

## **COMMON TRANSACTION FORM**

(For Existing Investors only)

**NON-FINANCIAL** 

Please fill only in the space provided. Any additional details/notings/instructions or those provided at a non designated area of the form may not be executed. Kindly strike off the unused Sections of the Form to avoid misuse

Distributor/RIA Name and ARN/Code Sub Broke	er Code Sub Broker/E	Branch/RM Internal Code	Employee Unique ID. No	(EUIN) (Refer note below)	
Existing Folio Number/s	·				
				1	
Name of Sole / First Unitholder (Leave space between first / middle / last name)  Mr.  Ms.  Others					
PAN AND KYC UPDATION	KYC LETTER	CHANGE OF TAX STATUS		Select any one ☑	
Sole/ First Applicant/ Guardian	Attached	Existing Status	New Status	Bank Account Type - New	
Second Applicant/ Guardian	Acceled	1 Resident Individual	☐ NRI - NRO	□ NRO	
Third Applicant/ Guardian	Attached	2 NRI - NRO	Resident	☐ Savings	
	Attached	3 NRI - NRE	☐ NRI - NRO ☐ Resident	☐ NRO ☐ Savings	
Please attach self-attested copy of PAN card		NEW BANK DETAILS:			
CHANGE IN MODE OF HOLDING (All Joint Holders should sign, even in case of "Any on	e or Survivor")	New Bank Account No			
New Mode of Holding (please tick√) ☐ Anyone or Surv	·	Bank Name IFSC			
		Branch City			
CONSOLIDATION OF FOLIOS  Folios to be consolidated (Montion all source folios i.e. the folios to be con-	asslidated born		e of new bank account (with na	me & account number pre-	
1. 4.	Folios to be consolidated (Mention all source folios i.e. the folios to be consolidated, here)  U Original Cancelled Cheque of new bank account (with name & account number preprinted). If photocopy is provided, please produce originals for verification.				
2. 5.		Note:- Status cannot be changed to NRE if investment is done through a SB/NRO account.			
3. 6.		FATCA DETAILS: (Mandatory)		2011/2014	
Target Folio No. for consolidation:	1	Place & Country of Birth  Nationality	PLACE  U.S. Other	COUNTRY	
#Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN etc.  *If TIN is not available or mentioned, please mention reason as: 'A' if the country does not issue TINs to its residents; 'B' & mention why you are unable to obtain a TIN; 'C' if the authorities of the country of tax residence entered above do not require the TIN to be disclosed.					
New IDCW Sub option ☐ IDCW Payout ☐ IDCW Reinvestment (default)		Country#	Tax Identification Number	Identification Type/Reason*	
PoA (Power of Attorney) REGISTRATION DETAILS (Refer Inst	ructions overleaf)				
Name of the PoA holder Attached KYC Letter (Mandatory) PoA holder Notarized copy of PoA					
DECLARATION & SIGNATURES					
Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions. I / We, hereby apply to the Trustee of DSP Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of DSP Mutual Fund. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority.					
Sole / First Holder	Second Holder (To be signed as per Mode of Holding)		Third Holder		
	<u> </u>				

	ACKNOWLEDGEMENT SLIP  Acknowledgement is subject to verification. Request may not be processed in case of incomplete / ambiguous / improper / incorrect	details in Transaction Form.
Investor Name		
Folio Number/s		
PAN and KYC Change of Tax	Updation  Change in Mode of Holding Consolidation of Folios PoA Registration IDCW - Cancellation Status	ISC Stamp & Signature

## **INSTRUCTIONS**

- KYC COMPLIANCE: Investors shall note that KYC is mandatory and they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDSL Ventures Limited. For more information on KYC, please log on to www.dspim.com/www.cvlindia.com/www.amfiindia.com before investing. Applications are liable to be rejected without any intimation to the applicants, if KYC compliance, as required is not complied with by all the unit holders.
- CHANGE IN MODE OF HOLDING: Joint Applicants who wish to change their mode of holding from "Anyone or Survivor" to "Joint Holding" or vice versa should use this section and hereby agree that after the updation of new mode of holding/operation, any request based on previous holding/operation will not be honoured by the fund. Further, all unit holders need to sign the request irrespective of the Mode of holding.
- CONSOLIDATION OF FOLIOS: Consolidation of various folios can take place only if a. Names of unit holders, b. Order of unit holders and c. Tax status is identical in all the mentioned folios. By requesting for consolidation of folios, the unit holder/s agree that the mode of holding, bank mandate, address and nomination details in the Target Folio will be applicable and will prevail after consolidation even if they were different details in source folios.
- POA REGISTRATION: Only a general Power of Attorney agreement without any restrictions and perennial validity is accepted. The PoA must be executed on stamp paper and registered in India and a duly notarized copy should be enclosed. The PoA must have signatures of the investor as well the PoA holder. If the signature of PoA holder is not available, the fund may call for additional documents or declarations on a case to case basis. PoA will be registered within 10 working days of receipt of all valid documents.