unit holders, individuals and non-individuals, must be aware that the failure to providing all relevant details in relevant section and/or relevant forms will result in rejection of their investment application form, refund of application money, reversal of units allotted, and the Fund will not be liable for any consequent loss to the Applicants/Unit holders.
  - d. Applicants like Individuals (including in the name of sole proprietorship firm), joint applicants, HUF, are required to provide details, as mentioned in this section, like Place and Country of birth, Country of Citizenship/Nationality mandatorily. If the applicant/s have any countries of tax residency other than India, details of all such countries and relevant tax identification number needs to be provided. If the space in the form is not adequate, applicants are required to attach additional sheets with information duly signed.
  - e. All Non-Individuals should fill and submit a separate form for FATCA and CRS declaration. Non-Individual entities, including partnerships, (other than those listed on a recognized stock exchange in India or is a subsidiary or related or controlled by such listed company) should also fill and submit a form for Ultimate Beneficial Ownership (UBO) details.
  - f. If you have any questions about your tax residency or other definitions or terms used, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.
  - g. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation, and attach to the form.
  - h. Applicant/unit holder should note that they also specifically authorize to disclose, share, remit in any form, mode or manner, all or any of the information provided by, including all changes, updates to such information as and when provided, to the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / associated parties / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India and other investigation agencies without any obligation of advising me/us of the same. Further, applicant/unit holder also authorizes to share the given information to other SEBI Registered Intermediaries to facilitate single submission / updation and for other relevant purposes.
  - i. Applicant/unit holder also undertakes to keep the Mutual Fund informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information / documentary proof as may be required.
  - j. Please note that applicants/unit holders may receive more than one request for information if you have multiple relationships/accounts/folios with us. Therefore, it is important that you respond to each of our request, even if you believe you have already supplied any previously requested information.
  - k. In case any of the specified information provided by the applicant/unit holder is found to be false or untrue or misleading or misrepresenting, applicant/unit holder will be solely liable and will indemnify the Mutual Fund, its Sponsor, Asset Management Company, Trustees, their employees / associated parties and the RTAs.
  - l. In case applicant/unit holder has any of the Indicia, pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant details as may be asked for.
- 9. Declaration & Signatures**
- a. Signature(s) should be in English or in any of the Indian languages specified in the Eighth Schedule of the Constitution of India.
  - b. Thumb impressions and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a magistrate or a Notary Public or a special Executive Magistrate under his/her official seal.
  - c. Applications by minors should be signed by their guardians. In the case of an HUF, the Karta should sign on behalf of the HUF.
  - d. Authorised officials should sign the form under their official designation and company seal. A list of specimen signatures of the authorised officials, duly certified and attested, should also be attached to the application form. In the case of a trust fund, a resolution from the trustee(s) authorising such purchase or investment should be submitted.



# List of Official Points of Acceptance of Transactions\*

## DSP Investment Managers Private Limited - Investor Service Centres

### HEAD OFFICE - Mumbai

Mumbai Andheri  
Agra  
Ahmedabad  
Bangalore  
Bhopal  
Bhubneshwar  
Chandigarh  
Chennai  
Coimbatore  
Dehradun  
Goa  
Gurgaon  
Guwahati  
Hyderabad  
Indore  
Jaipur  
Jamshedpur  
Jodhpur  
Kanpur  
Kochi  
Kolkata  
Lucknow  
Ludhiana  
Mangalore  
Nagpur  
Nashik  
New Delhi  
Patna  
Pune  
Raipur  
Rajkot  
Ranchi  
Surat  
Trivandrum  
Vadodara  
Vapi  
Varanasi  
Vizag

Mafatlal Centre, 10th & 11th Floor, Nariman Point, Mumbai - 400 021.  
NATRAJ, Office No. 302, 3rd Floor, Plot No - 194, MV Road Junction, Western Express Highway, Andheri (East), Mumbai - 400069.  
Shanta Tower, Office No. 12, 1st Floor, Block No. E-14, 16, Sanjay Place, Agra - 282 002.  
3rd EYE ONE, Office No. 301, 3rd Floor, Opposite Havmor Restaurant, C.G. Road, Panchvati, Ahmedabad - 380 006.  
Raheja Towers, West Wing, Office No. 104 -106, 1st floor, 26-27, M.G. Road, Bengaluru - 560 001.  
Star Arcade, Office No. 302, 3rd Floor, Plot No. 165 A and 166, Zone-1, M.P Nagar, Bhopal - 462 011.  
Lotus House, Office No. 3, 2nd Floor, 108 - A, Kharvel Nagar, Unit III, Master Canteen Square, Bhubaneswar - 751 001.  
SCO 2471 - 72, 1st Floor, Sector 22 - C, Chandigarh - 160 022.  
Alamelu Terraces, Office No. 163, 3rd Floor, Anna Salai, Chennai - 600 002.  
Office No. 25A4, 3rd Floor, A.M.I. Midtown, D.B. Road, R.S. Puram, Coimbatore - 641002.  
NCR Plaza, Ground floor, Office No. G 12/A, (No. 24-A) (New No. 112/28, Ravindranath Tagore Marg), New Cantt Road, Hathibarkhala, Dehradun - 248 001.  
Cedmar Apartments, Block D-A, 3rd Floor, Next to Hotel Arcadia, M.G. Road, Panjim, Goa - 403 001  
Vipul Agora Mall, Office No 227 & 228, Near Sahara Mall, Mehrauli-Gurgaon Rd, Near MG Metro Station, Sector 28, Gurugram, Haryana 122001  
Bibeknanda Complex, Office No. 3, 2nd Floor, Near ABC Bus Stop, G S Road, Guwahati - 781 005.  
RVR Towers, Office No. 1-B, 1st Floor, Door No.6-3-1089/F, Rajbhavan Road, Somajiguda, Hyderabad - 500 082  
Starlit Tower, Office No. 206, 2nd Floor, 29/1, Y.N Road, Opp. S.B.I Indore Head Office, Indore - 452 001.  
Green House, Office No. 308, 3rd Floor, O-15, Ashok Marg, Above Axis Bank, C - Scheme, Jaipur - 302001  
Shantiniketan, 2nd Floor, Main Road, P.O. Bistupur, Jamshedpur - 831 001.  
LOTUS Tower, Block No E, 1st Floor, Plot No 238, Sardarpura, 3rd B Road, Opposite Gandhi Maidan, Jodhpur - 342003  
KAN Chambers, Office No. 701-703, 7th Floor, 14/113, Civil Lines, Kanpur - 208 001.  
Amrithaa Towers, Office No. 40 / 1045 H1, 6th Floor, Opp. Maharajas College Ground, M.G. Road, Kochi - 682 011.  
Legacy Building, 4th Floor, Office No. 41B, 25A Shakespeare Sarani, Kolkata - 700 017.  
Capital House, 3rd Floor, 2, Tilak Marg, Hazratganj, Lucknow - 226 001.  
SCO-29, 1st Floor, Feroze Gandhi Market, Pakhowal Road, Ludhiana -141 001.  
Maximus Commercial Complex, Office No. UGI - 5, Upper Ground Floor, Light House Hill Road, Opp. KMC, Mangalore - 575 001.  
Milestone, Office No. 108 & 109, 1st Floor, Ramdaspath, Wardha Road, Nagpur - 440 010.  
Bedmutha's Navkar Heights, Office No 1 & 2, 3rd Floor, New Pandit Colony, Sharanpur Road, Nashik - 422 002.  
219-224, 2nd Floor, Narain Manzil, 23 Barakhamba Road, New Delhi-110001.  
Dumraon Place, L309 & L310, 3rd Floor, Frazer Road, Patna 800 001.  
City Mall, 1st Floor, Office No. 109 (B&C) University Square, University Road, Pune-411 007.  
Raheja Towers, Office No. SF 18, 2nd Floor, Near Hotel Celebration, Fafadih, Raipur - 492 001  
Hem Arcade, Office No. 303, 3rd Floor, Opposite Swami Vivekanand Statue, Dr. Yagnik Road, Rajkot - 360 001.  
Shrilok Complex, Office No 106 to 109, 1st Floor, Plot No - 1999 & 2000, 4, Hazaribagh Road, Ranchi - 834 001.  
International Trade Centre (ITC), B-Wing, Office No. G-28, Ground Floor, Majura Gate Crossing, Ring Road, Surat - 395 002.  
Menathottam Chambers, TC-2442(6), 2nd Floor, Pattom PO, Thiruvananthapuram - 695 004.  
Naman House, Ground Floor, 1/2 - B, Haribhakti Colony, Opp. Race Course Post Office, Race Course, Near Bird Circle, Vadodara - 390 007.  
Bhikaji Regency, Office No. 3, 1st Floor, Opposite DCB Bank, Vapi - Silvasa Road, Vapi - 396 195.  
Arihant Complex, 7th Floor, D-64/127, C-H, Sibra, Varanasi - 221 010  
VRC complex, Office No. 304B, 47-15/14-15, Rajajee Nagar, Dwaraka Nagar, Visakhapatnam - 530 016.

## CAMS Investor Service Centres and Transaction Points

**Agartala** Advisor Chowmuhan (Ground Floor), KrishnanagarAgartala, Tripura, 799001 **Agra** No. 8, II Floor Maruti Tower Sanjay Place Agra, Uttarpradesh-282002 **Ahmedabad** 111- 113, 1st Floor- Devpath Building Off C G Road Behind Lal Bungalov, Ellis Bridge, Ahmedabad Gujarat 380006 **Ahmednagar** CAMS SERVICE CENTER, Office No.3, 1st Floor, Shree Parvati, Plot No.1/175, Opp. Mauli Sabhagruh, Zopadi Canteen, Savedi, Ahmednagar-414003 **Ajmer** AMC No. 423/30 Near Church Opp T B Hospital, Jaipur Road, Ajmer, Rajasthan, 305001 **Akola** Opp. RLT Science College/Civil Lines, Akola, Maharashtra, 444001 **Aligarh** City Enclave, Opp. Kurnur Nursing Home Ramghat Road Aligarh Uttarpradesh-202001 **Allahabad** 30/2, A&B, Civil Lines Station Besides Vishal Mega Mart Strachey Road, Allahabad, Uttarpradesh-211001 **Alleppey** "Doctor's Tower Building, Door No. 14/2562, 1st floor, North of Iom Bridge, Near Hotel Arcadia Regency, Alleppey Kerala, 688001" **Alwar** 256A, Scheme No.1, Arya Nagar, Alwar, Rajasthan, 301001 **Amaravati** 81, Gulshan Tower, 2nd Floor, Near Panchsheel Talkies, Amaravati, Maharashtra, 444601 **Ambala** CAMS SERVICE CENTRE, SCO 48-49, Ground Floor, opp. peer, Bal Bhawan Road, Near HDFC Bank, Ambala City, Haryana - 134 003 **Amritsar** CAMS SERVICE CENTER, 3rd Floor, Bearing Unit No-313, Mukut House, Amritsar-143001 **Anand** 101, A.P. Tower, B/H, Sardhar Gunj, Next to Nathwani Chambers, Anand Gujarat 388001 **Anantapur** 15-570-33, I Floor Pallavi Towers, Subash Road Opp: Canara Bank, Anantapur, Andhra Pradesh, 515001 **Andheri** CAMS Pvt Ltd, No.351, Icon, 501, 5th Floor, Western Express Highway, Andheri East, Mumbai-400069 **Ankleshwar** Shop No - F-56 First Floor, Omkar Complex Opp Old Colony, Nr Valia Char Rasta, GIDC, Ankleshwar, Gujarat, 393002 **Asansol** Block - G 1st Floor, P C Chatterjee Market Complex Rambandhu Talab PO, Ushagram Asansol Westbengal Pin No 713303 **Aurangabad** CAMS SERVICE CENTRE, 2nd Floor, Block No. D-21-D-22, Motiwala Trade Centre, Nirala Bazar, New Samarth Nagar, Opp. HDFC Bank, Aurangabad-431001 **Balalore** B C Sen Road, Balasore, Orissa, 756001 **Balleri** CAMS SERVICE CENTER, No.18/47/A, Govind Nilaya, Ward No.20, Sangankal Moka Road, Gandhinagar, Ballari-583102 **Bangalore** Trade Centre, 1st Floor 45, Dikensons Road ( Next to Maniplal Centre ), Bangalore, Karnataka, 560042 **Bangalore (Wilson Garden)** CAMS SERVICE CENTER, First Floor, No.17/1, -(272) 12Th Cross Road, Wilson Garden, Bangalore-560027 **Bankura** Cinema Road, Nutanganj, Beside Mondal Bakery, PO & District Ban kura, Bankura, Westbengal, 722101 **Bareilly** CAMS SERVICE CENTER, F-62-63, 2nd Floor, Butler Plaza Commercial Complex Civil Lines Bareilly Uttarpradesh-243001 **Basti** "CAMS C/O RAJESH MAHADEV & CO SHOP NO 3, 1st Floor JAMIA COMLEX STATION ROAD BASTI PIN 272002" **Belgaum** CAMS SERVICE CENTRE, Classic Complex, Block No.104, 1st Floor, Saraf Colony, Khanapur Road, Tilakwadi, Belgaum-590006 **Berhampur** CAMS SERVICE CENTER, Kalika temple Street, Ground Floor, Beside SBI BAZAR Branch, Berhampur-760002 **Bhagalpur** CAMS SERVICE CENTRE, Ground Floor, Gurudwara Road, Near Old Vijaya Bank, Bhagalpur - 812001 **Bharuch** CAMS SERVICE CENTRE, A-111, First Floor, R K Casta, Behind Patel Super Market, Station Road, Bharuch-392001 **Bhatinda** 2907 GH, GT Road, Near Zila Parishad, Bhatinda, Punjab, 151001 **Bhavangar** 305-306, Sterling Point, Waghawadi Road Opp. HDFC BANK, Bhavnagar/Gujarat/364002 **Bhilai** CAMS SERVICE CENTRE, 1st Floor, Plot No.3, Block No.1, Priyadarshini Pariswar west, Behind IDBI Bank, Nehru Nagar, Bhilai-490020 **Bhilwara** C/o Kodwani Associates Shupe No 211-213 2nd floor Indra Prasth Tower syam Ki Sabji Mandi Near Mukerjee Garden Bhilwara-311001 (Rajasthan) **Bhopal** Plot No 10, 2nd Floor, Alankar Complex, Near ICICI Bank, MP Nagar, Zone II, Bhopal, Madhya Pradesh 462011 **Bhubaneswar** Plot No -111, Varaha Complex Building 3rd Floor, Station Square, Kharvel Nagar, Unit 3-Bhubaneswar-Orissa-751001 **Bhuj** CAMS SERVICE CENTRE, Office No.4-5, First Floor, RTO Relocation Commercial Complex-B, Opp. Fire Station, Near RTO Circle, Bhuj-Kutch-370001 **Bhusawal (Parent: Jalgaon TP)** 3, Adelaide Apartment, Christian Mohala, Behind Gulshan-E-Iran Hotel, Amardeep Talkies Road, Bhusawal, Maharashtra, 425201 **Bikaner** Behind rajasthan patrika In front of vijaya bank 1404, amar singh pura Bikaner. 334001 **Bilaspur** CAMS SERVICE CENTRE, Shop No.B-104, First Floor, Narayan Plaza, Link Road, Bilaspur (C.G)-495001 **Bokaro** Mazzanine Floor F-4, City Centre, Sector 4, Bokaro Steel City, Bokaro, Jharkhand, 827004 **Borivali** CAMS PVT LTD, Hirji Heritage, 4th Floor, Office No.402, L.T.Road, Borivali, Mumbai-400092 **Burdwan** CAMS SERVICE CENTER, No.399, G T Road, 1st floor, Above exide show room, ,Burdwan Westbengal 713101 **Calicut** 29/97G 2nd Floor, S A Arcade, Mavoor Road, Arayidathupalam , Calicut Kerala-673016 **Chandigarh** Deepak Tower SCO 154-155, 1st Floor-Sector 17-Chandigarh-Punjab-160017 **Chennai** Ground Floor No.178/10, Kodambakkam High Road Opp. Hotel Palmgrove, Nungambakkam-Chennai-Tamilnadu-600034 **Chennai-Satelite ISC** No.158, Rayala Tower-1, Anna salai, Chennai-600002 **Chhindwara** CAMS SERVICE CENTER, 2nd Floor, Parasia Road, Near Surya Lodge, Sood Complex, Above Nagpur CT Scan, Chhindwara, Madhya Pradesh 480001 **Chittorgarh** 3, Ashok Nagar, Near Heera Vatika, Chittorgarh, Rajasthan 312001 **Cochin** CAMS SERVICE CENTER, Building Name Modayil, Door No. 39/2638 DJ, 2nd Floor 2A M.G. Road, Cochin - 682 016 **Coimbatore** CAMS SERVICE CENTRE, No.1334, Thadagam Road, Thirumurthy Layout, R.S. Puram, Behind Venketeswara Bakery, Coimbatore-641002 **Coochbehar** Nipendra Narayan Road (N.N Road) Opposite Udichi Market Near - Bank Decorators PO & Dist. , Cooch Behar , Pin 736101, West Bengal **Cuttack** Near Indian Overseas Bank Cantonment Road, Mata Math, Cuttack, Orissa, 753001 **Darbhanga** Ground Floor , Belbhadrapur, Near Sahara Office, Laheriasarai Tower Chowk, Laheriasarai, Darbhanga- 846001. **Davangere** 13, 1st Floor, Akkamahadevi Samaj Complex Church Road, P.J.Extension, Davangere, Karnataka, 577002 **Dehradun** 204/121 Nari Ship Mandir Marg (1st Floor) Old Connaught Place, Chakrata Road, Dehradun, Uttarakhnad, 248001 **Deoghar** S S M Jalan Road Ground floor Opp. Hotel Ashoke, Caster Town, Deoghar, Jharkhand, 814112 **Dhanbad** Urmila Towers, Room No: 111 (1st Floor) Bank More, Dhanbad, Jharkhand, 826001 **Dharmapuri** 16A/63A, Pidamaneri Road, Near Indoor Stadium, Dharmapuri, Tamilnadu 636701 **Dhule** House No 3140, Opp Liberty Furniture, Jamnalal Bajaj Road, Near Tower Garden, Dhule, Maharashtra 424001 **Durgapur** CAMS SERVICE CENTRE, Plot No.3601, Nazrul Sarani, City Centre, Durgapur-713216 **Erode** 197, Seshayyer Complex, Agraharam Street, Erode, Tamilnadu, 638001 **Faizabad** CAMS SERVICE CENTRE, 11/3/196 A, Civil Lines, Behind Tripati Hotel, Faizabad, Uttarpradesh-224001 **Faridabad** B-49, 1st Floor, Nehru Ground, Behind Anupam Sweet House NIT, Faridabad, Haryana, 121001 **Gandhidham** CAMS SERVICE CENTER, Shyam Sadan, First Floor, Plot No.120, Sector 1/A, Gandhidham-370201 **Gaya** CAMS SERVICE CENTER, North Bisar Tank, Upper Ground Floor, Near-I.M.A. Hall, Gaya-823001 **Ghatkopar** CAMS SERVICE CENTRE, Platinum Mall, Office No.307, 3rd Floor, Jawahar Road, Ghatkopar East, Mumbai-400077 **Ghaziabad** CAMS SERVICE CENTER, 1st Floor, C-10 RDC Rajnagar, Opp Kacheri Gate No.2, Ghaziabad-201002 **Goa** CAMS SERVICE CENTER, Office No.103, 1st Floor, Untech City Centre, M.G.Road, Panaji Goa, Goa-403001 **Gondal (Parent Rajkot)** A/177, Kailash Complex Opp. Khedut Decor Gondal, Gujarat, 360311 **Gorakhpur** CAMS SERVICE CENTRE, Shop No.5 & 6, 3rd Floor, Cross Road The mall, A D Tiraha, bank Road, Gorakhpur-273001 **Gulbarga** Pal Complex, 1st Floor, Opp. City Bus Stop, SuperMarket, Gulbarga, Karnataka 585101 **Guntur** CAMS SERVICE CENTER, Door No.31-13-1158, 1st floor, 13/1, Arundelpet, Ward No.6, Guntur-522002 **Gurgaon** SCO - 16, Sector - 14, First Floor, Gurgaon, Haryana, 122001 **Guwahati** CAMS SERVICE CENTRE, Piyali Phukan Road, K.C.Path, House No.1, Rehbari, Guwahati-781008 **Gwalior** "G-6 Global Apartment, Kailash Vihar Colony, Opp. Income Tax Office, City Centre Gwalior Madhya Pradesh-474002" **Haldia** MOUZA-BASUDEVPUR, J.L. No. 126, Haldia Municipality, Ward No 10, Durgachak, Haldia - 126202 **Haldwani** Durga City Centre, Nainital Road, Haldwani, Uttarakhnad-263139 **Haridwar** F - 3, Hotel Shaurya, New Model Colony, Haridwar, Uttarkhand - 249408 **Hazaribag** Municipal Market Annanda Chowk, Hazaribag, Jharkhand, 825301 **Himmatnagar** D-78, First Floor, New Durga Bazar, Near Railway Crossing, Himmatnagar, Gujarat 383001 **Hisar** CAMS SERVICE CENTRE, No-12, Opp. HDFC Bank, Red Square Market, Hisar, Haryana, 125001 **Hoshiarpur** Near Archives Gallery, Shimla Pathari Chowk, Hoshiarpur, Punjab 146001 **Hosur** CAMS SERVICE CENTER, Survey No.25/204, Attibele Road, HCF Post, Mathgiri, Above Time Kids School, Oppsite To Kuttys Food, Hosur-635110 **Hubli** No.204 - 205, 1st Floor B ' Block, Kundagol Complex Opp. Court, Club Road, Hubli, Karnataka, 580029 **Indore** No.1, Shimlaur Corporate Centre-B, South Tukogunj, Opp.Greenpark, Indore, Madhya Pradesh, 452001 **Jabalpur** 8, Ground Floor, Datt Towers, Behind Commercial Automobiles, Napier Town, Jabalpur, Madhya Pradesh, 482001 **Jaipur** R-7, Yudhisthir Marg C-Scheme Behind Ashok Nagar Police Station, Jaipur, Rajasthan, 302001 **Jalandhar** CAMS SERVICE CENTER, 144, Vijay Nagar, Near Capital Small Finance Bank, Football Chowk, Jalandar City-144001 **Jalgaon** Rustomji Infotech Services 70, Navipeth Opp. Old Bus





Bhawan Lower Thana Road Near R K M LP School Shillong 793001 **SHIMLA** 1st Floor Opp Panchayat Bhawan Main Gate Bus Stand Shimla 171001 **SHIMLA** 1st Floor, Hills View Complex Near Tara Hall Shimla 171001 **SHIMOGA** Near Gutti Nursing Home Kuvempu Road Shimoga 577201 **SHIMOGA** Sri Matra Naika Complex 1st Floor Above Shimoga Diagnostic Centre LLR Road Durgigudi Shimoga 577201 **SHIVPURI** Near Hotel Vanasthali In Front of Sawarkar Park A. B. Road Shivpuri 473551 **SIKAR** First Floor Super Tower Behind Ram Mandir Near Taparya Bagichi Sikar 332001 **SILCHAR** N.N. Dutta Road Chowchakra Complex Premtala Silchar 788001 **SILIGURI** 17B Swamiji Sarani Siliguri 734001 **SITAPUR** 12/12-A Sura Complex Arya Nagar Opp Mal Godam Sitapur 261001 **SIVAKASI** 363 Thiruthangal Road Opp: TNEB Sivakasi 626123 **SOLAN** Disha Complex, 1st Floor Above Axis Bank Rajgarh Road Solan 173212 **SOLAPUR** Flat No 109 1st Floor A Wing Kalyani Tower, Near Pangal High School 126 Siddheshwar Peth Solapur 413001 **SOLAPUR** Block No 06 Vaman Nagar Opp D-Mart Jule Solapur Solapur 413004 **SONEPAT** 2nd floor, DP Tower Model Town, Near Subhash Chowk Sonapat 131001 **SRI GANGANAGAR** 18 L Block Sri Ganganagar 335001 **SRI GANGANAGAR** Shop No. 5, Opposite Bihani Petrol Pump Near Baba Ramdev Mandir, NH - 15 Sri Ganganagar 335001 **SRIKAKULAM** D No 4-4-97, First Floor Behind Sri Vijayaganapathi Temple Pedda relli veedhi, Palakonda Road Srikakulam 532001 **SULTANPUR** 1st Floor, Ramashanker Market Civil Line Sultanpur 228001 **SURAT** Shop No-G-5, International Commerce Center, Nr.Kadiwala School Majura Gate, Ring Road Surat 395002 **SURAT** G-5 Empire State Buliding Nr Udhna Darwaja Ring Road Surat 395002 **THANE** 102, Dev Corpora , 'A' wing ,1st Floor Eastern Express Highway Cadbury Junction Thane (West) 400601 **THANE** Room No. 302, 3rd Floor Ganga Prasad, Near RBL Bank Ltd Ram Maruti Cross Road, Naupada Thane 400602 **THIRUVALLA** 1st Floor, Room No - 61(63), International Shopping Mall Opp. St. Thomas Evangelical Church Above Thomson Bakery, Manjady Thiruvalla 689105 **THIRUVALLA** 2nd Floor Erinjeri Complex Ramanchira Opp Axis Bank Thiruvalla 689107 **THRISSUR** Room No 26 & 27 Dee Pee Plaza Kokkalai Thrissur 680001 **THRISSUR** 4th Floor, Crown Tower Shakthan Nagar Opp: Head Post Office Thrissur 680001 **TIRUNELVELI** 1st Floor Mano Prema Complex 182/6 S. N High Road Tirunelveli 627001 **TIRUNELVELI** 55/18 Jeney Building S N Road Near Aravind Eye Hospital Tirunelveli 627001 **TIRUPATHI** Shop No : 6 Door No: 19-10-8 (Opp To Passport Office) Air Bypass Road Tirupathi 517501 **TIRUPATHI** H.No:10-13-425 1st Floor Tilak Road Opp: Sridevi Complex Tirupathi 517501 **TIRUPUR** 1 (1) Binny Compound 2nd Street Kumaran Road Tirupur 641601 **TRICHY** No 8 I Floor 8th Cross West Extn. Thillainagar Trichy 620018 **TRICHY** No 23C/1 E V R road Near Vekkaliyamman Kalyana Mandapam Putthur Trichy 620017 **TRIVANDRUM** R S Complex Opposite of LIC Buildings Pattom P O Trivandrum 695004 **TRIVANDRUM** 2nd Floor Akshaya Tower Sasthamangalam Trivandrum 695010 **TUTICORIN** 4 B A34 A37 Mangalmani Mani Nagar, Opp. Rajaji Park Palayamkottai Road Tuticorin 628003 **UDAIPUR** Shree Kalyanam, 50, Tagore Nagar Sector - 4, Hiranmagri Udaipur 313001 **UDAIPUR** 201-202 Madhav Chambers Opp G P O Chetak Circle Udaipur 313001 **UJJAIN** 101 Aashta Tower 13/1 Dhanwantri Marg Freeganj Ujjain 456010 **VADODARA** 103 Aries Complex BPC Road Off R.C. Dutt Road Alkapuri Vadodara 390007 **VADODARA** 203, Corner point Jetalpur Road Baroda 390007 Gujarat **VALSAD** Gita Nivas 3rd Floor Opp. Head Post Office Halar Cross Lane Valsad 396001 **VALSAD** 406 Dreamland Arcade Opp Jade Blue Tithal Road Valsad 396001 **VAPI** 208 2nd Floor Heena Arcade Opp. Tirupati Tower Near G.I.D.C. Char Rasta Vapi 396195 **VAPI** A-8, First Floor, Solitaire Business Centre OPP DCB BANK , GIDC CHAR RASTA SILVASSA ROAD Vapi 396191 **VARANASI** Office No 1 Second Floor, Bhawani Market Building No. D58/2A1 Rathayatra Beside Kuber Complex Varanasi 221010 **VARANASI** D-64/132 1st Floor, KA Mauza Settlement Plot No 478, Pargana : Dehat Amanat Mohalla Sigra Varanasi 221010 **VASHI** A Wing, Shop No 205 . 1st Floor Vashi Plaza Sector-17 Vashi Navi Mumbai 400073 **VELLORE** AKT Complex 2nd Floor No 1, 3 New Sankaranpalayam Road Tolgate Vellore 632001 **VELLORE** No. 6, NEXUS Towers, 2nd Floor, Officer's Line Above Peter England & Bata Showroom opp. To Voorhees School Vellore 632001 **VIJAYAWADA** 40-1-68 Rao & Ratnam Complex Near Chennupati Petrol Pump M.G Road Labbipet Vijayawada 520010 **VIJAYAWADA** 39-10-7 Opp : Municipal Water Tank Labbipet Vijayawada 520010 **VISAKHAPATNAM** 47/9/17 1st Floor 3rd Lane Dwaraka Nagar Visakhapatnam 530016 **VISAKHAPATNAM** 48-10-40, Ground Floor Surya Ratna Arcade, Srinagar Beside Taj Hotel Lodge Visakhapatnam 530016 **WARANGAL** A.B.K Mall Near Old Bus Depot Road F-7 1st Floor Ramnagar, Hanamkonda Warangal 506001 **WARANGAL** 5-6-95 1 St Floor Opp: B.Ed Collage, Lashkar Bazar Chandra Complex, Hanmakonda Warangal 506001 **YAMUNA NAGAR** 124 B/R Model Town Yamuna Nagar 135001 **YAMUNA NAGAR** B-V, 185/A, 2nd Floor, Jagdhari Road Near DAV Girls College, (UCO Bank Building) Pyara Chowk Yamuna Nagar 135001

\*Any new offices/centres opened will be included automatically. For updated list, please visit [www.dspim.com](http://www.dspim.com) and [www.camsonline.com](http://www.camsonline.com).

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